

## Carriage of sub-microscopic sexual and asexual *Plasmodium falciparum* stages in the dry season at Navrongo, Ghana

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### SUMMARY

**Background:** We investigated the prevalence of sub-microscopic *Plasmodium falciparum* infections and gametocyte carriage in asymptomatic individuals in Navrongo in northern Ghana, an area of seasonal malaria transmission.

**Design:** A cross sectional study of 209 randomly selected participants of all age-groups was conducted in February and March, 2015.

**Methods:** Capillary blood samples collected from these individuals were used for the detection of both asexual and gametocyte stage parasites by microscopy, reverse transcriptase polymerase chain reaction (RT-PCR) and conventional nested PCR methods. The prevalence data as determined by microscopy and molecular methods were compared using chi-square tests.

**Results:** Parasitaemia from these asymptomatic infections ranged from 40 to 3,520 parasites/ $\mu$ l of blood (geometric mean parasitaemia = 732 parasites/ $\mu$ l). The prevalence of asymptomatic *P. falciparum* carriage was 4.8% (10/209) and 13.9% (29/209) using microscopy and RT-PCR respectively. The overall prevalence of sub-microscopic infections in the total number of samples analysed was 9.1% (19/209) and 66% (19/29) of the asymptomatic infections. *P. falciparum* gametocytemia detected by microscopy was 1% (2/209) and 3.8% (8/209) by PCR.

**Conclusion:** This is the first report of sub-microscopic asexual and gametocytes infections in the dry season in a seasonal malaria transmission area in Ghana. It has established that persistent latent malaria infections occur and that these could supply the source of parasites for the next transmission season. The findings highlight the presence of sub-microscopic infections and therefore the need for active case detection surveillance to eliminate "asymptomatic reservoir" parasites and consequently break the transmission of the disease in Ghana.

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**Keywords:** *Plasmodium falciparum*, asymptomatic infections, sub-microscopic infections, microscopy, reverse transcriptase PCR, Navrongo

### INTRODUCTION

The integrated interventions against the malaria parasite and the vector have led to reduction in malaria mortality by 47% globally and 54% in WHO Africa Region during the period 2000–2013.<sup>1</sup> Within the same period, mortality rates among under-five age group also declined by 53% globally and by 58% in Africa.<sup>1</sup> As such,

the new malaria agenda is focused on the elimination of the disease at country level and eradication globally through malaria treatment and vector control.<sup>2,3</sup> Among the planned strategies to achieve elimination is to target asymptomatic infections otherwise known as the parasite 'asymptomatic reservoir' to treat and kill the para-

sites.<sup>4</sup> These infections can either be ‘microscopic’ detectable by microscopy or sub-microscopic by molecular methods only.<sup>5</sup> Most asymptomatic infections remain untreated and thus, the possibility of transmission of the disease in the presence of vectors. The Malaria Eradication Research Agenda (malERA) therefore suggests the treatment of any level of parasitaemia because it represents a potential for transmission.<sup>6</sup> This makes sub-microscopic infections targets for parasite elimination.

As malaria elimination programs pursue mass screening and treatment of asymptomatic individuals, further research is needed to define the degree to which sub-microscopic malaria contributes to the transmission of parasites from the infectious reservoir and, in turn, what diagnostic detection threshold is needed to effectively interrupt transmission. Since parasites from asymptomatic infections persist for long periods due to lack of treatment and may develop into gametocytes which are picked up by mosquitoes for onward transmission and therefore it has become expedient to detect such infections in order to break transmission.<sup>7</sup> *Plasmodium falciparum* gametocytes can be detected in the bloodstream 7 to 15 days after asexual parasites are seen.<sup>8,9</sup> A recent study using molecular gametocyte detection tools and modelling concluded that gametocytes may persist for well beyond one month after clearance of asexual parasites.<sup>10</sup> The ability of a few matured gametocytes from sub-microscopic infections to form gametes that will produce numerous oocytes then to infectious sporozoites therefore should not be underestimated. Studies conducted in the Gambia and Kenya have shown that about 15-20% of untreated asymptomatic infections developed into transmissible gametocytes after four weeks.<sup>11,12</sup> Studies conducted in Ethiopia, Senegal, Colombia and Thailand revealed the prevalence of sub-microscopic malaria carriage to be 19.2%, 14.8%, 9.7% and 11.4% respectively.<sup>13,14,15,16</sup> This is indicative of the presence of such low level parasitaemia and the interest to detect these infections in malarious areas for the purpose of elimination.

