Learning from Health Care Workers' Opinions for Improving Quality of neonatal Health Care in Kilimanjaro region, northeast Tanzania

Mbwele B, Ide NL¹, Mrema JG, Ward Sarah AP², Melnick JA³, Manongi R⁴

Kilimanjaro Clinical Research Institute, P.O Box 2236, Moshi, Tanzania, ¹Global Health, University of Washington, Seattle, Washington, ²MD candidate, Tufts University School of Medicine, 145 Harrison Avenue, Boston, MA 02111,USA, ³Cellular Biology, Franklin College of Arts and Sciences, University of Georgia, Athens, Georgia, USA, ⁴Community Health Department, Kilimanjaro Christian Medical University College, P.O Box 2240, Moshi, Tanzania

Address for correspondence: Dr. Bernard Mbwele, Kilimanjaro Clinical Research Institute, Kilimanjaro Christian Medical Center, P.O. Box 2236, KCMC, Moshi, Tanzania. E-mail: benmbwele@gmail.com

Abstract

Background: There is a higher neonatal mortality rate while the adherence to the existing guidelines is rarely studied in Tanzania. Aim: The aim of this study is to assess the performance of health workers for neonatal health-care. Materials and Methods: Settings - Peripheral health facilities (regional referral, district hospitals and health centers) and a tertiary referral hospital of Kilimanjaro region, Tanzania. Fourteen hospital facilities within all seven districts of the Kilimanjaro region wer involved in this cross-sectional descriptive study. Data were collected for 5 months from 26th November, 2010 to 25th April, 2011. We analyzed our quantitative data by using STATA v10 (StataCorp, TX, USA) for statistical comparison using Chi-square test to test the difference between the categories and odds ratio (OR) for association between independent and dependent variables. Results: Birth asphyxia was the most recalled health problem requiring critical care, reported by 27.5% (33/120) of health-care workers (HCWs) at peripheral hospitals and at 46.4% (13/28) in a tertiary referral centers. Majority of HCWs commented on their own performance 47.5% (67/140). In the periphery (40), first comment was on management and follow-up of neonatal cases 47.5% (19/40), second on a need of skills 45% (18/40) and third on timely referrals 7.5% (18/40). Shortage of proper equipment was reported at 26.4% (37/140), shortage of staff was reported at 12.0% (17/140), lack of organization of care 11.4% (16/140) and poor hygiene at 2.9% (4/140). It was hard to judge the impact of training on the sufficiency of knowledge (OR: 2.1; 95% confidence interval: [0.9 - 4.8]; P = 0.08) although levels of knowledge for critical neonatal care were higher at the tertiary referral hospital (Pearson χ^2 [2] = 53.8; P < 0.001). Conclusion: Performance of HCWs in early neonatal care is suboptimal and requires frequent systematic evaluation.

Keywords: Health care workers, Kilimanjaro, Knowledge, Neonatal, Northern Tanzania, Performance, Peripheral hospitals, Quality of care

Introduction

World-wide, neonatal deaths account for four-tenth of under five deaths and play a barrier for the achievement of the Millennium Development Goal number 4.^[1,2] A large

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proportion of these deaths are from sub-Saharan Africa.^[3-5] Most of the causes of these deaths are preventable through appropriate health-care workers' (HCWs) interventions.^[6,7]

Distance, cost of health-care and performance of HCWs are the contributing barriers.^[8,9] However, facility-based births are increasing in many resources limited countries and therefore a call for improving the performance of HCW at these hospital settings is vital.^[10-14]

Tanzania is a sub-Saharan African country with an infant mortality rate of 65.74 deaths/1000 live births and a neonatal mortality rate of 26 deaths/1000 live births in 2012.^[15] From

2000 to 2010 perinatal mortality at a tertiary referral hospital in the Kilimanjaro region was 57.7/1000 (1958 out of 33,929), of which 1219 (35.9/1000) were stillbirths and 739 (21.8/1000) were early neonatal deaths.^[16] Neonatal deaths remain higher in rural settings compared with urban settings.^[17-19] Several studies have demonstrated poor adherence to existing guidelines^[20-22] as a cause for a large proportion of neonatal deaths and training focused on maternal-child health has been shown to result in improvement.^[23] However, monitoring and maintenance of training remain suboptimal^[22,24,25] in terms of adherence to guidelines^[26] and other service-related factors.^[22,27,28]

There are growing concerns regarding the performance of rural HCWs in Tanzania.^[20] Further evidence shows that there are challenges related to lack of motivation and evidence based guidelines.^[18,20] Qualitative approaches have rarely been made to synthesize and describe the neonatal care in Kilimanjaro region. HCW, offers the central part of the quality of neonatal health-care in Tanzania.^[29] The aim of this study was to assess the performance of HCW in providing neonatal health-care in the hospitals Kilimanjaro, Tanzania.

