

# Understanding Mechanisms of Actions for Vaccine Adjuvants Critical for Designing Effective Vaccines:

# A narrative review

\*Moses Wanyonyi Sichangi

Faculty of Biological and Physical Sciences, Tom Mboya University College, Kenya

\*Correspondence: Moses Wanyonyi Sichangi, *Email:* <u>msichangi@tmuc.ac.ke/</u> mosessichangi@gmail.com. Tel: +254712747232

## Summary

### **INTRODUCTION**

Vaccine adjuvants enhance immunogenicity of antigens through one or a combination of mechanisms that include; improved antigen delivery to the innate immune system or by providing signals that activate the innate immune system. Activation may lead to induction of *cytokines* and *chemokines*, recruitment of immune cells to the site of vaccine inoculation or trafficking of innate immune cells to draining lymph nodes. These events culminate in activation of adaptive immunity.

### **OBJECTIVE**

To identify the Current status of knowledge on action modes for vaccine adjuvants, modes under investigation and future directions in this important area of biomedical research. influence on molecular and physical interactions between vaccine components and innate immune cells that affect the degree of immune responses given first priority.

### METHODOLOGY

Published studies in English language on vaccines and adjuvants were identified by key words from Comprehensive searches with no formal assessments for risk of biases. The type of publications included basic research using experimental animals and clinical research in human. A recent study using recombinant *hemmaglutinin* (rH5) protein of highly pathogenic avian influenza (HPAI) virus as antigen demonstrated a quicker antibody production. IL -17 and IFN- $\gamma$  when adjuvant combinations of CpG and nanoemulsion were used in comparison to nanoemulsion alone [25]. Equally, potential vaccine adjuvants including pathogen associated molecular patterns (PAMPs) derived from microbes with their synthetic analogs like *cytosine* and *guanine* (CpG) *oligodeoxynucleotide* that targeted toll-like receptors (TLRs) and mast cell activating compound such as compound 48/80 (C48/80) [23, 24]. Combination of cationic peptide HH2 with CpG induced IgG1 (Th2) and IgG2a (Th1) type antibodies in experimental animals [30]

Other vaccine adjuvants developed for human use included *Monophosphoryl* lipid A (MPLA) and MF59 (oil in water emulsion). MPLA is a detoxified form of bacterial cell wall lipid A from *Salmonella* Minnesota R595 combined with alum to augment immunogenicity of subunit vaccines. When mediated through activation of TLR4 induced Th1 type immune responses [16]. Combined with alum for hepatitis B virus (FENDrix) Human *Papilloma* Virus (Cervarix) [15] and then combined with a water-soluble triterpene glucoside as adjuvants for malaria vaccine trials in human [17]. Combination of a *mucopolysaccharide chitosan* as a mucosal vaccine with Norwalk norovirus demonstrated induction of antigen-specific antibody.



Cytomegalovirus Glycoprotein B antigen when adjuvanted with MF59 induced a higher antibody titer using a lower antigen dose compared to antibody titer induced by a higher dose of the same antigen [32] Inactivated hepatitis A antigen induced a significantly higher seroconversion rate at two weeks after the first injection with 100 U of antigen compared to 50 or 25 U of the antigen [31]. Intranasal meningococcal subtype B vaccine induced highly bactericidal immunity with day 0, 7, 28 and 56 schedules than same vaccine given on days 0, 28 and 56 [34]. Induction of dsDNA released when bound by adjuvants formed a complex and trans-located into the endosome to activate TLR9 cascading to MyD88 adaptor molecule [29, 51] immunity [43].

#### **RESULTS (DATA SYNTHESIS)**

This literature review has shown that, several strategies exist that can be applied in order to maximize immune activation and improve vaccine efficacy. Such strategies include combination of adjuvants that activate different pathways of the innate immunity. Combination of adjuvants produced synergistic effect in immune responses and pathogen clearance, but individual adjuvants more often provided a response that was narrow in its effect, being either Th1 or Th2 biased.

### **CONCLUSION**

Selection of the right adjuvant for a vaccine antigen requires knowledge on the mode of action of the adjuvant. Different adjuvants and different routes of vaccine administration could generate various types of immune responses. The route of vaccine administration might influence the type of cells in the innate immunity activated by an adjuvant. Antibodies with high avidity strongly bound to antigenic determinants on the pathogen inducing destructive processes against the pathogen. Intranasal adjuvants can be suitable for mass vaccination against respiratory infections such as influenza and CORONA viruses (SARS Cov-2 (COVID-19)). The ratio between the antigen and adjuvant in a vaccine would influence the structure of the final complex formed and its biological activities.

### **RECOMMENDATIONS**

To effectively design an adjuvant within a vaccine formulation, first understand its mechanisms of activity in order to develop a potent, effective and safe vaccine. Induce sufficiently mature (high avidity) antibodies by a vaccine to avoid lack of protection.

Key words: Vaccine adjuvants, mechanisms of actions of vaccines, mechanisms of actions of adjuvants, vaccines design and development.

[Afr. J. Health Sci. 2020 33(3): 19 - 29]

## Introduction

Vaccination is the most successful way of prevention against human infectious diseases [1, 2]. The main goal was to induce pathogen-specific immune responses using inactivated pathogen molecules that generate protective immunity against future infections by similar virulent pathogens [3]. Vaccine antigens may exist in multiple forms such as;

- live-attenuated microorganisms,
- inactivated pathogenic microorganisms,
- purified components of microbial pathogens,
- polysaccharide-carrier protein conjugates
- or recombinant proteins of pathogenic microorganisms [4].

