Delivery practices, hygiene, birth attendance and neonatal infections in Karamoja, Uganda: a community-based study.

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Abstract

Background: Drawing attention to home birth conditions and subsequent neonatal infections is a key starting point to reducing neonatal morbidity which are a main cause of mortality in sub-Saharan Africa.

Objectives: To determine the proportion of respiratory, ophthalmic, and diarrhoeal infections in neonates; the proportion of mothers of neonates, following clean delivery practices; and to explore existing community practices during delivery and the neonatal period.

Methods: A descriptive, cross-sectional, exploratory study, including 10 questionnaires and five Key-Informant interviews, in rural Karamoja, Uganda.

Results: Post-delivery razor blade and string use was 90%, but clean delivery surface use only 30%, while 90% obtained bathing water for neonates from boreholes. No mothers washed hands after latrine-related activities compared with 83% for food-related activities. None delivered in health centres or with skilled birth attendants. Respiratory infections occurred in eight neonates, compared to two ophthalmic infections, and no diarrhoea.

Conclusion: Use of clean delivery surfaces needs to be improved as well as washing after latrine-related activities. Diarrhoea was far less common than expected. Since rural Mother-Infant pairs spend the majority of their post-delivery time around the homestead, hygiene impacts neonatal infections to a large degree, possibly even more so than delivery practices.

Keywords: Neonatal, birth, delivery, clean delivery kit (CDK), clean delivery practices, infection, diarrhoea, ophthalmic, respiratory, hygiene, traditional birth attendant (TBA), home, rural, community, Nakaale, Nakapiripirit, Karamoja, Uganda.

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Introduction

Of the 3.3 million annual neonatal deaths, sepsis accounts for 15%. Approximately 99% of neonatal deaths take place in developing countries, mostly in homes and communities. Transmission of neonatal sepsis during delivery may lead to 30-40% of infections. Infection causes 36% of neonatal deaths in low-income countries. Deaths due to infectious causes (64%) were 40% likely to occur in the neonatal period, contributing to 7.6 million deaths of children less than five years old. While infection rates are already known for children under five years from the local HC II and regionally, there is no specific data for the neonatal period. Matany hospital states that 90% prefer to deliver unassisted. Neonatal care is not available to many in the rural areas of developing countries because post-natal care is non-existent.

Despite a widespread understanding of the importance of cleanliness as a means to preventing neonatal infections, it does not always reflect adequate implementation of clean delivery practices, especially in community settings. Clean delivery practices and neonatal hygiene could prevent many needless deaths, specifically where the majority of births still take place at home.

This study's objectives for Nakaale parish were: first, to determine the proportion of neonatal respiratory, ophthalmic, and diarrhoeal infections; second, to determine the proportion of mothers of neonates, following clean delivery practices; third, to explore existing community practices during delivery and the neonatal period.

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practices during delivery and the neonatal period.

**Methods**

A mixed research method using an exploratory strategy was used. Quantitative data from questionnaires was collected from 10 Karimojong mothers of neonates, while qualitative data was collected from five Key-Informant (KI) interviews. Data was collected from villages in Nakkaale parish, Nakapiripirit district, Karamoja, Uganda. Data was collected from July 22-August 22, 2013. Only 33% of births in Uganda are registered, while in Karamoja, only 8% have a birth certificate\(^\text{10}\). Because a birth registry was not reliable for Nakkaale parish, and because 63% are born outside of a HC\(^\text{10}\), random sampling could not be used. Purposive sampling was employed by having local Karimojong inform the Principle Investigator (PI) of women from their sub-village with neonates. Informants freely revealed news of all their neighbour’s births to the PI with no selection bias. The PI also visited villages asking about women who were pregnant in order to note their expected date of delivery so a follow-up visit could include them in the study. New mothers were questioned at home. Immunization records were not used to locate women with neonates because women living in Nakkaale parish seek health care from five different surrounding HCs.

KI’s were chosen in a purposive way based on their knowledge of local customs surrounding delivery or their leadership in the community. During KI interview selection, the saturation point was considered to have been reached when no new information was acquired. At the point of saturation, five KI’s had been interviewed: a Traditional Birth Attendant (TBA), a clinic health worker, a male community leader, a female community leader, and an elderly woman.

The questionnaire and interview guide were conceptualized in English before being pre-translated into Ngakarimojong. The closed, coded questionnaire was verbally conducted in Ngakarimojong. KI interviews, implementing a semi-structured guide, were conducted in English with simultaneous translation into Ngakarimojong. Interview data completeness was augmented through written notes and audio recording which were also transcribed. Data validity was ensured by pre-testing for language clarity, translation issues, and length acceptability.

Data quality was improved through personal collection by PI, together with bi-lingual language experts. Incomplete and missing data was identified and resolved on the same day as data collection and entered into a spreadsheet, rather than after the study’s completion to increase accuracy and consistency.