Materials and Methods

Study design, setting, purpose and ethics

The overall research design was a cross-sectional study using qualitative and quantitative approaches for the assessment of the performance of HCWs in 14 hospital facilities within all seven districts of the Kilimanjaro region.

The study was approved by the Kilimanjaro Christian Medical University Ethical Committee and written approval for data collection was also received from the Kilimanjaro Regional Medical Officer that interviews and pictures might be taken and results published. Before conducting interviews for health workers, written consents were obtained from all HCWs approached for discussions.

Data were collected for 5 months from 26th November, 2010 to 25th April, 2011 in all districts of the Kilimanjaro region. From 15th to 19th November 2010, questionnaires were tested for validity and reliability at the regional hospital as a pilot. A revised questionnaire approved by the supervisor was developed through paraphrasing the questions with qualitative checks. All interviewers were trained in a structured system so as to standardize their interpretation of management and minimizing information bias such as interview bias and reporting bias.

Hospital and health workers selection

By a purposive sampling method, all facilities have an average of more than 12 deliveries in a day and allowed to refer sick neonates to the tertiary referral hospital in the region.

Within each selected hospital, HCWs who had neonatal care responsibilities in the past 6 months (main criterion) were

selected by convenience sampling. They were then asked to recall a sick neonate needed critical care in past 6 months (second criterion) before approached for a possible interview [Figure 1] recalling neonatal deaths in the last 12 month was additional. Visits to hospitals were unannounced.

Data collection

Hospitals were visited without prior notification and all HCW with the criteria to be interviewed were contacted. After consenting in written permission, HCWs were interviewed individually in English. HCW were then asked if they had received any training related to neonatal health-care.

First, HCWs were asked to rate their own level of knowledge. Second, through indirect assessment, HCWs were inquired to recall one neonate who needed critical care. Interviews that allowed in-depth description were used to probe their management on reaching the diagnosis, investigations used and treatments. Then HCW were asked to state what went well, what went wrong and what she/he would wish to do. When the explanations were ambiguous, the embedded guide of in-depth interview was used to catch the responses in details. Detailed discussions were written in the notebooks while the digital recorders were left on recording the discussions. The narratives were then entered in a Microsoft Access 2007 database.

Data analysis

We analyzed our quantitative data by using STATA v10 (StataCorp, TX, USA) for statistical comparison using Chi-square test to test the difference between the categories and odds ratio (OR) for association between independent and dependent variables. Microsoft Excel 2007 software was used for graphical presentations from the STATA results.

The taped interviews were transcribed verbatim. Qualitative variables from the narratives were coded into different themes using colors and the themes were counted so as to generate the final picture of the discussions. Narrative given by health

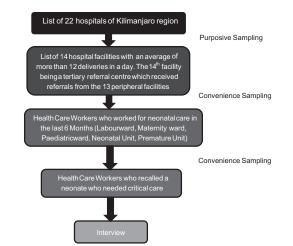


Figure 1: Sampling of techniques in general

workers are labeled by academic qualifications, age and the facility they are from.

A pre-prepared consensus list (World Health Organization [WHO] emergency triage assessment and treatment (ETAT) Manual and Kilimanjaro Christian Medical Center Pediatric management manual) for the management of anticipated conditions, including birth asphyxia, extreme prematurity, meconium aspiration, general respiratory distress, congenital cardiac disease, complex congenital malformations. This was compiled with the additional guide for triaging sick neonates who needs critical care based on the WHO-ETAT manual for justifying mistakes. The mistakes were counted on justifying diagnosis from complaints mentioned, what investigations were necessary and what medications were required. The numbers of mistakes were used to estimate the level of knowledge. One wrong answer on a clinical problem or no wrong answer was taken as satisfactory; two wrong answers of any type were moderate and three or more wrong answers were considered as a low level of knowledge.