After vaccination, those antigens first activates the host innate immune system, whose products then activate the adaptive immune system [5 -7]. Effective activation of innate immune cells was required for generation of optimum adaptive immune responses. Vaccine antigens that poorly activate the innate immune system could lead to less effective immunity.

Studies have shown that weaker immune responses to vaccine antigens were results of original poor immunogenicity most common with purified and subunit vaccines.



Incorporating relevant adjuvants in the vaccine formulation could enhance the immunogenicity of vaccine antigens [3, 8]. Although vaccines formulated using whole pathogens were highly immunogenic, ineffective inactivation of the pathogens was potentially infective. Consequently, most recent vaccines were being produced as recombinant subunit proteins of the pathogens [9]. For example, hepatitis B virus (HBV) and human papilloma virus (HPV) vaccines were subunit vaccines.

Although subunit vaccines had high purity, they were often poorly immunogenic. Hence, vaccine adjuvants were included in subunit vaccine formulations to enhance immunogenicity and subsequent efficacy [3]. Different vaccines (antigens or antigen-adjuvant

combinations) activated specific pathways of the innate immunity, generated varying quantities and profiles of immune mediators that determined the quality of the adaptive immune response.

Understanding the modes (mechanisms) of actions of vaccines and accompanying adjuvants was therefore crucial in designing effective and safe vaccines. The fact that, vaccines and their adjuvants target the innate immunity, it was prudent to identify target cells and specific receptors (pathways) activated by each vaccine antigen-adjuvant combination in order to know the expected immunomudulatory molecules.

## Vaccine adjuvants applied in human vaccines

Aluminum compounds (alum) was the earliest and the most widely used adjuvant in human vaccines [10]. Alum haS been used in numerous vaccines including *diphtheria-tetanus-pertussis*, human *papilloma* virus and hepatitis B vaccines [11].

Other vaccine adjuvants developed for human use include *monophosphoryl lipid* A (MPLA) [12-14[ and MF59 (oil in water emulsion). MPLA was a detoxified form of bacterial cell wall lipid **A** from *Salmonella Minnesota* R595. MPLA adjuvant had been applied in combination with alum to augment immunogenicity of subunit vaccines. The adjuvant activity of MPLA was mediated through activation of TLR4 and was seen to induce Th1 type immune responses [16]. MPLA was used in combination with alum for hepatitis B virus (FENDrix) human *papilloma* virus (Cervarix), [15] and in combination with a water-soluble triterpene glucoside as adjuvants for malaria vaccine trials in human [17].

Further studies MPLA involved on combination with a *mucopolysaccharide chitosan* as a with Norwalk mucosal vaccine norovirus demonstrating induction of antigen-specific antibody responses [18]. MF59 (an oil in water emulsion) had been used to improve the immunogenicity of influenza vaccine and demonstrated induction of protective immunity against influenza virus in humans [19].

One of the significant observation about vaccination outcomes was that, different adjuvants and different routes of vaccine administration could generate different types of immune responses [9, 20]. The immune responses may differ in a number of aspects including Th1 *versus* Th2 type immune profiles or antibody responses versus T cell mediated responses or a combination of these with variations in magnitudes or ratios of responses.

The knowledge about a vaccine was sensitive because certain types of infections (pathogens) could require induction of specific type of immune responses in order to be cleared [21]. That might require unique forms of adjuvant activity which activate specific pathways of innate immunity. The route of vaccine administration might influence the type of cells in the innate immunity activated by an adjuvant. Different sites of vaccine inoculation might differ in the types and distribution of first-line immunity cells such as mast cells, dendritic cells and macrophages.

For instant, some antigen presenting cells could be abundant at mucosal sites while others would be intradermal or subcutaneous affecting their accessibility to vaccine antigens and adjuvants.

Recent developments have identified a variety of potential vaccine adjuvants for possible future application in human vaccines. These include pathogen associated molecular patterns (PAMPs) derived from microbes and their synthetic analogs such as *cytosine* and *guanine* (CpG) *oligodeoxynucleotide* that target toll-like receptors (TLRs) [22] and mast cell activating compound such as compound 48/80 (C48/80) [23, 24].

## Objectives

The objective of this review was to identify the current strategies that can be applied in understanding



the mechanisms of action for vaccine adjuvants with regard to:

- 1. Molecular pathways and physical interactions of the innate immune system.
- 2. Vaccine and adjuvant doses
- 3. Vaccination regimens for each vaccine.
- 4. The current status of knowledge on mechanisms of actions for known adjuvants and those under investigation.
- 5. Future directions in this important area of biomedical research.

## Methodology

Publications on vaccine and adjuvants research and development were identified and downloaded from several data sources that included PubMed (NLM), PubMed Central, Library of congress, LISTA (EBSCO), Google Scholar, Science Direct and Web of Science (TS) databases. Articles were searched using key words as title or subject without restrictions on types of publications that were presented in English language. Since comprehensive searches were conducted on multiple databases as mentioned, no formal assessments for risk of bias were conducted. The type of publications included basic research using experimental animals and clinical research in human.

## Literature Review Strategies Shown to Improve Vaccine Adjuvant Activities

From the literature review there were several strategies that have been applied in the development of effective vaccines in the context of adjuvants incorporated in the vaccine. Some of the key strategies are discussed below:

### 1. Combination of Vaccine Adjuvants that Activate Different Molecular Pathways Generate Effective Immune Responses

Studies have shown that combination of adjuvants provide superior immune responses compared with individual adjuvants. A recent study using recombinant *hemmaglutinin* (rH5) protein of highly pathogenic avian influenza (HPAI) virus as antigen demonstrated a quicker antibody production, IL-17 and IFN- $\gamma$  when adjuvant combinations of CpG and

nanoemulsion were used compared to nanoemulsion alone [25].

