

## Parametric optimization for the low cost production of nanostructure stainless steel powder

R. Sasikumar<sup>1\*</sup>, R. M. Arunachalam<sup>2</sup>, N. Srinivasa Gupta<sup>3</sup>

<sup>1</sup>Dept. of Mechanical Engineering, Selvam College of Technology, Namakkal – 637003, Tamilnadu, INDIA

<sup>2</sup>Dept. of Mechanical and Industrial Engineering, Sultan Qaboos University, OMAN

<sup>3</sup>Dept. of Mechanical Engineering, VIT University, Vellore, INDIA

\*Correspondence Author: email: deanmech@selvamtech.com Tel +914286-645602, Fax +914286-244186

### Abstract

In recent years, nanocrystalline materials have drawn the attention of researchers in the field of materials science engineering due to its enhanced mechanical properties such as high strength and high hardness. However, the cost of nanocrystalline materials is prohibitively high, primarily due to the expensive equipments used and the low output. Recently, researchers have attempted to produce nanocrystalline materials through machining. This research work focuses on the production of nanocrystalline materials through machining and high energy ball milling route. Nanocrystalline materials were generated through oblique machining by the large strain plastic deformation imposed by the cutting tool during machining. Stainless Steel 316L (SS) bar of 50mm diameter and length 300mm was chosen for this study. Machining parameters such as speed, feed depth of cut and rake angle were chosen under different cutting conditions. Taguchi L16 orthogonal array was adopted and optimized the machining parameters. Coated tungsten carbide cutting tool was used for the study. Machined chips were collected and cleaned using ultrasonic machine for microstructure analysis. These chips were characterized using Scanning electron microscope (SEM) and X-ray Diffraction (XRD) analysis. From the optimized machining conditions chips were generated and further processed using the high energy ball mill for preparing nanocrystalline powders. High energy ball mill parameters such as milling speed, milling time and ball to powder ratio were optimized using taguchi method. The milled powders were then characterized using Transmission electron microscope (TEM), SEM and XRD. Results show that the milled powders were in the range of 20 – 50 nm.

*Keywords:* Nanocrystalline materials, Machining, High energy ball milling, Optimization.

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### 1. Introduction

In the material science domain nanocrystalline material exhibits superior mechanical properties such as higher strength, hardness and ductility due to the use of severe plastic deformation techniques for achieving microstructure refinement in metals and alloys (Brown et al, 2002). Severe plastic deformation (SPD) and mechanical alloying (MA) are the process to produce nano crystalline materials. MA is normally a dry high energy ball milling technique that has been employed in the production of a variety of commercially useful and scientifically interesting materials (Suryanarayana 2001). High-energy mechanical milling is one of the major techniques for producing powders with nanocrystalline structures (Suryanarayana 2001). MA is the approach of producing powders grain sizes in the range of nanometre through high energy ball milling, where as SPD approach is to produce bulk nanocrystalline materials. Equal channel angular extrusion (ECAE), drawing, rolling, are the SPD approaches to produce bulk nanostructured materials (Swaminathan et al., 2007). Ball milling parameters such as types of mills, types of Milling Vials, Milling Time, Milling Speed and Milling Atmosphere are the important process variables in the MA process (Suranarayanya et al., 2001). Milling Energy, Milling Temperature and alloying materials are important variables in the ball milling (Koch, 1997).

These SPD methods possess some limitations such as multiple passes are required to create large plastic strain, high strength metals and alloys are very difficult to deform which make them difficult and expensive to scale up for large volume production (Swaminathan et al., 2007). SPD by chip formation in machining provides opportunities for engineering novel microstructures in multi-phase Al-Ti (Cai et al., 2008). Nanocrystalline metals and alloys of high strength are formed through a normal machining process (Suryanarayana 2001). The nanocrystalline Al chips by plain strain machining have been produced (Shankar et al., 2005). The chips produced in the machining of a material experience very large shear strains (Swaminathan et al., 2005). Plane strain machining (2-D) is an attractive route for creating very large plastic strains in a single stage of deformation to overcoming the limitations in the SPD process (Swaminathan et al., 2005). Machining parameters such as rake angle, depth of cut, speed influence the strain rate imposed by the cutting tools (Ravi Shankar et al., 2007). Preliminary experiments confirmed that the chip produced through oblique machining contains nanocrystalline structures and high hardness (Sasikumar and Arunachalam, 2007). These studies suggested machining is an attractive process for producing nanocrystalline materials. The research work focuses on production of nanocrystalline chips through oblique machining and optimizing the machining parameters. Further the optimized condition machined chips were milled using high energy ball milling to prepare nanocrystalline powders. The chips and powders were characterized using TEM, SEM and XRD.