A head count of actual staff present during the visits were recorded from the health management information system or Mfumo wa Taarifa za Uendeshaji Huduma za Afya booklets and duty roasters for work shifts. We calculated the WHO devised workload indicator for staffing need (WISN)^[30,31] by using the WHO Manual for Implementation^[32] for duties of each cadre of health workers in the district hospitals. The WHO's Workforce/WISN method is used to calculate and estimate the number of health workers required to achieve the neonatal health "service guarantees". We measured the ratio between current staffing levels and the ideal expected number. We calculated the expected numbers of health workers by using the amount of hours available for work in a year per one health worker compared with the total duration of time in hours in 1 year required to serve hospital based on neonates available. The WISN ratio was given by the number of health workers available divided by the number of health workers expected.

Quantitative results

A total of 148 HCWs responsible for providing neonatal care were interviewed; nearly 81.1% (120/148) were from peripheral hospitals (2 health centers, 10 District Hospital and 1 Regional Hospital) and 18.9% (28/148) were from the northern zone referral hospital in the Kilimanjaro region. In general, 4.1% of all HCWs (6/148) were unable to recall a sick neonate and as such their data were not assessed, leaving 142 who recalled treating or attending to a sick neonate for further interviews and analysis. The HCW's demographic information for the difference between the peripheral hospitals and tertiary referral center with the levels of significance are shown in Table 1.

The staffing levels by shifts are shown in Table 2 and the respective WISN levels are shown in Table 3 for the implication of work load indication. Common diagnoses of neonates as recalled by HCW are shown in Table 4.

At the referral hospital, half of the referred cases are presented with a complicated picture of the illness as shown in Figure 2 and Table 4. More than half of the complicated conditions in the periphery were referred to the tertiary level.

One-third of HCWs, 34.1% (41/120) from the periphery and two-thirds of HCW 60.7% (17/28) from the tertiary referral center received various training for Neonatal care recommended by the Ministry of health (Pearson χ^2 [1] = 6.7; P = 0.01). Half of the HCWs who received various training in neonatal care were trained in 1 week or less.

Among 70 HCWs who reported the presence of incubators in their facilities, only 21.4% (15/70) had training on proper uses of incubators while the remaining 78.6% (55/70) did not (Figure 3 showing two neonates in one incubator by our observation).

When asked to self-grade on the level of skills required, 44.7% HCWs in peripheral hospitals (51/114) declared competency compared to 82.1% HCWs at the tertiary referral center (23/28) (Pearson χ^2 [2] = 12.1; *P* < 0.01).

By counting mistakes, highest level of knowledge (0-1 mistakes) was found in 7.7% of HCW at the health centers (1/13), 0% in a group of government district hospitals (0/31),

Table 1: Demographical information of health workers interviewed by 2011						
Demographical parameter	Frequency in peripheral facilities (n = 120) (%)	Frequency at a tertiary health center (<i>n</i> = 28) (%)				
Age in years	Mean=39.7, 95% Cl: (37.7-41.8)	Mean=35.5, 95% CI: (31.5-39.5)				
20-30	32 (26.7)	10 (35.6)				
31-40	36 (30.0)	12 (42.9)				
41-50	28 (23.3)	1 (3.6)				
51-60	19 (15.8)	4 (14.3)				
60-80	5 (4.2)	1 (3.6)				
Sex						
Male	31 (25.8)	8 (28.6)				
Female	89 (74.2)	20 (71.4)				
Profession						
Trained nurse	10 (8.3)	0 (0.0)				
Enrolled midwife nurse	26 (21.7)	0 (0.0)				
Reg nurse officer	41 (34.2)	9 (32.14)				
BSc nurse officer	3 (2.5)	1 (3.6)				
Clinical officer	8 (6.7)	0 (0.0)				
AMO	19 (15.8)	0 (0.0)				
Medical officer	12 (10.0)	5 (17.8)				
Resident doctor	0 (0.0)	9 (32.1)				
Pediatrician	1 (0.8)	4 (14.3)				