Combination of adjuvants was seen to produce synergistic effect in immune responses and pathogen clearance, but individual adjuvants more often provided a response that was narrow in its effect, being either Th1 or Th2 biased. Cationic molecules such as KLKLLLLKLK predominantly induce Th2 type immune responses against co administered vaccine antigens [26], while other classes of molecules such as CpG enhance antibody affinity maturation [27] and provide Th1 type immune responses [22, 28].

Despite adjuvants of different molecular properties exhibit distinct immune activation properties when combined, they provide superior immune responses. Studies have shown that, combination of CpG with alum enhanced affinity maturation of anti-hepatitis B vaccine antibody responses [27]. Combination of CpG with cationic peptides has shown to form complexes that facilitate delivery of antigen to APCs [29] and to induce both Th1 and Th2 type antibody responses.

Use of adjuvants was reported to induce diversified immune responses as depicted by combination of cationic peptide HH2 with CpG that induced IgG1 (Th2) and IgG2a (Th1) type antibodies in experimental animals [30]. Consequently, this nature of immune response ends up being more effective than a narrow type of immune response. Due to the different modes of action by vaccine adjuvants, their effects could work in synergy to provide a more diversified and effective immune response.

### 2. Varying the Dose of Vaccine Antigens and the Interval between Vaccinations Influence Immune Responses.

Some vaccine studies reported that, increasing antigen dose was one strategy used to reduce the number of immunizations while maintaining a desirable immune response. For instance;

> A study conducted in human subjects using inactivated hepatitis **A** antigen induced a significantly higher seroconversion rate at two weeks after the first injection with 100 U of antigen compared to 50 or 25 U of the antigen [31].



However, other studies have demonstrated that this effect is dependent on antigen type. For example;

*Cytomegalovirus Glycoprotein B* antigen when adjuvanted with MF59 (oil in water emulsion) induced a higher antibody titer using a lower antigen dose compared to antibody titer induced by a higher dose of the same antigen [32].

Those observations demonstrated that, for each antigen type, an optimum dose should be determined through a dose-response study. That was more so with adjuvant included in the vaccine because antigenadjuvant interactions would lead to formation of secondary complexes that would differ in their capacity to be delivered to activate the immune cells.

The ratio between the antigen and adjuvant in the vaccine would also influence the structure of the final complex formed and its biological activities. Besides the vaccine dose, studies have shown that, intervals between the primary and booster immunizations may influence the magnitude of both antibody and cellular immune responses (33).

This observation suggested that a critical time existed after priming the immune system at which later immunizations effectively activated immune cells to achieve maximum responses. The interval seemed to influence the frequency of antigen-specific memory B and T cells.

Practically, intranasal *meningococcal* subtype B vaccine induced highly bactericidal immunity with a day 0, 7, 28 and 56 schedules than same vaccine given on days 0, 28 and 56 (34).

The results suggested that the inclusion of a shorter interval between the primary and first booster enhanced the production of immune factors with higher bactericidal activities. It is important to note that the optimum time intervals required between the primary and booster inoculations may vary with different antigen types or types of adjuvants in the vaccine and the respective antigen dose.

### **Current Understanding of Modes** of Actions for Vaccine Adjuvants

To effectively design an adjuvant within a vaccine formulation, there was a need to understand its mechanisms of activity in order to develop a potent,

effective and safe vaccine. Aluminum compounds were the longest serving vaccine adjuvants [35]. Although aluminum-based adjuvants have been used for decades with human vaccines, their mechanisms of action have not been fully elucidated [11]. It has been demonstrated that aluminum compounds forms a depot at the site of vaccine inoculation that then releases the antigen in small doses to stimulate the immune cells [36-38]. Recent studies suggest that although alum forms antigen depots, depot formation is not required for its adjuvant activity [36].

Studies have also shown that alum and other pore forming adjuvant molecules activate the inflammasome in macrophages and dendritic cells as a possible intermediate in its adjuvant activity

[39]. Inflammasomes are multiprotein complexes in dendritic cells and macrophages activated by danger signals that lead to the recruitment and activation of caspase 1. Caspase 1 activation then leads to processing of pro-inflammatory *cytokines* such as IL-1 $\beta$  and IL-18 that participate in immune responses against insulting pathogens [40]. Although activation of the inflammasome was considered a possible mediator of adjuvant activity by alum [41], similar to the depot formation of this pathway by alum was not required for antigen specific immunity [39].

Comparably MF59, oil in water emulsion adjuvant was seen to activate the inflammasome in vitro studies. However, that activity was not required for in vivo adjuvant activity because there was no difference between wild type mice and inflammasome deficient mice [42]. The activities of alum and MF59 suggested that adjuvant molecules could activate some molecular pathways or cause changes in the behavior of the antigen in the host but those changes might not be immunologically important. More importantly, it is well documented that those adjuvants augment antigen activation of the innate immune cells lead to enhanced immune responses.

Toll-like receptors (TLRs) were identified among PRRs as important targets for mediating the activity of some vaccine adjuvants.

> Immunization of vaccine antigens combined with TLR agonist monophosphoryl lipid A (MPLA) specifically activates TLR4 to stimulate innate immunity [43]. MPLA has been used



in combination with alum adjuvant (AS04) for human papilloma virus vaccine [15].

This adjuvant system Was approved for use in humans in Europe and USA. model studies displayed the activation signals generated through TLRs involved recruitment and activation of adaptor molecules including MyD88 (44).

MyD88 was found downstream of TLRs, except TLR3, and were critical in transmitting the activation signals that lead to generation of cytokines. Cytokines mediated adjuvant activities on adaptive immune responses [45].Stimulation of MyD88 adaptor protein commonly originate from engagement of TLRs by vaccine adjuvants or microbes or their components. Activation of MyD88 then signals the induction of pro-inflammatory cytokines by innate immune cells that modulate other immune responses.

