# Prevalence and factors associated with anterior open bite in 2 to 5 year old children in Benin city, Nigeria

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#### **Abstract**

**Background:** Anterior open bite is said to exist when there is an actual vertical gap between the upper and lower incisors with the teeth in centric occlusion. This could occur in the anterior or posterior region, and may be attributed to the supra eruption of the posterior teeth or the infra eruption of the anterior teeth and it is common in both adults and children.

**Objective:** To evaluate the prevalence of anterior open bite (AOB) in 2 to 5 -year -old children and the aetiological factors responsible for it.

**Methods:** The study group comprised 1031 children (429 boys and 602 girls) between the ages of 2 to 5-years, who were examined for the presence of AOB and the relationship with various oral habits.

**Results:** AOB was present in 29 (2.8%) of the subjects. Sucking habits were observed in 267 (25.9%) children. Only **29**(10.9%) of the children with sucking habits had an AOB which was asymmetric in 20 (7.5%) and symmetric in 9 (3.4%). The asymmetric AOB was significantly higher in children with thumb sucking habits than in finger suckers (P<0.05). There was no significant difference between frequency, duration of sucking habits and AOB. The 4-year olds showed a significant difference in the number of children with AOB (P<0.05). Sucking habits of 1-2 hours and duration of 24 to 60 months exhibited the highest prevalence of an AOB in 13 (44.8%) and 17 (58.6%) children respectively.

**Conclusion:** The prevalence of AOB in this study is 2.8%. Thumb sucking is the most important aetiological factor in the formation of an AOB in 2 to 5-year-old children.

African Health Sciences 2012; (4): 446 - 451 <a href="http://dx.doi.org/10.4314/ahs.v12i4.8">http://dx.doi.org/10.4314/ahs.v12i4.8</a>

### Introduction

Anterior open bite is said to exist when there is an actual vertical gap between the upper and lower incisors with the teeth in centric occlusion <sup>1</sup>. Anterior open bite as defined by Subtelny and Sakuda<sup>2,3</sup>is the deviation in the vertical relationship of the maxillary and mandibular dental arches with a definite lack of contact in the vertical direction between opposing segments of teeth. It was also defined by McSherry as a vertical occlusal anomaly with no vertical overlap of the upper and lower incisors<sup>4</sup>. Anterior open bite is also described as a condition in which the upper incisor teeth crowns fail to overlap the incisal third of the lower incisor crowns when the mandible is brought into full occlusion. This was also described as a vertical discrepancy 1,5. Also, it is said to exist when there is no incisor contact and vertical overlap of the lower incisors by the upper and are characterized by failure of the teeth in both arches to meet properly 5. Another term used in describing

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anterior open bite is apertognathia and could be said to be failure of the anterior teeth to make contact when the jaw is firmly closed <sup>6</sup>. This could occur in the anterior or posterior region, and may be attributed to the supra eruption of the posterior teeth or the infra eruption of the anterior teeth and it is common in both adults and children.

Definitions on the aetiology and prevalence of anterior open bite vary and a review of the literature shows various aetiological classifications <sup>5, 7-11</sup> which could also be pathologic, neurologic or iatrogenic <sup>8-11</sup>. Others include a clinical classification in which the open bite could be dental <sup>3-9</sup>, asymmetrical if it affects only one segment, or symmetrical if it affects both segments of the jaws and is seen in the majority of children in the transitional and mixed dentition stage <sup>1, 3, 4, 8, 9</sup>

Prevalence rates for anterior open bite have ranged from 2 to8 percent from other studies in pre-school children<sup>10, 13, 14</sup> and from 1.5 to 16.5 percent in older children <sup>1, 15-19</sup>. Few studies on the prevalence of anterior open bite in pre-school children have however been carried out in our environment. Previous Nigerian studies <sup>12, 20-22</sup> revealed a prevalence of oral habits of 13.14% <sup>15</sup> and a

prevalence of an anterior open bite of 2.1% <sup>13</sup> and 4.1% <sup>23</sup> in school children aged 11-16-years of age. Few studies have however been carried out on the prevalence of anterior open in pre-school children in our environment.