* Note: The numbers of HCW are based on the number of HCW found in a day of un announced visit. The average experience in their position was 7.7 years in their positions standard deviation of 9.5 years and range of 0.5-43 years. For age the difference was not significant (Pearson χ^2 [4] = 5.2, P = 0.26]; for the sex of HCW the difference was not significant (Pearson χ^2 [1] = 0.1, P = 0.76); for qualifications the difference was highly significant (Pearson χ^2 [8] = 66.8, P < 0.001). HCW: Health- Care Worker, AMO: Assistant Medical Officer, CI: Confidence interval

Table 2: Alloc	cation of health	workers in the room	s of neonatal	care in the 14 ho	spitals of Kilimanjaro	region
Facility code	Number of clinicians total	Number of clinicians AM shift	Number of nurses total	Number of nurses AM shift	Number of attendants nurses total	Number of attendants nurses AM shift
F01	4	2	19	3	8	2
F02	2	2	10	2	2	1
F03	5	1	12	3	13	2
F04	2	1	7	2	2	1
F05	5	2	10	2	5	1
F06	1	1	8	2	7	1
F07	2	1	27	1	18	1
F08	5	1	25	2	33	2
F09	2	1	15	2	6	1
F10	2	1	4	1	5	1
F11	4	2	6	2	2	1
F12	2	1	13	1	3	2
F13	1	1	1	1	1	1
F14	9	4	21	2	2	3

¹At F07, there are 11 doctors who make rotations in the neonatal related ward during a week. Similarly, at the F012, there are nine doctors allocated who make rotations within a week

Table 3: Staff need by WHO devised indicator of WISN, work load indicator for staff need

Facility code		Clinicians			Nurses			urse attendan	ts
	Available	Expected	WISN	Available	Expected	WISN	Available	Expected	WISN
F01	4	20.69	0.19	19	3.76	3.98	8	5.64	0.35
F02	2	3.66	0.55	10	0.65	6.01	2	0.99	2.01
F03	5	3.61	1.37	12	0.63	18.09	13	0.96	13.07
F04	2	0.85	2.46	7	0.19	47.33	2	0.22	22.56
F05	5	1.37	1.44	10	0.23	39.50	5	0.36	13.18
F06	1	7.76	0.26	8	1.48	5.70	7	2.19	1.90
F07	2	4.58	0.87	27	0.81	14.40	18	1.29	0.80
F08	5	5.75	0.53	25	1.03	6.74	33	1.55	2.57
F09	2	18.03	0.11	15	3.35	0.60	6	5.04	0.40
F10	2	5.92	0.51	4	1.07	3.71	5	1.61	3.10
F11	4	2.43	1.66	6	0.43	4.56	2	0.65	3.04
F12	2	1.92	1.57	13	0.35	8.56	3	0.52	1.90
F13	1	2.66	0.37	1	0.48	6.13	1	0.73	4.09
F14 (referral centre)	9	26.1068	0.27	21	4.76	1.47	2	7.12	0.56

** Also shown in the publication Mbw-ele et al. BMC pediatrics 2012, 12:182 http://www.biomedcentral.com/1471-2431/12/182. BMC pediatrics 2012, 12:182 , WHO: World Health Organization, WISN: Workload indicators of staffing need

13.7% church based and church based designated district hospitals (7/51), 5.3% at a regional hospital (1/19%) and 67.9% at a tertiary referral center (19/28) (Pearson χ^2 [6] = 74.2; *P* < 0.001). i.e., Highest levels of knowledge for critical neonatal care were at 7.9% (9/114) in the periphery and 67.9% (19/28) at the tertiary referral hospital (Pearson χ^2 [2] = 53.8; *P* < 0.001). The distribution moderate and lowest levels of knowledge of HCW are shown in Table 5.

On assessing the impact of training, 53.7% (15/56) had higher levels of knowledge compared to 46.4% (13/86) who did not receive training and 28.1% (15/56) had the lowest level of knowledge among trained compared to 71.9% (41/86) with the lowest level of knowledge among nontrained (Pearson χ^2 [2] = 5.9; P = 0.05). Further studying of the impact of training on insufficiency of knowledge (lowest and moderate levels) and sufficiency of knowledge (highest level) showed the association was insignificant (Pearson χ^2 [1] = 0.1; [OR: 2.1; 95% confidence interval: 0.9-4.8]; P = 0.08).