The main dependent variable was infection status (measured as the proportion of neonates with respiratory infection, ophthalmic infection and/or diarrhoea). Independent variables included: demographic characteristics, delivery practices, hygiene practices, and birth attendance; and were hypothesized risk factors for infection. Analysis was performed using STATA 11.\(^\text{11}\).

Manifest content analysis was performed on the data obtained from the KI interviews. Condensation, aggregation and abstraction were used to create categories, sub-categories, and codes regarding transmission of infection, birth preparedness, and unassisted delivery\(^\text{12}\).

Before questioning, each mother consented, with a fingerprint, in the presence of a witness. The written consent form was verbalized to each participant due to functional illiteracy.

**Results**

Ten women with neonates were questioned with their ages ranging from 18-45 years. The women’s ages were estimated based on the guessed age of their eldest child because dates of birth are not remembered or recorded in rural Karamoja. The exact neonatal ages in the study were measured accurately. The mean neonatal age was 11 days (+/- 1SD = 6.6; CI= 5.3, 15.7) and the median was 10 days (IQR=9). While formal education is obtained through official scholastic channels, “informal learning is never organised, has no set objectives in terms of learning outcomes and is never intentional from the learner’s standpoint. Often it is referred to as learning by experience”\(^\text{13}\).

Eight neonates had respiratory infections; while only two had ophthalmic infections and none had diarrhoea. No treatment was sought for any of the infections. Respiratory infections were also present among family and neighbours in 63% of the cases, while ophthalmic infections were not. In four neonatal respiratory cases, the mother also had a respiratory infection. The median duration for respiratory infections was 4.5 days (IQR=5.5) and the
The duration of sickness for all ophthalmic infections was three days. Among neonatal clinical respiratory infections, the most common symptom (80%) was a cough. All neonates with clinical eye infections also had itchy eyes, as declared by the mother. While the respiratory infections from the study followed what was expected for the Karamoja region, there were zero cases of diarrhoea in this study compared to the Uganda Demographic Health Survey.

Table 1: Demographic Characteristics of Mother-Infant Pairs in Rural Karamoja, 2013

<table>
<thead>
<tr>
<th>Variable</th>
<th>Category</th>
<th>N=10</th>
</tr>
</thead>
<tbody>
<tr>
<td>Age of Neonate (in days)</td>
<td>≤7</td>
<td>4</td>
</tr>
<tr>
<td></td>
<td>&gt;7</td>
<td>6</td>
</tr>
<tr>
<td>Birth Order of Child</td>
<td>≤2</td>
<td>3</td>
</tr>
<tr>
<td></td>
<td>&gt;2</td>
<td>7</td>
</tr>
<tr>
<td>Antenatal Care (ANC) visits</td>
<td>&lt;4</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td>≥4</td>
<td>8</td>
</tr>
<tr>
<td>Existence of a Co-wife</td>
<td>No</td>
<td>6</td>
</tr>
<tr>
<td></td>
<td>Yes</td>
<td>4</td>
</tr>
<tr>
<td>Sharing a Hut (number of people)</td>
<td>≤4</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>&gt;4</td>
<td>2</td>
</tr>
<tr>
<td>Mother’s Education</td>
<td>Informal</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>Formal</td>
<td>2</td>
</tr>
<tr>
<td>Male Partner’s Education</td>
<td>Informal</td>
<td>8</td>
</tr>
<tr>
<td></td>
<td>Formal</td>
<td>2</td>
</tr>
<tr>
<td>Mother’s Job</td>
<td>Non-Remuneration</td>
<td>10</td>
</tr>
<tr>
<td></td>
<td>Remuneration</td>
<td>0</td>
</tr>
<tr>
<td>Male Partner’s Job</td>
<td>Non-Remuneration</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td>Remuneration</td>
<td>3</td>
</tr>
</tbody>
</table>

Figure 1: UDHS 2011 Results vs. Nakaale Parish Results, 2013

Figure 1: Respiratory infections were comparable among the UDHS 2011, Karamoja Region, results in children under five years old (86%) & Nakaale Parish study area (2013) results (80%). Cases of diarrhoea in the UDHS 2011, Karamoja, (93%) were high compared to cases from Nakaale (0%).
(UDHS) 2011 results\(^{10}\) of 93% for Karamoja (Figure 1). Unskilled birth attendance at delivery occurred in all of the respondents compared to a regional average of 59%\(^{10}\). None of the respondents delivered in a HC. Only one mother used a Clean Delivery Kit (CDK), while others used only partial CDKs (Figure 2). Deliveries made on unsanitary birth surfaces \((n=7)\) included primarily the dirt floor of the hut, along with two respondents who used either a grain sac or tarp. None of the respondents reported giving colostrum during the first hour after delivery. Only two neonates in the study were without infection; however, none of the neonates in the study had all three infections: respiratory, ophthalmic, or diarrhoeal. None of the mothers delivered at a HC with a Skilled Birth Attendant (SBA). Two women delivered completely alone without even family or a neighbour to assist them. TBAs assisted in eight home births. Because all the deliveries were without SBAs, relationships between other variables could not be measured.