**2. Experimental setup**

Stainless steel 316L (SS316L) has been chosen for this study. The length and diameter of the material is 50mm and 300mm respectively. The percentage composition of SS316L is shown in Table1.

**Table 1** Percentage Composition of SS 316L

Elements	Cr	Ni	Mn	C	S	Si	P	N	MO
%Wt.Content	6 – 18	10 – 14	2	0.03	0.03	0.75	0.045	0.10	2-3

**2.1 Parameters**

The machining and milling parameters are shown in Tables 2 & 3 respectively. Taguchi method was adopted to conduct the experiments.

**Table 2** Parameters and levels of machining

Symbols	Parameters	Levels		
		Level 1	Level 2	Level 3
A	Rake angle(degree)	-6	-10	-14
B	Depth of cut(mm)	0.5	1.0	1.5
C	Feed (mm/rev)	0.20	0.35	0.5
D	Cutting Velocity (m/min)	90	120	150
E	Nose radius(mm)	0.8	0.12	<b>Nil</b>

**Table 3** Parameters and levels of ball milling

Symbols	Parameters	Level 1	Level 2	Level 3
A	Milling speed(rpm)	300	350	400
B	Milling time(hrs)	10	15	20
C	Ball to powder ratio	15:1	20:1	25:1

**2.2 Signal – to – Noise Ratios (S/N)**

In the Taguchi method, the term ‘signal’ represents the desirable value (mean) for the output characteristic and the term ‘noise’ represents the undesirable value (S.D.) for the output characteristic. Therefore, the S/N ratio is the ratio of the mean to the S.D. Taguchi uses the S/N ratio to measure the quality characteristic deviating from the desired value. There are several S/N ratios available depending on the type of characteristic i.e. Higher the better (HB), lower the better (LB) are shown in equations 1 and 2.

$$\text{Higher the Best (HB)} = -10 \log \left[ \frac{1}{r} \sum_{i=1}^r \frac{1}{y_i^2} \right] \tag{1}$$

$$\text{Lower the Best (LB)} = -10 \log \left[ \frac{1}{r} \sum_{i=1}^r y_i^2 \right] \tag{2}$$

Where,  $r$  is the number of test in a trial  
 $y_i$  is the value of performance characteristic and the  $i^{\text{th}}$  test

2.3. Equipment

For machining the experimental trials LT16 model CNC Fanuc lathe has been used. The coated tungsten carbide cutting tool with negative rake angle was chosen for machining. Machined chips were cleaned ultrasonically using ultrasonic cleaner (M1500W). The cleaned chips were mounted in Bakelite powder by Meta mounting machine for microstructure analysis. For polishing the mounted specimen rotational speed is chosen as 300 RPM. Prepared specimen were polished using successively finer silicon carbide abrasive papers of 220 – 1200 grit size followed by a sequence of 3, 2µm diamond abrasive slurry. Micro hardness of the polished samples was measured by indentation with a Vickers indenter with a 200g load and a dwell time of 30sec on a Mitutoyo micro hardness tester. Some polished specimens were etched for microstructure analysis. The etchant was prepared as per the ASM standards i.e. 45ml of HCL, 15ml of HNO<sub>3</sub> and 20ml of methanol. The etching time was 10-30s (ASM Hand Book, 2004). The etched sample microstructure was analyzed using optical microscope (BX41M-N22MB). The mounted chips was analyzed using XRD and SEM. X- ray diffraction patterns were recorded using Rigaku Ultima III XRD (with monochromatised Cu Ka radiation; λ = 1.54Å ), in the 2θ range from 30° to 80°. Scherer equation has been applied to estimate the size of crystallites (Cuility, 2000).