Quality of records

The invariable reasons for the absence of records are shown in Table 6 for the peripheral facilities. Outcome of care in both settings is shown in Figure 4.

Qualitative results

What could have been done better? (Qualitative findings)

There were 173 opinions of health workers gathered, 140 of which were from the peripheral hospitals. The leading opinion from the periphery was on performance of health workers themselves 28.6%, (40/140). Where three themes were found on performance, first on appropriate management, monitoring and follow-up of neonatal

Table 4	able 4: Recalling critically ill neonates by health workers from the facilities							
Facility code	No. of HW interviewed	No of sick neonates recalled	Sick neonates recalled by health workers					
F01	19	19	(Birth injury, convulsions), breathing problems, pneumonia, breathing problem, febrile illness (3), (febrile illness, breathing problems), (febrile illness, cord sepsis), (febrile illness, diarrhea), (febrile illness, neonatal septicemia) (febrile illness, sucking problem), (febrile illness, sucking problem, convulsions) (febrile illness, sucking problem, vomit), (febrile illness, sucking problems) (febrile illness, vomiting), (febrile illness, vomiting, convulsions) (low Apgar, febrile illness), second twin with breeched delivery (2)					
F02	7	6	Birth asphyxia (2), (breathing problem, convulsions, febrile illness), (febrile illness, poor feeding), (hypoglycemia, low apgar score, breathing problem), (prolonged labor, low Apgar)					
F03	8	7	Febrile illness, breathing problems (4), febrile illness, breathing problems, sucking problem, febrile illness, sucking problem, prolonged labor, birth asphyxia					
F04	10	10	Birth asphyxia (5), (birth asphyxia, low apgar), congenital heart disease, (congenital heart disease), (premature baby, neonatal septicemia), (premature baby, hartstopping)					
F05	8	7	Birth asphyxia, (congenital heart disease, breathing problem), (febrile illness, birth asphyxia), low apgar, (septic cord, breathing problems), severe malaria, spinal bifida					
F06	6	5	Birth asphyxia, (breathing problems, birth trauma), (febrile illness, sucking problem, jaundice), (low apgar, febrile illness, convulsions), (pneumonia)					
F07	9	9	Birth asphyxia (3), breathing problems (3), (breathing problems, jaundice), premature baby, (premature baby, breathing problems)					
F08	11	11	Birth asphyxia (2), birth asphyxia, premature baby (2), breathing problems (4), pneumonia, premature baby, swelling in the neck					
F09	9	9	Birth asphyxia (4), (birth asphyxia, cyanosis), breathing problems, (breathing problems, cyanosis), (low apgar, sucking problem), pneumonia					
F10	10	9	Birth asphyxia (4), neonatal septicemia (2), premature baby with breathing problem, sucking problem (2), (birth asphyxia, meconium aspiration)					
F11	10	9	Premature baby (2), pneumonia, (bilateral cleft lip and cleft palate), birth asphyxia, breathing problems, exophaloncene, febrile illness, breathing problems, meconium aspiration, breathing problems					
F12	6	6	Birth asphyxia, febrile illness (2), febrile illness, neonatal septicemia, febrile illness, convulsion, neonatal septicemia					
F13	7	7	Birth asphyxia (3), breathing problems, febrile illness, (febrile illness, neonatal septicemia), (premature baby, neonatal septicemia, febrile illness)					
F14	28	28	Severe birth asphyxia 8, birth asphyxia 4, bleeding per umbilicus, difficulty in breathing, extreme prematurity 3, failure to breathe, HIV exposed neonate with intestinal obstruction, imperforate anus, neonatal sepsis, meconium aspiration, meningitis, septicemia, premature baby with severe birth asphyxia, respiratory failure, severe anemia, severe meconium					

aspiration, Suspected bacterial meningitis, HSV infection § Some neonates presented with more than one diagnosis. HIV=Human immunodeficiency virus, HSV=Herpes simplex virus

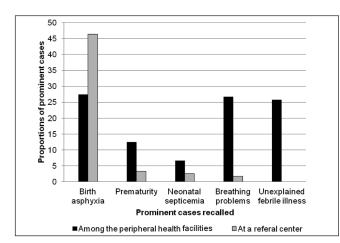


Figure 2: Prominent cases recalled in need of critical care by proportions

cases 47.5%, (19/40). For example, Assistant Medical Officer (AMO) F3, 46 years old HCW, has seen a need to *"monitor the baby, do faster reporting and make special*



Figure 3: Observation: Allocation of the two sick neonates in one incubator

follow-up care for neonates, especially those who have been resuscitated, will really improve the outcome of these babies."