In 2012, Ghana recorded an estimated 6.9 million cases of malaria out of which 17,000 deaths occurred.<sup>17</sup> In this era where all efforts are geared towards malaria elimination, data on malaria transmission potential of asymptomatic malaria infections is most appropriate. The determination of the prevalence of sub-microscopic infections and the relative contribution to the overall transmission of malaria in the country will provide significant information that will be useful towards the elimination efforts.

The northern parts of Ghana experience seasonal malaria transmission with high transmission during the rainy season followed by comparatively low to no transmission during the dry season spanning five months.<sup>18</sup> However, upon the onset of the rains, there is an upsurge of malaria cases at the Out Patient’s Departments (OPDs) in health centres, clinics and hospitals, which is suggestive of the persistence of parasites throughout the dry season.<sup>18</sup> The seasonal malaria transmission areas can be used as sites to begin the elimination efforts by finding and treating the asymptomatic reservoir just before the transmission season. This study therefore determined the prevalence of sub-microscopic *P. falciparum* parasite infections and gametocyte carriage in asymptomatic individuals in northern Ghana to provide scientific evidence-based data on such infections. This study is the first to describe the prevalence of sub-microscopic infections in this part of Ghana.

## METHODS

### Study Site

The study was carried out in Navrongo, the administrative capital of Kassena-Nankana District (KND) in the Upper East Region of Ghana. Navrongo has an estimated population of 25,470 and lies in Guinea savanna zone with distinct wet and dry seasons. The wet season extends from April to October and the dry season starts from November and ends in the latter part of March. The entomological inoculation rate in the dry season is about 10 infective bites per person per month whilst for the wet season is about 50 infective bites per person per month.<sup>18</sup> The population consists of two distinct ethnic groups, the Kassena forming 49% of the district’s population and the Nankani about 46%. The Builsa and migrants make up the remaining 5%. The inhabitants live within traditional compounds of extended family groups. The site is served by the Navrongo Health Research Centre (NHRC), which uses the Navrongo Health and Demographic Surveillance System (NHDSS), a computerized database, to track births, deaths, migration and other population features. Fieldworkers visit compounds every three months to collect data on their health and socio-demographic information.<sup>19</sup> Malaria is endemic in the area and is the most important cause of morbidity and mortality in KND.<sup>18</sup> *P. falciparum* is the predominant parasite species, being transmitted in the area by both *An. gambiae s.s.* and *An. Funestus*.<sup>20</sup>

### Study Design

A cross sectional study was conducted during the months of February and March 2015, a period of low to zero malaria transmission in the northern part of Ghana.

### Inclusion criteria

Males and females of all ages living in the communities of Navrongo who showed no signs and symptoms of malaria (which include, absence of axillary temperature  $\geq 37.5^{\circ}\text{C}$  or history of fever during the past 24 hours, headache and vomiting) were eligible for the study. In addition to the ability to give informed consent either by self or parent/guardian, they were recruited for the study.

### Exclusion criterion

Neonates were excluded since they are less than 4 weeks old and may not carry parasites due to the low transmission season.

### Sample size determination

The sample size determination was based on achieving a 90% power of detecting sub-microscopic malaria parasite carriers, with 95% confidence that the sample proportions obtained will differ from the population proportions by not more than 5%. Using an estimated sub-microscopic malaria prevalence of 15%, a mean value from previous studies sample size (n) of 196 participants was determined.<sup>15</sup>

### Sampling

Stratified random sampling method was employed in selecting the study participants. The total population of Navrongo central was categorized into six age groups, <5, 5-14, 15-24, 25-34, 35-44 and >44 years using the database of the Navrongo Health Demographic Surveillance Health System (NHDSS). Forty-four individuals were then selected randomly per age group by a computerized system. This number was selected to make room for absenteeism and non-consenting persons as well as those who may not meet the inclusion criteria. A list of the selected individuals with household and compound identification numbers was generated. All the selected compounds were visited and those who consented after meeting the inclusion criteria were recruited.