$$T = K\lambda / (B\cos\theta) \tag{3}$$

Where,

T is the crystallite size, K is a constant that varies with the method of taking the breadth (K=0.89), λ is the wavelength of incident x-rays (λ=1.5406Å), B is the width of the peak at half maximum intensity of a specific phase (hkl) in radians, θ is the Bragg angle. For characterization using SEM (JEOL – 6701F Field emission electron microscope), the mounted chips were coated with auto fine coater platinum coating machine.

3. Results and discussions

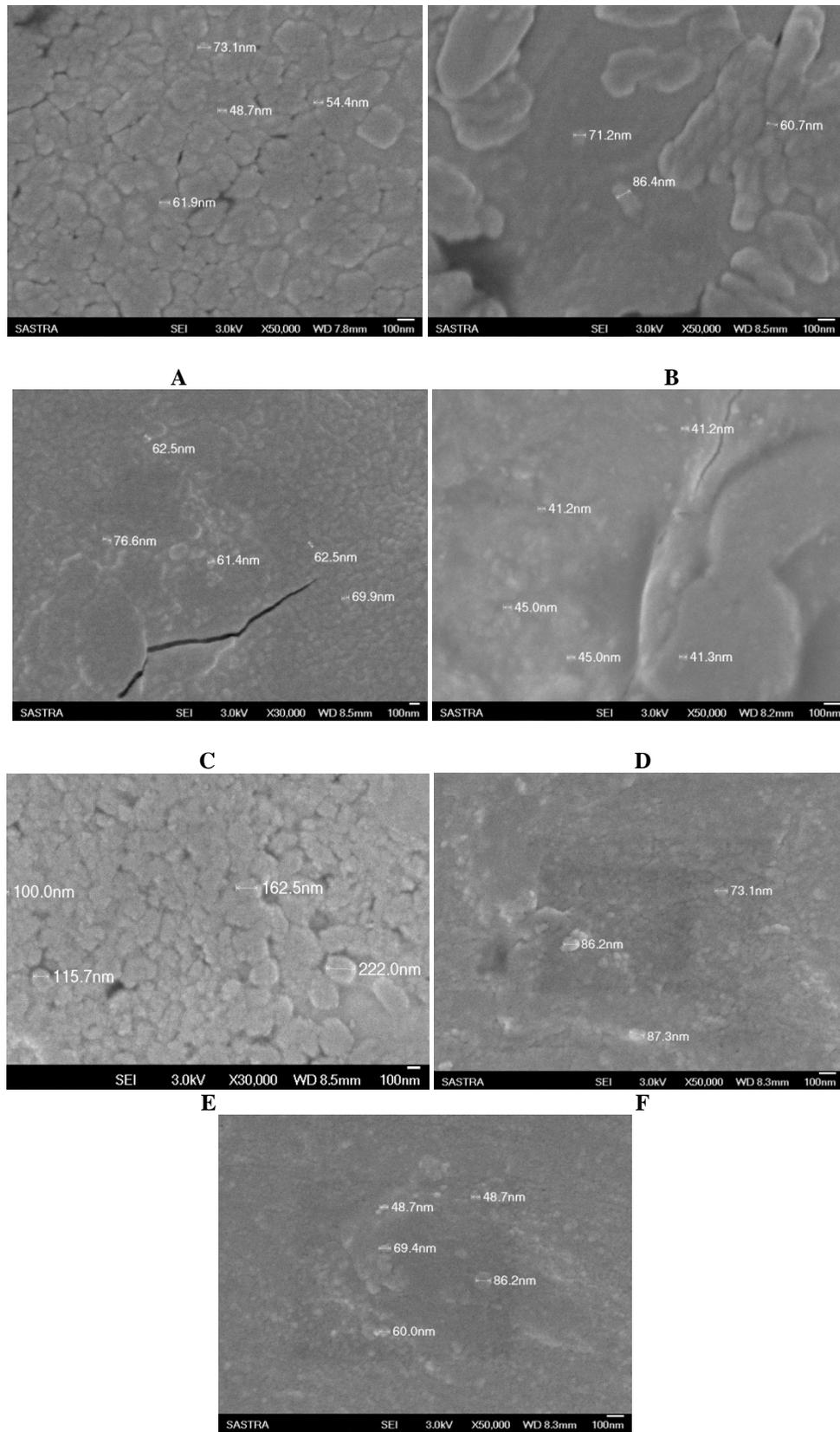
3.1 Machining parameter optimization for minimizing crystalline size