Indicator used	Health center		Group of government district hospitals		Church supported and designated district hospitals		Regional hospital		A tertiary referral center		Total	
	Frequency	%	Frequency	%	Frequency	%	Frequency	%	Frequency	%	Frequency	%
Low level of knowledge	12	92.3	15	48.4	17	33.3	12	63.2	1	3.6	57	40.1
Moderate level of knowledge	0	0.0	16	51.6	27	53.0	6	31.6	8	28.6	57	40.1
Higher level of knowledge	1	7.7	0	0.0	7	13.7	1	5.2	19	67.8	28	19.8
Total	13	100	31	100	51	100	19	100	28	100	142	100

Table 6: Reasons for the absence of records of care and their reasons, as recalled by HCW in the peripheral hospitals

Indicator used	Record not available (n = 120)	Reason for the record not being available								
		No space (%)	Notes not available (%)	No enough time to do so (%)	I do not record negative findings (%)	Neonates got better why bothering (%)	Shortage of staffs (%)	No tools (%)		
Record of cyanosis	84	0 (0.0)	18 (21.4)	39 (46.4)	14 (16.8)	4 (4.8)	7 (8.4)	2 (2.9)		
Record of oxygen saturation	107	0 (0.0)	7 (6.54)	18 (16.82)	10 (9.35)	4 (3.74)	2 (1.87)	66 (61.7)		
Record of gestation	50	0 (0.0)	1 (2.0)	14 (28.0)	26 (52.0)	0 (0.0)	1 (2.0)	8 (16.0)		
Record of tone	71	0 (0.0)	0 (0.0)	12 (16.9)	39 (54.9)	13 (18.3)	2 (2.82)	5 (7.04)		
Record of level of consciousness	112	0 (0.0)	1 (0.9)	13 (11.6)	57 (50.9)	31 (27.7)	5 (4.5)	5 (4.5)		

** Summation of the reasons goes in a row wise. More than one reasons could be mention and taken into consideration

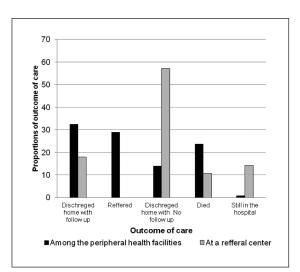


Figure 4: Outcome of care as recalled by health workers for the sick admitted neonates

Second theme was on a need of skills 45%, (18/40). Nurse Officer (NO) F4, 55 years old explained that, "*I think training of health workers on follow-up of neonatal care is necessary because resuscitation has remained to be challenging and difficult.*"

Third theme was on the theme was on lack of timely referrals to the regional or referral hospitals 7.5% (18/40). Enrolled midwife nurse F7, 38-year-old observed while considering a specific case, "We should have referred the baby; the condition was very bad, and we did not have anything to do here that could help the baby."

At the zonal referral hospital, the performance of health workers was reported at 39.4% (13/33). NO F14, 25 years old recalled a baby with Birth asphyxia and she explained that "Birth asphyxia is the main problem here. If labor and delivery were appropriately monitored, there would be less cases of birth asphyxia."

In general there was a 26.4% shortage of proper equipment at the periphery centers (37/140). AMO F11, 36-year-old who recalled a baby with febrile illness and breathing problems explained, "*If we could have a ventilation machine and pulse oximeter we could serve many babies here*." Drug supplies was complained at 7.9% (11/140) and 1 comment from the referral hospital) reported fluctuations of drug supply in the facility.

Shortage of staff was reported at 12.0% (17/140). For example, NO F9, 28 years old, complained "*we needed more skilled staff*

to treat the critically ill baby, again not only skills nut number of doctors here is not satisfying, one doctor and one nurse is not enough. We miss some techniques and team work here."