Early (within hours) cytokine release had also been demonstrated by studies with MF59 adjuvant that was dependent on MyD88 activation in vivo [42]. That could be a non-TLR activation of MyD88. Dependency on MyD88 was demonstrated by significant decrease in the production of IL-5 and G-CSF in MyD88 knockout mice, with a significant reduction in serum antigenspecific IgG antibody titers.

Therefore, understanding the mechanisms of adjuvant activity was important for deciphering their contributions in the induction of immune responses. Apart from MyD88, Mc Lachlan and co-workers have exhibited mast cells activation can enhance induction of immune responses [23]. The immune activation was mediated by release of preformed cytokines within the mast cells including TNF that were released upon mast cell degranulation. This observation had identified mast cell activators as potential vaccine adjuvants, identifying a unique target cell of the innate immunity for vaccine design.

Although mast cells were reported to play a role in undesired allergic reactions, studies have demonstrated that mast cells activation can be induced in a safe manner to provide beneficial immunological functions. Further suggestions that, IL-33 *cytokine* released by *epithelial* cells as a result of cell injury (danger signal) can induce Th2 type cellular immune responses by its activation of innate immunity through

MyD88 pathway. The danger signal on epithelial cells may easily be generated by pore forming molecules used as adjuvants in intranasal or oral vaccines that make contact with *epithelial* cells lining mucosal surfaces. Release of IL-33 forms another possible mechanism of adjuvant activity through non-TLR ligands that activate MyD88 [46].

It is postulated that when released by adjuvant or antigen activated *epithelial* cells, IL-33 activates its target cells, CD25+CD44+ *intraepithelial* innate *lymphoid* cells (ILCs) that express the IL-33 receptor ST2/T1. Other target cells for IL -33 include macrophages that were reported to produce IL-5 when activated by IL-33 [47].

Early activation (within hours) of the innate immune system has been demonstrated by studies to induce release of serum cytokines such as IL-5, G-CSF, IL -6 and KC [48] that drive subsequent events leading to adaptive response. These *cytokines* can therefore be used as biomarkers of effective activation of the innate immunity by adjuvants in vaccines.

Studies conducted to understand modes of activation of innate immunity reported that, double stranded DNA (dsDNA) could activate certain *cytosolic* DNA sensors such as stimulator of interferon genes (STING) within innate immune cells including DCs [49].

STING was seen to drive the interferon response factor 3 (IRF3) activation and subsequent generation of IFN *cytokines*. Damaged cells could release double stranded DNA as a danger signal which might be the case with pore forming adjuvants or vaccine molecules. As a possible pathway of adjuvant mechanism activity, dsDNA released after administration of adjuvants that cause local cellular injury activate intracellular STING to activate *cytokine* genes through IRF3.

Host dsDNA released from damaged cells also had the potential to activate innate immune cells including dendritic cells in either TLR9-dependent or TLR9-independent pathways. Generation of dsDNA was a potential mechanism through which localized death-inducing adjuvants could activate MyD88dependent activity, besides the IL-33 pathway.

The TLR9-independent activity by host dsDNA might account for MyD88-independent activity by some adjuvants and that could involve the activation of



cytosolic sensors of dsDNA such as STING. Although the specific mediator that binds dsDNA to signal IRF3 was not very clear, *mitochondrial* antiviral signaling protein (MAVS) [50] and stimulator of interferon genes (STING) had been proposed [49]. IRF3 could induce interferon response genes that induce transcription and release type I interferon.

That hypothesis showed there were two possible pathways by which damage associated adjuvants could activate MyD88;

- 1. By induction of IL-33 and subsequent activation of ST2/T1 receptors
- 2. By induction of dsDNA release that when bound by adjuvants forms a complex and translocate into the endosome to activate TLR9 cascading to MyD88 adaptor molecule [29, 51]. immunity [43].

Synthetic cationic peptides inclusive of KLKL5KLK and innate defense regulator (HH2) peptides had demonstrated effective adjuvant activities in mice [26, 29]. In addition to their cationic nature, these peptides possess non-specific cell penetrating properties that might contribute to cell *lysis*. They were active on many cell types and could have had diverse models for adjuvant activity.

Other studies concluded that, inflammasome activation was a possibility to link innate and adaptive immunity induced by adjuvants through activation of *intracytoplasmic* PRRs such as NALP3 [39, 41]. The specific events that lead to inflammasome activation remain unclear. It was postulated that events that lead to pore formation and potassium efflux, generation of Reactive Oxygen Species (ROS), *lysosomal* damage and release of *cathepsin B* could activate the inflammasome.

The fact cationic peptides, penetrated cells leading to pore formation, they could induce generation of ROS [52] and activate the inflammasome. The same mechanism of pore formation in cells of innate immunity might apply to aluminum compounds that were assumed to activate the inflammasome. That theory was further supported by studies using a cationic peptide *melittin* that demonstrated in vitro activation of inflammasome in mice Bone Marrow-Derived Macrophages (BMDM).

In vivo adjuvant activity, similar antigenspecific antibodies in wild-type (WT) and caspase 1-/-(inflammasome-deficient) mice was demonstrated [53]. This showed lack of inflammasome–dependent adjuvant activity in vivo. The striking differences between WT and caspase 1-/- mice was the significantly lower *neutrophi*l infiltration at the site of inoculation in the absence of caspase 1 [53].