### Ethics

All participants were given detailed information about the study aim and procedures in both English and the local language before they signed or thumb printed individual consent forms. Parents and guardians signed or thumb-printed on behalf of their children below 12 years, while assent forms were signed or thumb-printed by children between 12 and 17 years. Participants were assured of their privacy and confidentiality by allocating codes/numbers to samples instead of their names. Individual informed consent was obtained after the consent form was read and interpreted to them in the local language. Ethical approvals for this study were obtained

from Institutional Review Boards of the Noguchi Memorial Institute for Medical Research (NMIMR IRB CPN 043/14-15) and the Navrongo Health Research Centre (NHRCIRB195).

### Data Collection

#### *Questionnaire Data Collection*

Information on demographic characteristics of each participant was collected by means of a structured questionnaire.

#### *Detection of malaria parasites by microscopy*

Thick and thin blood films were prepared on the same slide with blood (total of 9 $\mu\text{l}$ ; 6 $\mu\text{l}$  for thick film and 3 $\mu\text{l}$  for thin film) from a finger prick from each participant. The blood smears prepared from the field were air dried, the thin films fixed with absolute methanol and both stained with 10% Giemsa stain for 10 mins. The stained slides were examined at two different laboratories by experienced microscopists. A slide was considered negative only after 200 oil emersion fields have been examined without seeing a parasite. Any discordant result was again assessed by a third microscopist before the final result was recorded. Parasitaemia was determined by counting the number of asexual parasites per 200 white blood cells and converted to per microliter ( $\mu\text{l}$ ) by assuming a white blood cell count of 8000 cells per  $\mu\text{l}$ . The sexual parasite count was done per 1000 white blood cells.

#### *Detection of Plasmodium sp. using reverse transcription polymerase chain reaction (RT-PCR)*

About 250 $\mu\text{l}$  of blood was taken into EDTA tube using the finger-prick method and 750 $\mu\text{l}$  of Trizol reagent (Life Technologies, USA) was added on ice, and the mixture stored at  $-80^{\circ}\text{C}$  in the laboratory, until samples were transported under cool condition to Accra for molecular analysis. High quality RNA was extracted from the whole blood preserved in Trizol using the manufacturer's protocol (Life Technologies, USA). *Plasmodium* parasites detection used the RNA samples following a published protocol for genus-specific RT-PCR.<sup>21</sup> Briefly, an initial step involved the cleaning of the RNA template by removing contaminants such as genomic DNA using QuantiTect Reverse Transcription Kit (Qiagen, Hilden, Germany). Then the reverse-transcription (RT) reaction was done for the generation of cDNA. The cDNA of all the samples were then used for the RT-PCR detection of *Plasmodium* species. Amplification and real-time measurements were performed in the Applied Biosystems 7300 analytical PCR system (Life Technologies, USA). The reaction mix of 9 $\mu\text{l}$  contained 0.4 $\mu\text{M}$  each primer, 0.2 $\mu\text{M}$  probe, and 4mM  $\text{MgCl}_2$ , QuantiTect Probe RT-PCR Master Mix (Qiagen, Hilden, Germany) and QuantiTect RT Mix (a blend of

Omniscript and Sensiscript Reverse enzymes) as recommended by the manufacturer at a rate of 1µl per 100 µl of the reaction master mix. A volume of 1µl of template was added to the mix. The cycling parameters were 50°C for 30 min for reverse transcription, 95°C for 10 min and 40 cycles of 95°C for 15 seconds, 60°C for 1 minute.

*Detection of P. falciparum gametocyte by nested RT-PCR*

The gametocyte *Pfs25* transcript was detected using nested RT-PCR following published protocols.<sup>22,23</sup> Briefly, the cDNA generated from the reverse transcription reaction described above was used for the nested PCR. The primary PCR was conducted by adding 2µl of cDNA from the RT step to 23µl of master mix that contained; 100µM of each dNTP, 1X PCR buffer (50Mm KCl, 20mM Tris-HCl, pH 8.3), 1.5mM MgCl<sub>2</sub>, 1.25U of *Taq* polymerase enzyme and 0.4µM of a set of primers, F1: 5'-TAA TGC GAA AGT TAC CGT GG-3' and R1: 5'-TCC ATC AAC AGC TTT ACA GG-3'. The cycling conditions were an initial denaturation at 94°C for 2 minutes, 45 cycles of 94°C for 30 seconds, 52°C for 60 seconds and 68°C for 2 min 30 seconds. Two microliters of the amplicon was used for the nested PCR using the primers, F2: 5' - AAC CAT GTG GAG ATT TTT CC-3' and R2: 5' - ACA TTC TTA CAT TCA TTT GG-3'. The cycling conditions were the same as for the primary PCR, but for 30 cycles instead. The expected size of the PCR product was 124bp for *P. falciparum* gametocytes.