The implication of an anterior open bite irrespective of the aetiological cause include aesthetic concerns, speech disorders, lingual interposition during swallowing and difficulty in biting with the incisor teeth 1-5, 23-24. Patients with an anterior open bite may present with deficient labial contact, mouth breathing frequently characterized by an open mouth posture<sup>5</sup>, they may or may not exhibit retroclined incisors, a narrow "v" shaped palatal vault<sup>5-8</sup> and atypical phonation affecting the production of the following phonetic sounds: /t/, /d/, /n/, /l/, /r/.<sup>24</sup>. Anterior open bites can be prevented if the aetiological factors are identified early and some form of intervention carried out<sup>2, 3, 6-8</sup>. These may include behavioral therapy, and appliances<sup>1,9</sup> <sup>12,23</sup>. The early treatment of an anterior open bite in the primary dentition will provide better aesthetic and functional outcomes but the most common aetiologic factors must be considered before treatment.

Habits have been associated as one of the most common aetiological agents in the occurrence of anterior open bites, with the thumb, digit and tongue being most frequently sucked 2-5, 7, 8, 10-13, 23. Habits are numerous and varied and include thumb and finger sucking, dummy sucking, pacifier sucking, tongue thrusting and lip sucking 1, 3-5, 9-12, 20. Anterior open bite is more common and clearly dominant in 3-year-old children who persist in a sucking habit <sup>24</sup>. Prolonged thumb and finger sucking often leads to an anterior open bite and a disturbance of the dentofacial development in the anteroposterior, vertical and transverse directions with the largest impact on the position of the front teeth <sup>23-25</sup>. Other habits like finger or digit sucking have been implicated in the aetiology of an anterior open bite12, 20, 22, however finger sucking was more predominant in the formation of anterior open bite <sup>20, 23, 26-27</sup>.

The purpose of this study was to determine the prevalence of anterior open bite in 2-5-year-old children and identify the most common aetiological factors.

#### Methods

This cross sectional study was developed in two stages and two sampling methods were used: the stratified random sampling in which the children were stratified according to age, and the cluster sampling which was used in day care centres.

Informed consent was obtained from both the school authorities and parents or guardians of 1200 children between the ages of 2 to 5-years chosen randomly from pre-school or day care centres. A list of pre-schools and day-care centres was obtained from the State Ministry of Education and every 'n'th school selected (n was a number taken from a statistical table). In each selected pre-school, children for the study were selected from the class register using simple random sampling. For the day care centres, cluster sampling was used.

Sample size was determined by using the highest prevalence rate of 33.3% from a similar comparative study on malocclusion in Nigeria<sup>28</sup> and a formula for a prevalence study<sup>29</sup> ( $n = (1.96)^2P$  (100p)/ $d^2$ ) applied with a confidence level pre-set at 95%. This gave a minimum sample size of 948 children for a 1% margin of error. However, a final sample size of 1031 children out of 1200 questionnaires distributed was obtained giving a response rate of 86%. The questionnaires including the oral examinations were pre-tested in a pilot study in the largest local government area on 50 children under similar conditions to that used in this study. The data obtained was however not included in the final analysis. The intra-examiner reproducibility was assessed by re-examination of sixty randomly selected school children two weeks after their initial selection. The kappa score was 0.80 indicating a good agreement.

Inclusion criteria included children who had all their primary teeth present in the mouth. Those with evidence of mobility, caries, loss of anterior teeth due to trauma, extraction or physiological loss and attrition were excluded from the study.

Epi info version 6 (The Epi Info computer programs are produced by CDC and the World Health Organization) was used to construct a structured questionnaire and code the response and the following data which included the age to the nearest birthday, socio-demographic data, frequency and duration of habits was recorded by parents or guardians of all children included in the study.

An intra oral examination was carried out by one investigator (INI) to determine the presence of anterior open bite which was then measured with a pair of self locking dividers and transferred onto a ruler. Where an open bite existed, a second measurement was taken at the completion of examination of the class. This was to reduce the effect of memory bias. Statistical Package for the Social Sciences-Chicago (SPSS) version 15 was used to analyse the data and chi square and t-test applied where appropriate with P values (p <0.05) regarded as significant.

#### Results

The study population consisted of 1031 pre-school children and comprised of 602 (58.3%) girls and 429 (41.7%) boys aged 2-5-years. The prevalence of anterior open bite in the community was 2.8% (table 1). Sucking habits were present in 267 (25.9%) children. Thumb sucking had the highest frequency of 88 (33%) while pacifier sucking was not common and was seen in only 12 (4.5%) table 2.