In addition to molecular activation, cationic molecules have been shown to delay antigen clearance from the site of inoculation thereby enhancing antigen uptake, processing and presentation. This theory was demonstrated by studies with cationic poly-L-arginine that showed increased retention and absorption of dextran through the *nasal epithelium* in rats [54]. Similar studies using cationic *nanogels, chitosan* and other *nanoconjugate* molecules had demonstrated prolonged antigen residence in the nasal cavity leading to enhanced immune responses in mice [55].

It was reported that, when the vaccine antigen was retained for a longer time at the inoculation site, there was an increase in the induction of antigen-specific immune response. Some vaccine adjuvants function by enhancing antibody avidity for the vaccine antigen. Studies disclosed that, failure to induce sufficiently mature (high avidity) antibodies by a vaccine can lead to lack of protection [56]. Antibodies with high avidity strongly bind to antigenic determinants on the pathogen inducing destructive processes against the pathogen.

On the contrary weakly binding (low avidity) antibodies might lack the capacity to induce neutralization of the pathogen. Antibody avidity could be used to determine pathogen-neutralizing ability of antibodies. Despite in vitro pathogen, neutralization was used as a correlate of protection by an immune response in certain circumstances of pathogen antigenic epitopes which might not have been be available in sufficient amounts on mature virions in vitro to allow neutralization. This may lead to lack of in vitro pathogen neutralization despite the same antibodies having sufficient in vivo pathogen clearance activity.

Another school of thought was that, in vivo, protection could be enhanced by antibody opsonization of the pathogen for clearance by *phagocytic* cells and T cell immune responses. That could make the antibodies with poor pathogen neutralizing capacity in vitro more effective in vivo [57]. From the forgoing literature, it was evident that mechanism studies in vaccine adjuvants was an active area of current and future investigations.



## **Conclusions and Recommendations**

Vaccines (with or without adjuvants) should be safe to the host, and also effective in their functions. The safety and efficacy of vaccines can be predicted by deciphering their mechanisms of actions. The knowledge about the modes of actions for vaccines and any combined adjuvants is useful for designing and developing effective vaccines against particular pathogens. Such knowledge can aid in directing an immune response towards a desired type of protective immunity to effectively eliminate a particular pathogen.

It was reported that, different infectious agents could require different profiles of immunomodulatory molecules for their clearance that might require specific adjuvant activity [21]. In contrast;

> the activity of cholera toxin (CT) from Vibrio cholera and heat labile toxin (LT) from *Escherichia coli* as adjuvants in experimental animals predominantly induced Th2 type T cell immune responses with characteristic CD4+ T cells that secrete IL-4, IL-5, IL-6 and IL-10 [58]. This knowledge was useful for the fact that,

the right choice of an adjuvant can lead to generation of immunological mediators that will clear a particular infection.

Conclusively, understanding how a vaccine (antigen-adjuvant complex) interacts with the immune system can aid in retaining immunogenic components in the vaccine while deleting toxic ones to ensure safety.

Although studies had shown that CT and LT were effective vaccine adjuvants, they were highly toxic to the host animals [59, 60]. It was therefore necessary to retain potent genes in cholera toxin while deleting the virulent ones in order to retain desired adjuvant activity but also increase safety [61, 62]. A similar challenge applied to the potential use of mast cell activating c48/80 adjuvant which was a polymer of many molecules. There was need to isolate the specific monomers that activate mast cells to provide adjuvant activity and removal of nonessential molecules.

In order to separate essential components from redundant deleterious components in most adjuvants one must perform model studies. Mechanism studies will help to identify the target cells and receptors for each component on the larger molecules that are important for effective immune activation so that vaccines are engineered towards the targets while deleting the nonessential ones to minimize toxicity effects. In summary, this review has identified the following key facts:

- 1. Evidence accrued that, combination of adjuvants with different modes of action was a better strategy to induce a more effective immune response than use of a single adjuvant.
- 2. Vaccine regimens with fewer doses were more desirable because they ensured high compliance than multiple doses. However, the antigen type, antigen dose and adjuvant activity could influence the number of immunizations and the intervals between vaccine administrations. Optimum doses for each antigen-adjuvant combination could be determined independently through dose-response studies.
- 3. Studies have demonstrated that, activities of vaccine adjuvants are dependent on a variety of molecular pathways including; MyD88 activation mast cell activation or inflammasome activation (macrophages and dendritic cells). A new class of adjuvants prolonged antigen retention at the site of inoculation to enhance activation of the immune system. Surprisingly, the mechanism of adjuvant activity for the oldest aluminum adjuvants is still under intense investigations. It was clear that an adjuvant molecule might activate multiple pathways but only one or few were relevant to their immunological functions.
- 4. The recent status of knowledge on mechanisms of action for vaccine adjuvants suggested future studies to be focused on identifying more safe vaccine adjuvants for human use. Previousily there were very few options on adjuvants approved for human use that included aluminum compounds MF59 and *monophosphoryl* lipid A.

The limitation with those adjuvants was that, they had been approved for use with injectable vaccines. There was very limited development of adjuvants that could be safely applied with intranasal vaccines. Intranasal vaccines were desired because they were pain - free and relatively easy to administer. They require less technical training as opposed to injectable vaccines. Injectable vaccines had more risks



associated with use of needles such as safe disposal of needles and possible transmission of blood borne pathogens.

Intranasal adjuvants can be suitable for mass vaccination campaigns especially against respiratory infections such as influenza and CORONA viruses (e.g. SARS Cov-2 (COVID-19)). Therefore, mast cell activating molecules such as c48/80, *mastoparan* peptides and mucoadhesive molecules such as *chitosan* can be explored for use with intranasal vaccines.