*Detection of Plasmodium species using nested PCR*

The samples positive by the genus assay were further analysed for *Plasmodium* species using conventional PCR on parasite DNA extracted from whole blood using published protocols with few modifications.<sup>24,25</sup> Briefly, the reactions were carried out in final volume of 25µl, which contained 1X PCR buffer, 4mM Mgcl<sub>2</sub>, 200µM of each dNTP, 0.25µM of each primer, 1U of *Taq* polymerase and 2µl of genomic DNA for the primary amplification. The nested reaction was carried out with similar reaction mix except that 1µl of the primary PCR products was used as template. The cycling conditions for the primary reaction were an initial denaturation at 94°C for 1 minute, 35 cycles of 94°C for 1 minute, 58°C for 2 minutes and 72°C for 5mins, and a final extension at 72°C for 5 minutes. The nested reaction was carried out with the same parameters but was 30 cycles instead of the 35 cycles. The expected size of the PCR amplified fragments for *P. falciparum* is 205bp.

*Data Analysis*

The results were entered into Epi-data 3.0 and exported to StataMP11 software (Stata Corporation, College Station, USA) for analysis. Univariate analysis was carried

out to determine frequencies and proportions. Baseline characteristics were cross tabulated to determine the prevalence of asymptomatic infections for both microscopic and sub-microscopic parasitaemia and these were compared using chi-square tests. Chi-square test for trends was used to determine increasing or decreasing trends in detected parasitemia with age using GraphPad Prism version 5.01 (GraphPad Software Incorporated, California, USA)

**RESULTS**

A total of 209 individuals participated in the study and comprised of 98 (46.9%) males and 111 (53.1%) females. The mean age plus standard deviation was 27.9 ± 21.02 years and the age range was 1 to 82 years. Of the 209 participants, 162 (77.5%), 18 (8.6%), 3 (1.4%) and 26 (12.4) were of the Kassena, Nankam, Builsa tribes and migrant residents in Navrongo respectively. Investigation on ITN use revealed poor patronage and only 12.4% (26/209) of the participants used them regularly. There was no significant difference between those who sleep under nets (15.4; 4/26) and those who do not (13.6; 25/183) (p>0.05).

**Table 1** Characteristics of the study population and parasite prevalence

Characteristics (209)	Number Examined n (%)	Prevalence by Microscopy n (%)	Prevalence by PCR n (%)
<b>Age groups(years)</b>			
<5	29 (13.9)	3 (10.3)	4 (13.7)
5 – 14	38 (18.2)	2 (5.3)	8 (21.1)
15 – 24	37 (17.7)	1 (2.7)	8 (21.6)
25 – 34	34 (16.3)	1 (2.9)	3 (8.8)
35 – 44	31 (14.8)	2 (6.4)	4 (12.9)
>44	40 (19.1)	1 (2.5)	2 (5.0)
<b>Malaria treatment in the past 2 weeks</b>			
Treated	5 (2.4)	0 (0)	0 (0)
Not treated	204 (97.6)	10 (4.9)	29 (14.2)
<b>ITN use</b>			
Use net	26 (12.4)	2 (7.7)	4 (15.4)
Does not use net	183 (87.6)	8 (4.4)	25 (13.7)
<b>Occupation</b>			
Farmer	18 (8.6)	2 (11.1)	2 (11.1)
Public Servant	8 (8)	0 (0)	0 (0)
Trader	64 (30.6)	2 (3.1)	6 (9.4)
Unemployed	119 (56.9)	6 (5.0)	21 (17.6)
<b>Level of education</b>			
No formal Education	53 (25.4)	5 (9.5)	19 (18.1)
Basic Education	105 (50.2)	4 (3.8)	2 (5.9)
Senior High	34 (16.3)	1 (2.9)	1 (5.9)
Tertiary	17 (8.1)	0 (0)	7 (13.2)
<b>Marital status</b>			
Married	98 (46.9)	2 (2.0)	4 (4.1)
Single	103 (49.3)	8 (7.8)	23 (22.3)
Divorced	8 (3.8)	0 (0)	2 (25.0)

*Prevalence of parasitaemia by microscopy*

The prevalence of *P. falciparum* parasite carriage among various characteristic groups is shown in Table 1. The microscopy-based prevalence of asexual *P. falciparum* parasites was 2.7% (3/111) among females and 7.1% (7/98) in males with an overall prevalence of 4.8% (10/209).