Based on the preliminary experiments the machining parameters has been optimized using taguchi technique. The crystalline size for each experimental trial is calculated using the XRD results. From the XRD graph, peak widths are measured. The measured peak width is substituted in the Scherer equation to find the crystalline size. The experimental results of each trial and S / N ratios obtained are shown in Table 4.

**Table 4** Experimental results and S / N ratio values for crystalline size

Trials	Rake angle (degree)	Depth of cut (mm)	Nose radius (mm)	Feed (mm/rev)	Cutting Velocity (m/min)	Crystalline Size (nm)		S/N Ratio(dB)
						Reading 1	Reading 2	
1	-6	0.5	0.8	0.20	90	62	61	-35.77
2	-6	1.0	0.8	0.35	120	81	70	-37.58
3	-6	1.5	0.12	0.50	150	73	26	-34.77
4	-6	0.5	0.12	0.20	90	82	62	-37.23
5	-10	0.5	0.8	0.20	150	43	82	-36.32
6	-10	1.0	0.8	0.50	90	163	43	-41.54
7	-10	1.5	0.12	0.35	90	162	32	-41.34
8	-10	0.5	0.12	0.20	120	32	61	-33.75
9	-14	0.5	0.12	0.35	90	82	82	-38.28
10	-14	1.0	0.12	0.20	150	81	163	-42.19
11	-14	1.5	0.8	0.20	120	62	82	-37.23
12	-14	0.5	0.8	0.50	90	61	81	-37.11
13	-6	0.5	0.12	0.50	120	98	61	-38.24
14	-6	1.0	0.12	0.20	90	123	62	-39.77
15	-6	1.5	0.8	0.20	90	28	61	-33.53
16	-6	0.5	0.8	0.35	150	64	41	-34.61

The FE- SEM image shows that the microstructure of the machined chips are refined to sub-micron level due to large strain deformation imposed by cutting tool at the cutting conditions. In Equal Channel Pressing, Equal Channel Angular Extrusion (ECAE) and High-Pressure Torsional straining (HPT) processing methods materials microstructure are non equiaxed structure whereas in this machining methods SEM images shows equiaxed nanocrystalline structure which has improves the mechanical properties of the materials. The crystalline size which is calculated from XRD peak is validated by comparing the approximate values estimated from the FE-SEM image using the micron scale obtained. The FE-SEM images of the samples for selected trials are shown in Figure 1 A, B, C, D, E, F and G.



**Figure 1** SEM image of (A) Exp. Trial-1, (B) Exp. Trial-2, (C) Exp. Trial-3, (D) Exp. Trial-5, (E) Exp. Trial-7, (F) Exp. Trial-9 and (G) Exp. Trial-16.

3.1.1 Determination of Optimum factor level for Crystalline

Figure 2 shows the S/N ratio response graph for rake angle, depth of cut, nose radius, feed and cutting velocity. From this graph, the optimal level for the factors has been identified. The optimal levels of the factors are shown in Table 5.