Table 1: Gender distribution of children with sucking habits and anterior open bite

Gender	Sucking habit	s Anterior o	•	Total
		n %	n %	
Boy	133(49.8)	14(48.3)	415(41.4)	429(41.7)
Girls	134(50.2)	15(51.7)	587(58.9)	602(58.3)
Total	267(25.9)	29(2.8)	1002(97.2)	1031(100)
Chi square = $0.60$ P value:		ue>0.05	Degree of freed	om = 1

Table 2: Percentage prevalence of various oral habits

Habit	Number	0/0
Thumb sucking	88	33
Finger sucking	71	26.6
Tongue sucking	46	17.2
Pacifier sucking	12	4.5
Lip and cheek	50	18.7

Anterior open bite was present in 29 (10.9%) of children with sucking habits. Thumb suckers showed the highest prevalence of open bite in 17(19.3%), followed by the finger suckers with a prevalence of 9(12.7%) and pacifier suckers exhibiting no open bite. The asymmetric open bite was present in 20 (7.5%) while 9 (3.4%) exhibited a symmetric open bite.

There was a statistically significantly higher prevalence of asymmetric anterior open bite in children with sucking habits than those without (p<0.05.) table 3. The 4-year olds showed a statistically significant difference in the number of children with anterior open bite (p<0.05) table 4.

Table 3: Prevalence of anterior open in various oral habits

Anterior of	pen bite				
Sucking	I	Present		Absent	Total
Habits	Asymmetric	Symmetric	Total		
	n %	n %	n %	n %	n %
Thumb	14 (15.9)	3 (3.4)	17(19.3)	71 (80.7)	88 (100)
Finger	4 (5.7)	5 (7)	9(12.7)	62 (87.3)	71 (100)
Tongue	1 (2.2)	1 (2.2)	2(4.4)	44 (21.4)	46 (100)
Pacifier	-	=	-	12 (100)	12 (100)
Lip and	1 (2)	-	1(2)	49 (98)	50 (100)
cheek					
Total	20 (7.5)	9 (3.4)	29 (10.9)	238(89.1)	267 (100)

Chi square =0 01 P value < 0.05

Degree of freedom = 1

Table 4: Distribution of anterior open bite among age groups

Age (in years)	Present chi sq		are Absent	Total
	n %		n %	n %
2	2 (6.9)	0.86	152 (15.1)	154 (14.9)
3	4 (13.8)	0.40	292 (29.2)	296 (28.7)
4	12 (41.4)	$0.02^{*}$	295 (29.5)	307 (29.9)
5	11 (37.9)	0.98	263 (26.2)	274 (26.5)
Total	29 (100)		1002 (100)	1031 (100)

P=0.02\*(statistically significant)

Figure 1 shows no significant difference between the frequency of the various habits and anterior open bite, but those children who sucked between 1-3 hours a day exhibited the highest frequency with 13 (44.8%). Those children who sucked for duration of 24-60 months exhibited the highest frequency of anterior open bite with 17 (58.6%).

There was no statistically significant difference between the frequency and duration of the various sucking habits, p>0.05. Those children who sucked for 1-2 hours and 0-5 months exhibited the highest frequency with 55 (73%).

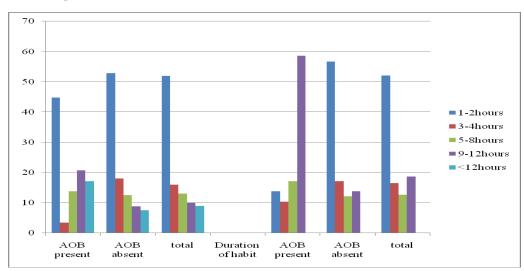


Figure 1: Relationship between AOB and frequency and duration of habits

### Discussion

The prevalence of anterior open bite in 2 to 5-year-old children in this study is 2.8% which is similar to another study carried out in pre-school children of 2-4% <sup>10</sup> but lower than 8% <sup>13</sup> and 7.9% <sup>14</sup>. However, prevalence rates of 1.6% <sup>19</sup>, 4.1 to 4.4% <sup>2, 21</sup>, 5.5 to 16.5% <sup>13-16, 30</sup> have been reported in other studies in older children. Racial differences were observed for anterior open bite in children with values ranging from 4-8% in white Americans <sup>1,31</sup>, 7-16% in African Americans <sup>15, 31</sup>, 10-16.5% in East Africans <sup>16, 17</sup> and 2-4% in British subjects <sup>10</sup>. The prevalence of 2.8% in this study is however consistent with the results from the study by Burford and Noar <sup>10</sup>. This could be because the same age group was studied.