Inappropriate organization of care in the peripheral facilities was reported by 11.4% (16/140) (all from the periphery, none from the zonal referral hospital). MO F12, 47 years old HCW, told the interviewers that "*if we had a neonatal unit, we could do more monitoring and more supportive neonatal care. I think we have some tools to start with, but we only miss the arrangement.*"

The concern of proper hygiene was reported at 2.9% (4/140) all from the periphery, none from the tertiary referral hospital.

Neonatal deaths recalled

There were 68.4% HCW (78/114) from peripheral hospitals and 92.9% HCW (26/28) at the tertiary referral hospital who recalled deaths in their facility [Table 7]. Among HCWs who recalled cases of neonatal deaths, 60.6% (63/104) were at the District hospitals and Health Centers, 8.6% (9/104) at the Regional hospital and 16.3% HCWs at the tertiary referral hospital (17/104) reported that these deaths could have been avoided.

What could be done to avert neonatal deaths? (Qualitative findings)

We had 81 opinions on avoiding deaths from the 78 HCWs in the periphery. From these, 43.2% (35/81), reflected a concern regarding the quality of skills required during birth. NO F1, 28 years old HCW recalled a case fatality involving a breeched delivery in the night shift with the umbilical cord around the neck. The worker commented, "the diagnosis of the breech was known before delivery, but cesarean-section was not performed. We had a difficult time resuscitating the baby."

From the periphery 23.5% (19/81), of HCWs complained on the lack of mother's education and delay of care. NO F4, 47 years old HCW explained the need to "*educate the mothers not to take herbs and to come to the hospital with any signs of a problem.*"

A lack of equipment was mentioned by 13.6% of HCW's (11/81) among peripheral hospitals. From the tertiary referral hospital, 36.8% (7/19) reported a lack of sufficient equipment. Resident Master of Medicine in Pediatrics F14 37 years old HCW recalled a fatality involving Kernicterus and Bilirubin cephalopathy. She emphasized, *"we needed systematic blood*

Facility name	No of HW	No of deaths recalled	Main causes of deaths as recalled by health workers
F01	19	11	Birth asphyxia (3), breathing problems (2) breech delivery and cord around the neck, cord sepsis, died on arrival reason not known, (died on arrival, febrile illness, neonatal septicemia), neonatal septicemia, prolonged labour
F02	6	6	Birth asphyxia (4), congenital malformation, febrile illness
F03	7	6	Birth asphyxia, breathing problems, febrile illness, sucking problems, neonatal septicemia, (premature delivery, febrile illness, cord sepsis), (shortness of breath, physiological jaundice)
F04	10	6	Cord around the neck (2), premature delivery (2), neonatal septicemia, sucking problems
505	7	6	Birth asphyxia (3), jaundice, local herbs intoxication, neonatal septicemia
F06	5	4	(Birth asphyxia, cord around the neck), (birth injury, febrile illness), (febrile illness, convulsions), local herbs intoxication
F07	9	8	Shortness of breath (2), congenital heart disease, (congenital heart disease, shortness of breaths), diarrhoea, neonatal septicemia, premature delivery, premature twin delivery
F08	11	6	Shortness of breath (4), premature delivery, birth asphyxia
F09	9	8	Neonatal septicemia (3), birth asphyxia (2), mother with big breasts lied on a baby, premature delivery, (ruptured placenta, birth asphyxia)
F10	10	7	(Cord prolapsed, breech delivery, shortness of breath), (fetal distress, birth asphyxia), (i don't remember, i heard in a morning report), (meningitis, neonatal septicemia), premature delivery, (prolonged labour, premature rapture of membrane), shortness of breath
F11	9	4	Birth asphyxia (2), (premature delivery, shortness of breath,), premature delivery
F12	6	3	Febrile illness, (febrile illness, convulsions), neonatal septicemia
F13	7	3	Birth asphyxia, (birth asphyxia, cord around the neck), (breech delivery, cord around the neck)
F14	28	26	Severe birth asphyxia (3), birth asphyxia, premature delivery (4), extreme prematurity (2), arrived too late for delivery (very low birth weight), end stage renal disease, gastroschisis, imperforate anus, (kernicterus hyperbillirubinemia (2), meningomyelocoele, necrotizing eneteroclolitis, neonatal septicemia, (premature with extremely low birth weight), (preterm birth, necrotising enterocolitis), respiratory distress syndrome, severe anaemia, severe birth asphyxia, hie stage 2

^{‡‡} Some neonates presented with more than one possible causes

transfusions, logistics, more tools, and phototherapy, as in many occasions babies need to share tools here. Lastly I would like to comment on exchange blood transfusion as an important way to save this baby."