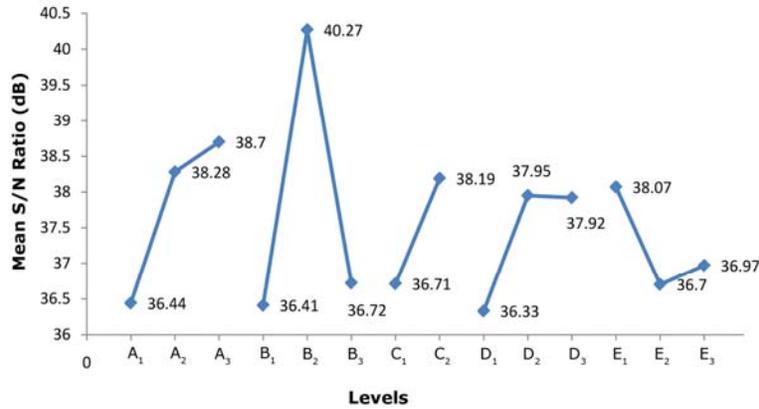


Figure 2 S/N ratio response graph for crystalline size

Table 5 Optimum level of the factors

Rake angle (degree)	Depth of cut(mm)	Nose radius(mm)	Feed(mm/rev)	Cutting velocity (m/min)
-6	0.5	0.8	0.20	120

3.1.2 Analysis of Variance (ANOVA)

Table 6 shows the result of ANOVA analysis of S/N ratio for crystalline size. The last column of the table shows the “percent” contribution (P) of each factor as the total variation, indicating its influence on the result. From the ANOVA result, the Depth of Cut is the most significant factor affecting the crystalline size. Rake Angle, Nose Radius, Feed and Cutting Velocity are the least significant factors affecting the crystalline size.

Table 6 Results of the ANOVA for crystalline size

Parameters	Sum of squares	DOF	Variance	Pure sum	F-ratio	Percentage contribution
Rake angle(deg)	16.95	2	8.475	5	1.4	4.559
Depth of cut(mm)	42.53	2	21.27	30.58	3.5	27.88
Nose radius(mm)	8.818	1	8.818	2.843	1.4	2.592
Feed(mm/rev)	10.28	2	8.56	15.50	1.4	14.20
Cutting velocity(mm/min)	5.51	2	2.76	6.44	0.46	5.872
Other factors	25.71	6	5.975	Nil	Nil	45
Total	109.66	15	Nil	Nil	Nil	100.00

3.2 Machining parameter optimization for maximizing micro hardness

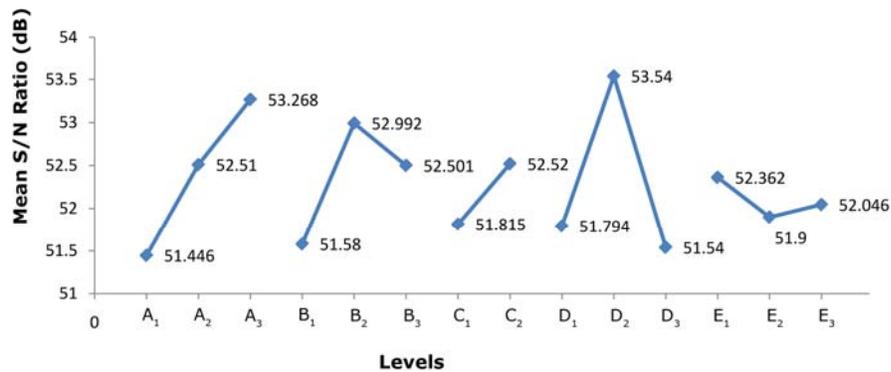
The hardness values of the chips obtained from oblique machining have been measured using a micro hardness tester. The hardness values and the S/N ratios for the experimental trials are shown in table 7.