All the parasites were identified as *P. falciparum* and the geometric mean parasitaemia was 732 parasites/ $\mu$ l of blood (range = 40 to 3,520 parasites/ $\mu$ l). The highest proportion of individuals with parasitaemia observed in the <5 years age group was 10.3% (3/29) and this decreased with an observed increase in age (Figure 1) although not statistically significant ( $\chi^2 = 3.57$ ;  $p=0.058$ ). Two individuals aged 38 years and 3 years carried gametocytes at gametocytemia rates of 240 and 720 parasites/ $\mu$ l of blood respectively. These same individuals also had the highest asexual parasitaemia, being 1440 and 3520 parasites/ $\mu$ l of blood.

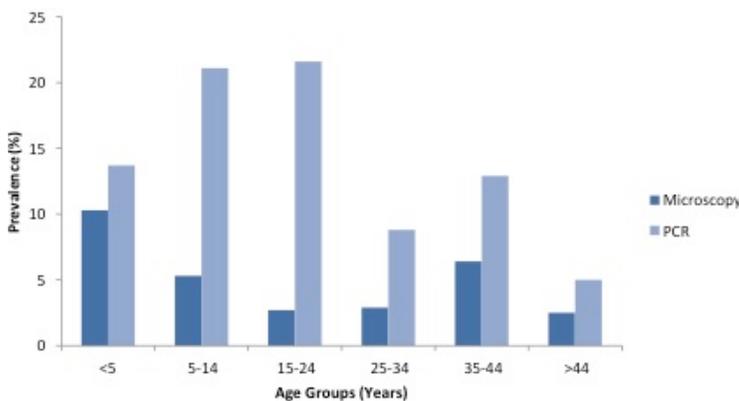


Figure 1 Prevalence of *P. falciparum* asexual parasites by age groups

*Prevalence of Plasmodium asexual and gametocyte stages by RT-PCR*

Of the 209 samples used for the molecular detection of parasites, 13.9% (29/209) were positive for *Plasmodium spp*, thus the overall PCR-based prevalence of asymptomatics which was approximately threefold over microscopy. There was no significant difference between the proportions of male, 51.7% (15/29) and female, 48.3% (14/29) carriers of the parasites ( $\chi^2 = 2.25$ ;  $p>0.05$ ). The highest prevalence of *P. falciparum* parasites was observed among the 5 – 24 year age group while the lowest was among the age-group >44 (Figure 1). The trend for decreasing PCR observed parasitaemia with age was significant ( $\chi^2 = 7.97$ ;  $p=0.004$ )

Of the asymptomatic infections detected by PCR, 66% (19/29) were sub-microscopic infections, thus an overall prevalence was 9.1% (19/209). Among males and females, sub-microscopic prevalence of infections were 8.2% (8/98) and 9.9% (11/111) respectively which were not significantly different ( $\chi^2=0.1921$ ,  $p>0.05$ ). The proportion of individuals with sub-microscopic infections was lowest among children below 5 years (3.4%, 1/29) and highest among the 15-24 years age group (18.4%, 7/37).

The *Pfs25* rRNA transcript detection method identified eight individuals as having *P. falciparum* gametocytes, thus a gametocyte prevalence of 3.8% (8/209). Three of the individuals with gametocytes did not have detectable asexual parasitaemia by microscopy. Gametocyte prevalence was highest (6.9%, 2/29) among children under 5years (Figure 2).