Discussions

The Kilimanjaro region is generally characterised by the suboptimal performance of health workers in critical neonatal care from peripheral facilities. The main reasons are low levels of knowledge that affect management, monitoring, follow-up skills and referral and staffing levels can affect documentation and evaluation process. Although the tendency to refer neonates to tertiary centers is high, a majority of neonates die in the process of referral or immediately after a referral to a tertiary center.^[29]

Our qualitative findings from the perceptions of HCW could explain our quantitative findings and some global findings in neonatal deaths^[1,33] that were justified by large surveys from Tanzania^[34] on health workers' performance attributes.^[16]

The HCW felt there is a huge gap between skills available and skills required for neonatal health- care. This is shown in our qualitative finding that there were unnecessary referrals from the periphery. Furthermore, most of the diagnoses found quantitatively were manageable in the periphery. These challenges can that can be solved by building the capacity of HCWs qualified at the levels certificates and diplomas peripheral facilities.

Qualitatively, HCW showed supplies of equipment and drugs are crucial barriers of the performance, but not the core of the problem as reported previously.^[23] Review of diagnoses dealt, triaging and monitoring came first to be reported and then followed by supplies of equipment and drugs as it can be shown in rapid assessments.^[29]

Poor organization of care and poor hygiene (least commented) is found to be in line with the high workload in a provision of acceptable quality care areas as previously reported.^[31] We could find that frequent WISN calculations will be useful in estimating staffing need in neonatal care^[30-32] and staff recruitment. In this case, our study reflects the need for intervening the staff levels required in night shift.

The lack of updated science based guidelines on health-care, staffs supports and mothers awareness and involvement^[35] are the constraints constraint for evidence based practice in developing countries.^[36] Our study emphasises the need for the development of the standards for staff duties by cadres, level of education and motivation of HCW.^[29]

Apart from the Ministry of health recommended training, on job facility based designed practical trainings are not normally practiced in the facilities. These findings are similar in both rural Asia and southern America.^[6,37] However, the responsible governments in sub-Saharan Africa including Tanzania delay in setting strategies to educate the health workers.^[20,38]

Our quantitative findings in knowledge assessment gave borderline 95% significance level of association between the previous trainings on neonatal resuscitation, integrated management of childhood illnesses, and the use of kangaroo methods and the three levels of knowledge, reflect a need of training.^[25,39] However, a detailed study through the OR assessment showed an opposite finding with a confusing theme. This might be caused by the recall bias and type a case recalled during the interview and the lack of specific training assessment. There is an evidence that neonatal care in the 24 hours of life needs to be evaluated and promoted^[36] with the practical trainings.^[40,41] Furthermore, motivation and aftertraining performance evaluations need to be set into routine practice.^[12,42] Other stake holders of health-care services^[43] can assist the exercise especially where there is a challenge of finance^[44] and supplies.^[38] Reputable and recognized academic institutions may play a role in motivation^[12] toward improving HCW performance.

Study limitations and strengths

Our study design used one interviewer to one HCW and could not solve all issues of systemic error like interobservers variation. Recalling the sick neonates exposed the data to recall bias. Information based on one case for assessing the knowledge affected our findings and conclusions. The study design was cross-sectional with a limited sample size; hence, it failed to generate temporal relationships between training and knowledge and then quality-of-care and outcome.

It was hard to draw a line between the knowledge to be assessed and knowledge required for giving comments for the quality improvements. However, to avoid a Hawthorn Effect (observation bias), visits were made in a short period to all facilities at once without prior information.

The strength of this study comes from gathering a combination of data collection techniques at the different levels of health-care.^[45]

Conclusion

Performance of HCWs in early neonatal care is suboptimal in the management, monitoring and follow-up. Frequent evaluation in the peripheral facilities is Important.

Recommendations

Guided Practical Specific neonatal health-care trainings among HCWs educated at diploma level are required. The use of training of trainer expansion and "on job after-training monitoring system" is required in Tanzania. Clinical trial and systematic reviews of the impact of training in neonatal care are needed.

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