**Table 7** Experimental results and S/N ratio values for micro hardness

Trials	Rake angle (degree)	Depth of cut (mm)	Nose radius (mm)	Feed (mm/rev)	Cutting Velocity (m/min)	Micro hardness (VHN)		S/N Ratio(dB)
						Reading 1	Reading 2	
1	-6	0.5	0.8	0.20	90	440.78	410.67	52.566
2	-6	1.0	0.8	0.35	120	444.63	537.99	50.699
3	-6	1.5	0.12	0.50	150	477.68	464.77	53.462
4	-6	0.5	0.12	0.20	90	435.00	455.59	49.955
5	-10	0.5	0.8	0.20	150	309.45	468.90	51.25
6	-10	1.0	0.8	0.50	90	480.52	512.66	53.906
7	-10	1.5	0.12	0.35	90	519.09	497.55	54.116
8	-10	0.5	0.12	0.20	120	415.10	623.38	50.769
9	-14	0.5	0.12	0.35	90	536.03	559.66	54.775
10	-14	1.0	0.12	0.20	150	533.57	462.67	53.880
11	-14	1.5	0.8	0.20	120	442.65	494.64	53.376
12	-14	0.5	0.8	0.50	90	530.81	482.28	54.062
13	-6	0.5	0.12	0.50	120	492.00	393.28	52.758
14	-6	1.0	0.12	0.20	90	498.74	449.72	53.484
15	-6	1.5	0.8	0.20	90	362.86	454.33	49.05
16	-6	0.5	0.8	0.35	150	420.21	433.67	49.594

3.2.1 Determination of optimum factor level for micro hardness

Figure3 shows the S/N ratio response graph for rake angle, depth of cut, nose radius, feed, and cutting velocity. From this graph the optimal level of the factors has been identified. The optimal levels of the factors are shown in Table 8.



**Figure 3** S/N ratio response graph for micro hardness (Legend: A: Rake angle; B: Depth of cut; C: Nose radius; D: Feed; E: Cutting velocity)

**Table 8** Optimum level of the factors

Rake angle (degree)	Depth of cut(mm)	Nose radius(mm)	Feed (mm/rev)	Cutting velocity (m/min)
-14	1.0	0.12	0.35	90

3.2.2 Analysis of variance (ANOVA)

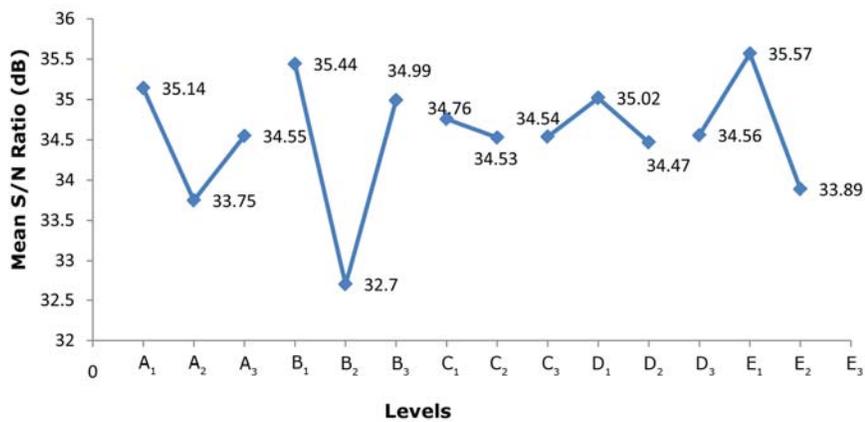
Table 9 shows the result of ANOVA analysis of S/N ratio for micro hardness. The last column of the table shows the “percent” contribution (P) of each factor as the total variation, indicating its influence on the result. From the ANOVA results, rake angle, feed and nose radius are the most significant factors affecting the micro hardness. Depth of cut and cutting velocity are least significant factors affecting the micro hardness.

**Table 9** Results of the ANOVA for micro hardness

Parameters	Sum of squares	DOF	Variance	Pure sum	Percentage Contribution
Rake angle(deg)	3.15	2	1.575	2.416	22.04
Depth of cut (mm)	1.51	2	0.75	0.776	7.40
Nose Radius (mm)	2.20	1	2.20	1.702	14.23
Feed(mm/rev)	2.44	2	1.22	1.726	16.46
Cutting Velocity(m/min)	1.54	2	0.77	0.806	7.68
Other factors	1.747	6	0.244	--	32.19
Total	10.486	15	--	--	100.00

Simultaneous optimization of micro hardness and crystalline size

Simultaneous optimization is carried out in order to maximize the micro hardness and minimize the crystalline size. Fig. 4 shows the S/N ratio graph of rake angle, depth of cut, nose radius, feed and cutting velocity. From this graph, the optimal level of the factors has been identified. The optimal level of the factors is shown in Table 10. ANOVA results show that the percentage contribution of rake angle is 23.50 and other factors (included in the investigation) are zero. Other factors such as heat treatment may contribute but have not been included in these trials.