In our environment; there appear to be few studies on the prevalence of anterior open bite in pre-school children; however, there is a vast amount of information on the prevalence of anterior open bite in pre-school children in the Western world <sup>10, 13-14</sup>

The prevalence of anterior open bite among gender in this study was not significant and is similar to other studies <sup>10,12,25</sup> where no differences in gender were observed.

This study demonstrated that oral habits have been associated with the occurrence of anterior open bites and the thumb and finger exhibited the highest frequency and were most implicated. This is consistent with the results of other studies <sup>2-4,6-20</sup> were the thumb and finger also exhibited the highest frequency and were most implicated in the formation of an anterior open bite. This is also in agreement with studies by Onyeaso and Sote <sup>20</sup> on oral habits where thumb and digit sucking were implicated in the aetiology of anterior open bites. This study found a significant relationship between oral habits and anterior open bite and that thumb sucking was the most important aetiologic factor with a prevalence of 33% which is close to the findings of Larsson <sup>30</sup> who reported prevalence of 30% but far from the findings of Onyeaso and Sote<sup>20</sup> who reported a prevalence of 10.7%. Their study <sup>20</sup> reported a significant relationship between finger sucking and anterior open bite.

This study showed that there was a statistically significantly higher prevalence of asymmetric anterior open bite in children with sucking habits than those without. Thumb suckers in this study showed the highest prevalence of asymmetric open bite in 7.5%. Studies by Ngan and Fields<sup>9</sup>, Burford and Noar<sup>10</sup> and Isiekwe<sup>12</sup>, reported an asymmetric open bite with the thumb sucking habit.

Other oral habits like the tongue<sup>1-3</sup>, <sup>24-26</sup>, pacifier 1,30-32, and lip and cheek sucking 2,10 have been implicated in the aetiology of an anterior open bite. This is consistent with the findings from this study where the tongue, lip and cheek were found to have implicated in the formation of an anterior open bite. Other studies reported a prevalence of 1.6%<sup>22</sup> with the tongue thrusting habit which is far lower than the prevalence of 17.2% reported for this study. Tongue habits vary from retained infantile swallow, tongue thrusting, sucking, and variations in size and posture<sup>1-3, 4</sup> and this could explain the variation in prevalence rates in the two studies. The use of the pacifier is not common in this environment therefore; there was no formation of an open bite with the use of the pacifier in this study. Pacifier sucking 31 has been reported to have a high prevalence rate of 38-94%<sup>31</sup>, 76% <sup>24</sup>and 95%<sup>30</sup> in other countries, but in this study a low prevalence of 4.5% was reported. This compares favorably with another Nigerian study<sup>22</sup> where the pacifier was not used by any of the children studied. The pacifier suckers in this study however exhibited no open bite. This could be as a result of the small number of children in this study that used the pacifier as compared to other studies 10, 24, 30-32 with a larger number using the pacifier. This may also be attributed to cultural and ethnic differences in the various studies.

This study found a statistically significant difference in the number of 4-year old children with anterior open bite. This is similar with the results of the study by Ravn <sup>24</sup>who demonstrated that anterior open bite is more common in 3-year-old children who persist in a sucking habit. Fukuta et al <sup>27</sup> also found out that there appeared to be an increased tendency to anterior open bite and malocclusion in children who persisted in the thumb and digit sucking habit after 4 years of age.

This study reported the presence of an anterior open bite with an increased frequency and duration of a thumb and digit sucking habit. Children who sucked between 1-3 hours a day and for 24-60 months exhibited the highest frequency of anterior open bite with 44.8% and 58.6% respectively. This study however showed no significant difference between the frequency of the various habits and anterior open bite. There was also no statistically significant difference the frequency and duration of the various sucking habits, and this compares favorably with studies by Adair et al<sup>32</sup> where there was also no difference between the frequency and duration of the various sucking habits.

## Conclusion

The prevalence of AOB is this study appears to be similar to that in British children however; other races appear to have a higher prevalence rate than those reported from the above study.

This study revealed that the most important aetiological factors are thumb finger and tongue thrusting but thumb sucking has been identified as the most important aetiological factor in the formation of an anterior open bite in pre-school children.

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