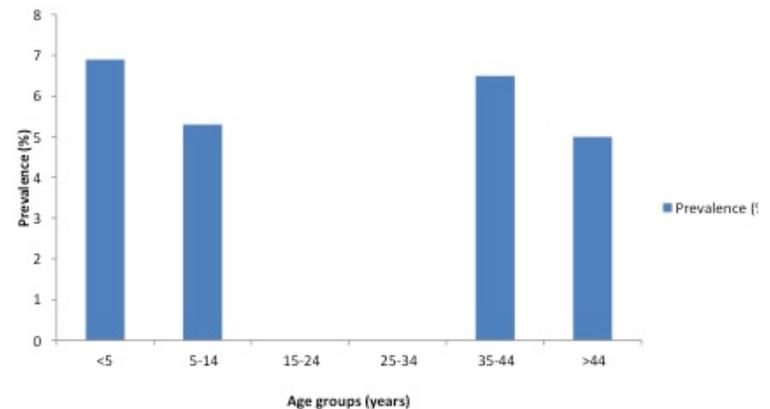


Figure 2 Prevalence of *P. falciparum* gametocytes by age groups

**DISCUSSION**

Our study determined the prevalence of sub-microscopic asymptomatic infections in an area in northern Ghana during the dry season, a period when there is low to no transmission.<sup>16</sup> Approximately 14% (29/209) of the study participants were asymptomatic *P. falciparum* carriers whilst 66% (19/29) of them were sub-microscopic infections with 4% (8/209) carrying gametocytes.

The presence of *P. falciparum* gametocytes in the human peripheral blood is an indicator of parasite transmission.<sup>26</sup> Although the success of transmission increases as gametocyte density increases, transmission may still occur at low gametocyte densities.<sup>27</sup> The low prevalence of *P. falciparum* gametocytes, 1% and 3.8% as detected by microscopy and PCR respectively, cannot therefore be underestimated as a major contributor to

the next generation of transmission in the area. It is known that in areas of high malaria transmission intensity, gametocyte carriage is most prevalent in the younger age groups with high densities of asexual malaria parasites.<sup>28</sup> which our study corroborates with our findings that the highest gametocytemia (6.9%) was observed in children below 5 years. In addition, the two individuals with microscopic gametocytes also had the highest asexual parasitaemia which is consistent with the observation that high asexual parasitaemia results in high gametocytemia.<sup>7</sup>

The highest prevalence of *P. falciparum* infections detected by PCR was 22% in the 15-24 years age group, which could be as a result of protective immunity acquired over the years. This acquired immunity therefore keeps parasitaemia at very low levels. Since parasite density is controlled by acquired immunity in the infected host<sup>7</sup> adults are more likely than children to carry sub-microscopic infections.<sup>29,30</sup> Our study showed a higher prevalence of sub-microscopic infections among older children and adults (>5years) than among children <5years (10.3% versus 3.4%) which is expected. On the other hand, prevalence by microscopy was highest among children <5 years (10.3%), an indication of higher parasite densities among the children who are still in the process of building up their protective immunity. For the effect of bednet use on carriage of asymptomatic infections, there was no significant difference between those who sleep under nets (15.4; 4/26) and those who do not (13.6; 25/183) ( $p>0.05$ ). This observation is quite interesting since one of the main interventions against malaria is the use of long lasting insecticide treated nets (LLINs).

The microscopic identification of *P. falciparum* parasites have been shown to miss on average about 50% of the infections in endemic areas compared to PCR.<sup>31</sup> This study showed that PCR detected 13.9% (29/209) against 4.8% (10/209) by microscopy which is indicative of the high sensitivity of detection by molecular methods. A study conducted in Colombia showed that molecular tools detected 61% more infections than microscopy.<sup>32</sup> Therefore in line with the assessment of progress in reducing malaria transmission, PCR proves to be the best tool for estimating parasite prevalence in the general population for mass interventions. The implication of our findings is that there is an urgent need to detect sub-microscopic infections in this era when the global community is targeting malaria elimination. In the event of high prevalence of low density infections, the use of microscopy for the diagnosis of malaria will be limited. Ghana is still at the control stage and would need intensify efforts to reach the pre-elimination stage of the disease.<sup>33</sup> Thus it is appropriate to use very sensitive diag-

nostic tools in surveys aimed at establishing prevalence of malaria to inform choice of elimination strategies. Further studies are underway to detect asymptomatic infections in other parts of the north of Ghana with seasonal malaria transmission to accumulate more data to guide the National Malaria Control Programme in formulating future control and elimination strategies in Ghana.

In conclusion, the presence of sub-microscopic asexual stage and gametocytes in the dry season in Navrongo is indicative that persistent latent malaria infections occur and that these could supply the source of parasites for the next transmission season. The findings highlight the presence of sub-microscopic infections and therefore the need for active case detection during surveillance to eliminate "asymptomatic reservoir" parasites and consequently break the transmission of the disease to achieve malaria elimination in Ghana.

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