**Figure 4** S/N ratio response graph for simultaneous optimization (Legend: A: Rake angle; B: Depth of cut; C: Nose radius; D: Feed; E: Cutting velocity)

**Table 10** Simultaneously optimized level for crystalline size and micro hardness

Rake angle(degree)	Depth of cut(mm)	Nose radius(mm)	Feed(mm/rev)	Cutting velocity(m/min)
-6	0.5	0.8	0.35	120

**3.4 High energy ball milling**

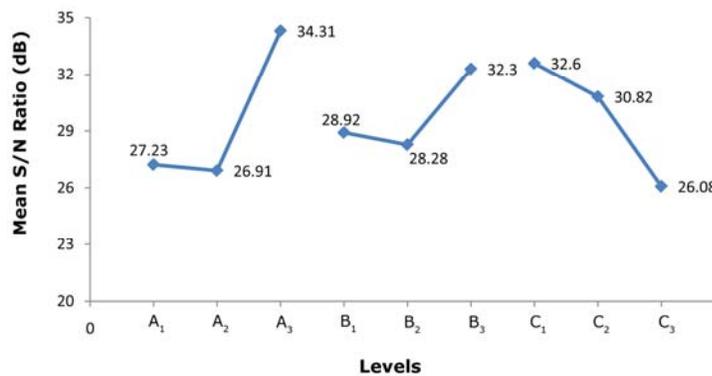
The chips that were generated from the simultaneously optimized condition were milled in the high energy ball mill. Taguchi L9 orthogonal array is adopted and the experimental results are shown in Table 11.

**Table 11** Experimental results and S/N ratio for crystalline size

Trials	Milling Speed(rpm)	Milling Time(hrs)	Ball to Powder ratio	Crystalline size(nm)	S/N ratio(dB)
1	300	10	15:1	42.604	32.59
2	300	15	20:1	25.550	28.15
3	300	20	25:1	15.997	24.08
4	350	10	15:1	16.000	24.082
5	350	15	20:1	15.975	24.068
6	350	20	25:1	42.631	32.59
7	400	10	15:1	31.931	30.08
8	400	15	20:1	42.744	32.62
9	400	20	25:1	102.702	40.23

**3.4.1 Determination of optimum factor level for minimizing crystalline size**

Figure 5 shows the S/N ratio response graph for milling time, ball to powder ratio and milling speed. From this graph, the optimal level of the factors has been identified and shown in Table 12.



**Figure 5** S/N ratio response graph for crystalline size

(Legend: A: Milling time; B: Ball to powder ratio; C: Milling speed)

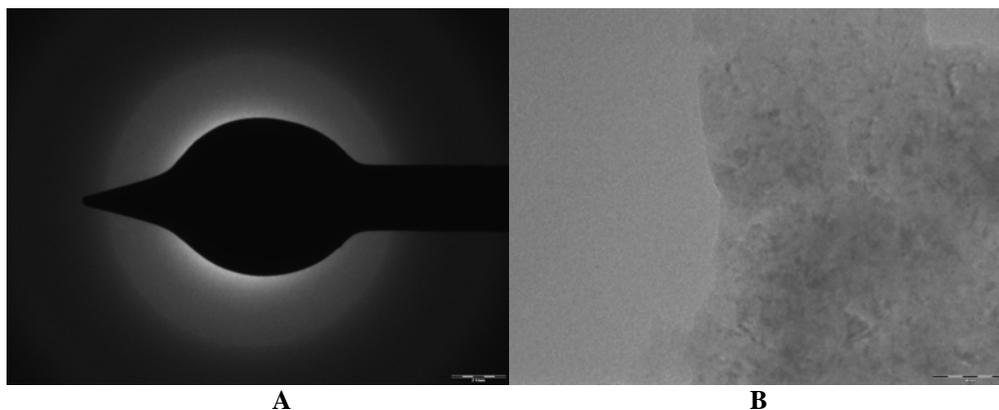
**Table 12** Optimized condition for milling