## References

- 1. Cho, N., et al., 1. Andre FE, Booy R, Bock HL, Clemens J, Datta SK, John TJ, et al. Vaccination greatly reduces disease, disability, death and inequity worldwide. *Bull World Health Organ.* 2008 Feb;86(2):140-6.
- 2. Ada G. Vaccines and vaccination. The New *England journal of medicine*. 2001 Oct 4;345(14):1042-53.
- 3. **Leroux-Roels G.** Unmet needs in modern vaccinology Adjuvants to improve the immune response. *Vaccine*. 2010 Aug 31; 28 : C25 C36.
- 4. Vajdy M, Srivastava I, Polo J, Donnelly J, O'Hagan D, Singh M. Mucosal adjuvants and delivery systems for protein-, DNA- and RNAbased vaccines. *Immunology and cell biology*. 2004 Dec;82(6):617-27.
- Akira S. Innate immunity and adjuvants. Philosophical transactions of the Royal Society of London Series B, *Biological sciences*. 2011 Oct 12;366(1579):2748-55.
- 6. **Coffman RL, Sher A, Seder RA.** Vaccine adjuvants: putting innate immunity to work. Immunity. 2010 Oct 29;33(4):492-503.
- 7. Akira S, Uematsu S, Takeuchi O. Pathogen recognition and innate immunity. Cell. 2006 Feb 24;124(4):783-801.
- 8. Awate S, Babiuk LA, Mutwiri G. Mechanisms of action of adjuvants. *Frontiers in immunology*. 2013;4:114.
- 9. Garlapati S, Facci M, Polewicz M, Strom S,

**Babiuk LA,** Mutwiri G, et al. Strategies to link innate and adaptive immunity when designing vaccine adjuvants. *Vet Immunol Immunopathol.* 2009 Mar 15;128(1-3):184-91.

- 10. McKee AS, Munks MW, MacLeod MK, Fleenor CJ, Van Rooijen N, Kappler JW, et al. Alum induces innate immune responses through macrophage and mast cell sensors, but these sensors are not required for *alum* to act as an adjuvant for specific immunity. *Journal of immunology (Baltimore, Md : 1950)*. 2009 Oct 1;183(7):4403-14.
- 11. Marrack P, McKee AS, Munks MW. Towards an understanding of the adjuvant action of aluminium. *Nature reviews Immunology*. 2009 Apr;9(4):287-93.
- 12. Vandepapeliere P, Horsmans Y, Moris P, Van Mechelen M, Janssens M, Koutsoukos M, et al. Vaccine adjuvant systems containing monophosphoryl lipid A and QS21 induce strong and persistent humoral and T cell responses against hepatitis B surface antigen in healthy adult volunteers. *Vaccine. 2008 Mar* 4;26(10):1375-86.
- 13. **Casella CR, Mitchell TC**. Putting endotoxin to work for us: monophosphoryl lipid A as a safe and effective vaccine adjuvant. Cellular and molecular life sciences : *CMLS*. 2008 Oct;65(20):3231-40.
- 14. **Baldrick P, Richardson D, Wheeler AW**. Safety evaluation of a glutaraldehyde modified tyrosine adsorbed housedust mite extract containing monophosphoryl lipid A (MPL) adjuvant: a new allergy vaccine for dust mite allergy. *Vaccine. 2001* Dec 12;20(5-6):737-43.
- 15. Didierlaurent AM, Morel S, Lockman L, Giannini SL, Bisteau M, Carlsen H, et al. AS04, an aluminum salt- and TLR4 agonistbased adjuvant system, induces a transient localized innate immune response leading to enhanced adaptive immunity. *Journal of immunology (Baltimore, Md : 1950).* 2009 Nov 15;183(10):6186-97.
- 16. Wheeler AW, Marshall JS, Ulrich JT. A Th1inducing adjuvant, MPL, enhances antibody profiles in experimental animals suggesting it has



the potential to improve the efficacy of allergy vaccines. *International archives of allergy and immunology*. 2001 Oct;126(2):135-9.

- 17. Alonso PL, Sacarlal J, Aponte JJ, Leach A, Macete E, Aide P, et al. Duration of protection with RTS,S/AS02A malaria vaccine in prevention of *Plasmodium falciparum* disease in Mozambican children: single-blind extended follow-up of a randomised controlled trial. *Lancet. 2005 Dec* 10;366(9502):2012-8.
- El-Kamary SS, Pasetti MF, Mendelman PM, Frey SE, Bernstein DI, Treanor JJ, et al. Adjuvanted intranasal Norwalk virus-like particle vaccine elicits antibodies and antibody-secreting cells that express homing receptors for mucosal and peripheral lymphoid tissues. *The Journal of infectious diseases*. 2010 Dec 1;202(11):1649-58.
- 19. Banzhoff A, Pellegrini M, Del Giudice G, Fragapane E, Groth N, Podda A. MF59adjuvanted vaccines for seasonal and pandemic influenza prophylaxis. *Influenza Other Respi Viruses. 2008* Nov;2(6):243-9.
- 20. Vitoriano-Souza J, Moreira N, Teixeira-Carvalho A, Carneiro CM, Siqueira FA, Vieira PM, et al. Cell recruitment and cytokines in skin mice sensitized with the vaccine adjuvants: saponin, incomplete Freund's adjuvant, and *monophosphoryl lipid A. PloS one*. 2012;7(7):e40745.
- Reed SG, Orr MT, Fox CB. Key roles of adjuvants in modern vaccines. *Nat Med.* 2013 Dec;19(12):1597-608.
- 22. Bode C, Zhao G, Steinhagen F, Kinjo T, Klinman DM. CpG DNA as a vaccine adjuvant. Expert Rev Vaccines. 2011 Apr;10(4):499-511.
- 23. McLachlan JB, Shelburne CP, Hart JP, Pizzo SV, Goyal R, Brooking-Dixon R, et al. Mast cell activators: a new class of highly effective vaccine adjuvants. *Nat Med. 2008 May*;14(5):536-41.
- 24. **Meng S, Liu Z, Xu L, Li L, Mei S, Bao L, et al.** Intranasal immunization with recombinant HA and mast cell activator C48/80 elicits protective

immunity against 2009 pandemic H1N1 influenza in mice. *PloS one*. 2011;6(5):e19863.