Neonatal bathing during the first six hours after delivery was reported by half of the mothers. Six mothers reported washing the neonate’s face during this same period. Three mothers reported neonatal bathing and face-washing thrice daily. One Mother-Infant pair had not yet bathed after delivery because of a local tradition of waiting until the umbilical cord stump falls off, but all the others bathed with water from a borehole.

Washing of the hands by mothers was broken down into three main categories: latrine-related (including after defecation and after the neonate defecates), food-related (including before or after eating or cooking) and other (including “in the morning”, “after work”, and “before bathing the child”). Only one mother reported washing her hands after defecation, while six reported washing their hands specifically pertaining to eating or cooking. When mothers washed their hands after latrine-related activities, their neonates did not exhibit infections. When mothers washed their hands in food-related activities, some neonatal infections were present, but they were more likely to have only one of the three infections instead of two or more. The “other” category for hand-washing was not seen as significant.

Through interview, it was possible to learn more about what the community thought regarding transmission of infections. One KI shared about how a sheep needs to be killed in order for the neonate’s infection to be healed because “if you take the child to the clinic when the local traditions have not been done, the child will not get well from the clinic” (KI-2). Another reason why it is thought that neonates...
Birth preparedness is restricted by local tradition that for- 
and it can swell 
being brought by the wind: "Wind is bad. It can enter the cord 
practice helps to protect the cord stump from infections 
after the umbilical cord stump falls off. This traditional 
ly-imposed, data-collection time limits. Lack of  a birth 
Discussion 
thing at home is that, when it is not yet time for the baby to come, 
the bad 
not know danger signs or birth complications: " (KI-1). 
alone because people place their trust in TBAs that do 
unskilled birth companion may be worse than delivering 
and to have multiple assistants. Delivery assistance by an 
that women are allowed to call for help during delivery 
help, while others believe that the local traditions restrict 
them from seeking help during delivery. Younger women, 
with fewer deliveries, are more likely to seek assistance: 
"When a woman is old enough she can deliver alone" (KI-2). 
Some say that it is the choice of each woman whether she 
is able to deliver alone or not; however, others say that 
if the local traditions are not followed, then the delivery 
may be delayed: "You will not deliver that day. You will take 
like a full week in labour pain" (KI-1). If a woman delivers 
alone the first time, or at least one time, she is very like- 
ly to give birth alone during a subsequent pregnancy, ac- 
cording to one interviewee. Other interviewees explained 
that women are allowed to call for help during delivery 
and to have multiple assistants. Delivery assistance by an 
unskilled birth companion may be worse than delivering 
alone because people place their trust in TBAs that do 
not know danger signs or birth complications: "The bad 
thing at home is that, when it is not yet time for the baby to come, 
they tell you to push?" (KI-1). 

During unassisted deliveries, some do not want or seek 
help, while others believe that the local traditions restrict 
them from seeking help during delivery. Younger women, 
with fewer deliveries, are more likely to seek assistance: 
"When a woman is old enough she can deliver alone" (KI-2). 
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thing at home is that, when it is not yet time for the baby to come, 
they tell you to push?" (KI-1). 

Discussion 
The study area was limited because of rainy season in-
accessibility. The sample size was small due to externally-
ly-imposed, data-collection time limits. Lack of a birth 
registry severely limited statistical analysis. Thus, conclu-
sions were insignificant, instead tending towards possible 
explanations needing further study. 
No treatment was sought for any of the study infections 
with zero transmission of ophthalmic infections and 63% 
of respiratory infections. When clinical cases do not seek 
treatment, there is a greater likelihood that infections will 
spread. High prevalence of respiratory infections (8/10) in 
the study reflects that of the community. This could be 
due to none of the neonates receiving colostrum within 
the first hour after birth. A possible source of ophthal-
mic infections could be from delivery on an unsanitary 
birth surface, such as the dirt floor of a hut. Other sourc-
es of ophthalmic infections could be via the birth canal, 
through the wind, or from greeting and touching the eyes 
without washing hands frequently. There were no cases 
of diarrhoea possibly because of the abundance of bore-
holes in the area. All mother-infant pairs lived closer to 
the borehole than to the river so it was believed that they 
used borehole water for most household activities. Re-
spiratory infections were similar between this study and 
the UDHS 2011 Karamoja results for children under five 
years old10, but results for diarrhoea were not similar (0% 
vs 93%) (Figure 1) throughout their reproductive years. 
Some clinics in neighbouring parishes give incentives to 
those coming for TT immunizations. Receiving TT im-
uminations does not necessarily mean that women are 
receiving a full ANC checkup. The Doctor that has been 
managing AYPC HCI since 2009 reported zero umbilical 
cord infections. Dry cord care is commonly practiced 
in the region. 