Milling speed (rpm)	Milling time (hours)	Ball to powder ratio
350	15	25:1

**3.4.2 Analysis of variance (ANOVA)**

Table 13 shows the ANOVA of ball milled SS powder for crystalline size. Based on this analysis, it can be concluded that Milling Speed is the most significant factor affecting the crystalline size whereas Milling Time and BPR are the least significant factors. The powder in which obtained from the specified milling conditions are in nanostructure powders. This nanostructure

powders having better hardness and tensile strength than the normal powders. The powders obtained from the optimized milling condition have been characterized using TEM and Laser Particle Analyzer. Figure 6 shows the TEM image. The particle size analysis revealed the average size of the particle to be in the range of 108  $\mu\text{m}$ .



**Figure 6 (A) & (B)** TEM images of ball milled powders

**Table 13** Results of the ANOVA for ball milling

Parameters	Sum of squares	DOF	Variance	Pure sum	Percentage Contribution
Milling speed (rpm)	12.5	2	6.13	126.54	54.11
Milling time (hours)	28.48	2	14.29	75.65	32.34
BPR	68.74	2	34.37	20.03	8.50
Other factors	136.53	2	28.15	Nil	5.05
Total	233.85	8	Nil	Nil	100.00

#### 4. Conclusions

Nanocrystalline structure has been obtained in the chips machined under 3D (oblique) cutting conditions where as in existing literatures, the research is based on 2D (orthogonal) cutting conditions which are seldom used in metal cutting industries. SPD methods such as Equal Channel Pressing (ECAP), Equal Channel Angular Extrusion (ECAE) and High-Pressure Torsional straining (HPT) are producing Nanostructured materials. However, these methods require multistage deformation which makes them cumbersome and expensive for large volume production. In manufacturing industries, specifically, machine shops generate huge volume of chips that are usually scrapped and so available at a significantly lower price compared to bulk materials. These machined chips could be converted into Nanostructured powders for making the components through powder metallurgy route. So this proposed method would be a low cost method for producing nanostructured materials.

From the experience gained and the limitations observed during the study, the following recommendations for possible improvements and further investigations in experimental tests and theoretical analyses can be made.

- An analytical model could be developed using Finite Element Methods (FEM) to predict the crystalline size and microhardness. The results of the model could then be compared with the experimentally determined values which have been published in this thesis.
- The nanocrystalline powders which are generated from the ball mill could be compacted into bulk material using several densification techniques such as Hot Isostatic Process (HIP) and Hot Compaction.
- The nanocrystalline powders produced from the ball milling could be used for preparing the nanofluids and study the characteristics of that fluid.

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## Biographical notes:

**Dr. R. Sasikumar** received M.E and PhD. Degree from Anna University Chennai. He has been working in various self-financing engineering colleges at various capacities in India. Since 2007, he has been doing his research in the area of Nanomaterials. He has published two research papers in refereed journals and presented two International conferences in the Nanomaterials area.

**Professor R.M. Arunachalam**, PhD, has obtained Bachelor degree in Mechanical Engineering at Annamalai University, Chidambaram, Tamilnadu, India then he did M.S by research and Ph.D in the specialization of Manufacturing Engineering at National University of Singapore (NUS), Singapore. Since 2003. He has been working as a Professor and Head, Centre for Nanomaterials/ Micromachining at Sona College of Technology, Salem, Tamilnadu, India. He has got Young Scientist Award in the year 2008 from All India Council for Technical Education (AICTE), New Delhi and also he received various research grant worth of forty lakhs INR from different India Government agencies such as Department of Science and Technology (DST), AICTE etc.

**Professor N. Srinivasa Gupta** has obtained Bachelor degree in Mechanical Engineering at Madras University, Chennai, India and then he did M.Tech in Industrial Engineering at Indian Institute of Technology (IIT), Chennai, India. Since 2004, he has been working as an Assistant Professor in the deemed university at India.

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