- 25. Wang SH, Chen J, Smith D, Cao Z, Acosta H, Fan Y, et al. A novel combination of intramuscular vaccine adjuvants, nanoemulsion and CpG produces an effective immune response against influenza A virus. *Vaccine*. 2020 Apr 23;38(19):3537-44.
- 26. Fritz JH, Brunner S, Birnstiel ML, Buschle M, Gabain A, Mattner F, et al. The artificial antimicrobial peptide KLKLLLLLKLK induces predominantly a TH2-type immune response to co-injected antigens. *Vaccine. 2004 Sep* 3;22(25-26):3274-84.
- 27. Siegrist CA, Pihlgren M, Tougne C, Efler SM, Morris ML, AlAdhami MJ, et al. Coadministration of CpG oligonucleotides enhances the late affinity maturation process of human anti-hepatitis B vaccine response. *Vaccine*. 2004 Dec 16;23(5):615-22.
- 28. Kim D, Kwon S, Ahn CS, Lee Y, Choi SY, Park J, et al. Adjuvant effect of *liposome*encapsulated natural *phosphodiester* CpG-DNA. *BMB Rep.* 2011 Nov;44(11):758-63.
- 29. Aichinger MC, Ginzler M, Weghuber J, Zimmermann L, Riedl K, Schutz G, et al. Adjuvating the adjuvant: facilitated delivery of an *immunomodulatory oligonucleotide* to TLR9 by a cationic antimicrobial peptide in dendritic cells. *Vaccine. 2011* Jan 10;29(3):426-36.
- 30. Kindrachuk J, Jenssen H, Elliott M, Townsend R, Nijnik A, Lee SF, et al. A novel vaccine adjuvant comprised of a synthetic innate defence regulator peptide and CpG oligonucleotide links innate and adaptive immunity. *Vaccine. 2009 Jul* 23;27(**34**):4662-71.
- 31. Bertino JS, Jr., Thoelen S, VanDamme P, Bryan JP, Becherer PR, Frey S, et al. A dose response study of hepatitis A vaccine in healthy adults who are > or = 30 years old and weigh > or = 77 kg. *The Journal of infectious diseases*. 1998 Oct;178(4):1181-4.
- 32. Frey SE, Harrison C, Pass RF, Yang E, Boken



**D**, Sekulovich RE, et al. Effects of antigen dose and immunization regimens on antibody responses to a cytomegalovirus glycoprotein B subunit vaccine. *The Journal of infectious diseases*. 1999 Nov;180(5):1700-3.

- 33. Hu JG, Kitagawa T. Studies on the optimal immunization schedule of experimental animals. VI. Antigen dose-response of aluminum hydroxide-aided immunization and booster effect under low antigen dose. *Chem Pharm Bull* (Tokyo). 1990 Oct;38(10):2775-9.
- 34. Katial RK, Brandt BL, Moran EE, Marks S, Agnello V, Zollinger WD. Immunogenicity and safetytestingofagroupBintranasalmeningococcal native outer membrane vesicle vaccine. *Infection and immunity.* 2002 Feb;70(2):702-7.
- 35. Lindblad EB. Aluminium compounds for use in vaccines. *Immunology and cell biology*. 2004 Oct;82(5):497-505.
- 36. Hutchison S, Benson RA, Gibson VB, Pollock AH, Garside P, Brewer JM. Antigen depot is not required for alum adjuvanticity. *FASEB journal* : official publication of the Federation of American Societies for Experimental Biology. 2012 Mar;26(3):1272-9.
- Ghimire TR. The mechanisms of action of vaccines containing aluminum adjuvants: an in vitro vs in vivo paradigm. Springerplus. 2015;4:181.
- 38. Munks MW, McKee AS, Macleod MK, Powell RL, Degen JL, Reisdorph NA, et al. Aluminum adjuvants elicit fibrin-dependent extracellular traps in vivo. *Blood.* 2010 Dec 9;116(**24**):5191-9.
- 39. Kool M, Petrilli V, De Smedt T, Rolaz A, Hammad H, van Nimwegen M, et al. Cutting edge: alum adjuvant stimulates inflammatory dendritic cells through activation of the NALP3 inflammasome. *Journal of immunology (Baltimore, Md:1950).* 2008 Sep 15;181(6) :3755-9.
- 40. Palm NW, Medzhitov R. Role of the inflammasome in defense against venoms. Proceedings of the

National Academy of Sciences of the United States of America. 2013 Jan 29;110(5):1809-14.