One area with room for improvement is the delivery sur-
face, although post-delivery razor blade and thread use 
was high. If women endeavour to not deliver on an unsanitary surface (7/10), then hopefully fewer infections 
will occur. Another area of improvement is washing 
hands with soap during delivery and immediately after by 
both the mother and birth companion, which was only 
practiced at six deliveries. CDKs used to be distributed 
during the fourth ANC visit at AYPC HCI, but now they 
are given as part of delivery fees. Because the sample size 
was very small there is no clear association between CDK 
items and unclean items. 

When six delivery practices as a sub-set were amalgam-
ated and compared with neonatal infections, the results 
were interesting. CDK use, when neonates were given
colostrum, whether the mother and/or birth attendant used soap to wash their hands, birth attendance and birth place were decided to either be satisfactorily or unsatisfactorily practised. If a delivery practice was satisfactorily performed, then two points were given, and if it was unsatisfactorily performed, then one point was given. Each mother-infant pair scored between 6-12 points. None of the mother-infant pairs scored 100% satisfactorily on all six delivery practices and 60% scored unsatisfactorily on all practices. Among these mother-infant pairs, 33% had both respiratory and ophthalmic infections, 33% had no infection, and none had diarrhoea. Of the 40% that scored higher than 60% satisfactory, all had respiratory infections and none had either ophthalmic infections or diarrhoea.

It was expected that more than two women in the study would deliver alone based on anecdotal evidence. One of the only times that Karimojong women are praised by their husbands is when they are strong. When a woman delivers alone, without assistance, she is praised.

A Karimojong tradition, which is no longer widely practiced, but was found among one participant in the study, regards the first days after delivery. Until the umbilical cord stump falls off, the mother and neonate are not allowed to bathe or leave the hut; during this time, the woman is also forbidden to eat and drink. This can have detrimental neonatal effects, particularly when colostrum is delayed in being let down. Because the post-natal period is a crucial time for identifying infections and seeking care, isolation of mother and neonate from the community is an especially risky tradition. Mothers may have reported what the PI wanted to hear, particularly regarding bathing frequency, in which case the actual practice is expected to be less frequent.

Use of clean water was higher in the study than in Karimoja possibly because a development initiative has drilled boreholes for many villages in the parish, increasing access to potable water for hygiene, as well as consumption. Because the homes were located closer to the boreholes than the river, most women used clean water for washing and cooking. It was surprising that more mothers reported washing their hands before food preparation than any other activity. Washing hands after defecation or helping a neonate was less often reported than ideal. While washing hands before preparing food and before eating food is very important, washing hands after defecation needs to be improved upon.

The following hygiene practices were analyzed together and compared with neonatal infections: When the neonate was first bathed, when the neonate’s face was first washed, how many times it was bathed per day, how many times its face was washed per day, what water source was used for washing, and when the mother washed her hands. If a hygiene practice was satisfactorily performed, then two points were given, and if it was unsatisfactorily performed, then one point was given. Each mother-infant pair scored between 6-12 points. None of the mother-infant pairs scored 100% satisfactory on all six hygiene practices, but six of the mother-infant pairs scored 90% satisfactory. Of these mother-infant pairs, 22% had both respiratory and ophthalmic infections, 22% had no infections, and none had diarrhoea. Of the four that scored less than 90% satisfactory, all had respiratory infections and none had either ophthalmic infections or diarrhoea.

**Conclusion**

There was frequent use of post-delivery razor blades and threads, but improvement is needed for clean delivery surfaces. There was an acceptable amount of washing hands in food-related activities, but not after defecation. No women delivered with a SBA. Respiratory infections were common, but there were no cases of diarrhoea. It is recommended that behaviour change communication (BCC) materials be developed regarding sanitary birth surfaces, alongside teaching about the benefits of initiating breastfeeding immediately after delivery, and the use of culturally specific BCC materials on washing of hands after defecation.

The following further research is recommended: repeat study in a more systematic way using a multi-disciplinary approach with more participants and over a longer period of time thus enabling better statistical analysis, such as logistic regression; conducting a qualitative study, with focus groups, to gather more in-depth knowledge about the reasons behind the behaviour and practices described in this study; and a study on the prevalence of diarrhoea in areas with adequate borehole coverage compared to areas without.

**Conflict of interest disclosure**

I, the author, declare that potential conflict of interest does not exist in this manuscript.

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