- 41. Eisenbarth SC, Colegio OR, O'Connor W, Sutterwala FS, Flavell RA. Crucial role for the Nalp3 inflammasome in the immunostimulatory properties of aluminium adjuvants. *Nature*. 2008 Jun 19;453(7198):1122-6.
- 42. Seubert A, Calabro S, Santini L, Galli B, Genovese A, Valentini S, et al. Adjuvanticity of the oil-in-water emulsion MF59 is independent of Nlrp3 inflammasome but requires the adaptor protein MyD88. Proceedings of the *National Academy of Sciences of the United States of America*. 2011 Jul 5;108(27):11169-74.
- 43. Poltorak A, He X, Smirnova I, Liu MY, Van Huffel C, Du X, et al. Defective LPS signaling in C3H/HeJ and C57BL/10ScCr mice: mutations in Tlr4 gene. *Science*. *1998* Dec 11 ; 282(5396) : 2085-8.
- 44. Ohnishi H, Tochio H, Kato Z, Orii KE, Li A, Kimura T, et al. Structural basis for the multiple interactions of the MyD88 TIR domain in TLR4 signaling. Proceedings of the *National Academy of Sciences of the United States of America*. 2009 Jun 23;106(25):10260-5.
- 45. Warner N, Nunez G. MyD88: a critical adaptor protein in innate immunity signal transduction. *Journal of immunology (Baltimore, Md : 1950).* 2013 Jan 1;190(1):3-4.
- 46. Schmitz J, Owyang A, Oldham E, Song Y, Murphy E, McClanahan TK, et al. IL-33, an interleukin-1-like cytokine that signals via the IL-1 receptor-related protein ST2 and induces T helper type 2-associated cytokines. *Immunity*. 2005 Nov;23(5):479-90.
- 47. Yang Z, Grinchuk V, Urban JF, Jr., Bohl J, Sun R, Notari L, et al. Macrophages as IL-25/ IL-33-responsive cells play an important role in the induction of type 2 immunity. PloS one. 2013;8(3):e59441.
- 48. Gwinn WM, Johnson BT, Kirwan SM, Sobel AE, Abraham SN, Gunn MD, et al. A comparison



of non-toxin vaccine adjuvants for their ability to enhance the immunogenicity of nasallyadministered anthrax recombinant protective antigen. *Vaccine. 2013 Mar* 1;31(**11**):1480-9.

- 49. Ishikawa H, Ma Z, Barber GN. STING regulates intracellular DNA-mediated, type I interferon-dependent innate immunity. *Nature*. 2009 Oct 8;461(7265):788-92.
- 50. Lazear HM, Lancaster A, Wilkins C, Suthar MS, Huang A, Vick SC, et al. IRF-3, IRF-5, and IRF-7 coordinately regulate the type I IFN response in myeloid dendritic cells downstream of MAVS signaling. *PLoS pathogens*. 2013 Jan;9(1):e1003118.
- 51. Yasuda K, Ogawa Y, Yamane I, Nishikawa M, Takakura Y. Macrophage activation by a DNA/cationic liposome complex requires endosomal acidification and TLR9- dependent and -independent pathways. *Journal of leukocyte biology. 2005* Jan;77(1):71-9.
- 52. Nishikawa H, Kitani S. Inhibitory effect of ganglioside on mastoparan-induced cytotoxicity and degranulation in lipid raft of connective tissue type mast cell. *J Biochem Mol Toxicol.* 2010 Nov 12.
- 53. Morrison DC, Roser JF, Cochrane CG, Henson PM. The initiation of mast cell degranulation: activation at the cell membrane. Journal of immunology (Baltimore, Md : 1950). 1975 Mar;114(**3**):966-70.
- 54. Miyamoto M, Natsume H, Satoh I, Ohtake K, Yamaguchi M, Kobayashi D, et al. Effect of poly-L-arginine on the nasal absorption of FITCdextran of different molecular weights and recombinant human granulocyte colonystimulating factor (rhG-CSF) in rats. *Int J Pharm.* 2001 Sep 11;226(1-2):127-38.
- 55. Slutter B, Bal S, Keijzer C, Mallants R, Hagenaars N, Que I, et al. Nasal vaccination with N-trimethyl chitosan and PLGA based nanoparticles: nanoparticle characteristics determine quality and strength of the antibody

response in mice against the encapsulated antigen. *Vaccine*. 2010 Aug 31;28(**38**):6282-91.

- 56. **Plotkin SA.** Vaccines: correlates of vaccineinduced immunity. *Clinical infectious diseases* : an official publication of the Infectious Diseases Society of America. 2008 Aug 1;47(**3**):401-9.
- 57. Vogt MR, Dowd KA, Engle M, Tesh RB, Johnson S, Pierson TC, et al. Poorly neutralizing cross-reactive antibodies against the fusion loop of West Nile virus envelope protein protect in vivo via Fcgamma receptor and complement-dependent effector mechanisms. J Virol. 2011 Nov;85(22):11567-80.
- 58. **Hagiwara Y, Kawamura YI, Kataoka K, Rahima B, Jackson RJ, Komase K, et al.** A second generation of double mutant cholera toxin adjuvants: enhanced immunity without intracellular trafficking. *Journal of immunology (Baltimore, Md : 1950).* 2006 Sep 1;177(5):3045-54.
- 59. van Ginkel FW, Jackson RJ, Yuki Y, McGhee JR. Cutting edge: the mucosal adjuvant cholera toxin redirects vaccine proteins into olfactory tissues. *Journal of immunology (Baltimore, Md : 1950).* 2000 Nov 1;165(9):4778-82.
- 60. Lewis DJ, Huo Z, Barnett S, Kromann I, Giemza R, Galiza E, et al. Transient facial nerve paralysis (Bell's palsy) following intranasal delivery of a genetically detoxified mutant of *Escherichia coli* heat labile toxin. *PloS one*. 2009;4(9):e6999.
- 61. Akhiani AA, Stensson A, Schon K, Lycke N. The nontoxic CTA1-DD adjuvant enhances protective immunity against Helicobacter pylori infection following mucosal immunization. *Scandinavian journal of immunology*. 2006 Feb;63(2):97-105.
- 62. Sjokvist Ottsjo L, Flach CF, Clements J, Holmgren J, Raghavan S. A double mutant heatlabile toxin from *Escherichia coli*, LT(R192G/ L211A), is an effective mucosal adjuvant for vaccination against Helicobacter pylori infection. Infection and immunity. 2013 May;81(5):1532-40.