ORIGINAL RESEARCH

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# Performance of the 3-item screener, the Edinburgh Postnatal Depression Scale, the Hopkins Symptoms Checklist-15 and the Self-Reporting Questionnaire and Pregnancy Risk Questionnaire, in screening of depression in antenatal clinics in the Blantyre district of Malawi

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#### Background

Screening instruments for antenatal depression vary in performance. This study aimed at assessing the performance of a range of screening instruments in detecting depressive symptoms in antenatal clinics in Blantyre district, Malawi.

Abstract

#### Methods

A cross-sectional study was conducted to screen for depression among women attending 8 selected antenatal clinics in Blantyre district using 3-item screener, Edinburgh Postnatal Depression Scale (EPDS), Hopkins Symptoms Checklist-15 (HSCL-15), Self-Reporting Questionnaire (SRQ) and Pregnancy Risk Questionnaire (PRQ). The instruments were administered to a random sample of 480 pregnant women. Data were analysed using SPSS 22.0 testing for performance differences in proportions of screen positives and how screen positive results might differ by particular variables.

#### Results

The prevalence estimates yielded by screening instruments ranged from 12.9% (SRQ) to 42.1% (3-item screener). There were no significant differences in prevalence estimates for EPDS, HSCL-15, PRO and SRO. There were performance differences in the proportions of screen positives with significant systematic differences between proportions of screen positives of PRQ and SRQ (p<.001), EPDS and HSCL-15 (p=.001), HSCL and PRQ (p<.001), and EPDS and SRQ (p<.001). Screen positive results on HSCL-15, PRQ, 3-item screener and EPDS were found to differ by variables such as "not being supported by partner" which resulted in respondents having  $\geq 3$  times chances to screen positive on these four instruments. The screen positive results on SRQ were found not to differ by age, education, employment status, marital status, setting, gestation and number of pregnancies. Conclusions

There were minimal variations in the performance of the EPDS, SRQ and HSCL-15 as standard public health screening instruments. However, systematic differences between proportions of screen positives exist and screen positive results from these instruments differed by demographics. It is important to validate screening instruments against a gold standard to ensure relevant clinical outcomes for pregnant women with depression.

Key words: antenatal; antenatal screening; depression; depressive symptoms; instruments

#### Introduction

Screening for depression and risk factors during pregnancy is important for the management of the mental health and well-being of pregnant women and unborn babies1 In different resource level settings, effective screening of antenatal depression is dependent on instruments that are validated in these contexts. Though numerous instruments for screening of depression in antenatal clinics in low resource settings exist<sup>2</sup>, some of these instruments are not specifically designed for use during pregnancy. Some instruments have been designed for post-natal depression and few validation studies have been conducted in antenatal settings.

Performance of these instruments in detecting depression during pregnancy may vary with population, setting and structure of screening instruments themselves<sup>3-9</sup>. Inclusion of somatic items in a screening instrument may also affect the validity of the instrument as these may occur as part

of the normal pregnancy<sup>10</sup>. Furthermore, the structure and format of these screening instruments which requires an individual to choose a response out of multiple options for each question rather than 'yes' or 'no' might not be easily understood by individuals with low literacy levels<sup>10</sup>. Due to concerns about variations of performance of screening instruments in different contexts<sup>11</sup>, the validity of screening instruments currently being used in antenatal clinics in low resource settings is of concern. In these settings, many women have low literacy levels, and midwives have high workloads with limited time to screen the emotional status of pregnant women<sup>12</sup>. This study aimed to assess the performance of the Edinburgh Postnatal Depression Scale (EPDS), Hopkins Symptoms Checklist-15 (HSCL-15), Self-Reporting Questionnaire (SRQ) and Pregnancy Risk Questionnaire (PRQ) in detecting depression in antenatal clinics in Blantyre district, Malawi. In addition, the 3-item screener for depression was included as it has been

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recommended that valid ultra-brief instruments for screening an Australian population)<sup>15</sup> designed to assess psychosocial of depression which are short, easy to administer, clinically risk and protective factors for depression during pregnancy acceptable, and are minimally affected by literacy, may be and used to predict antenatal or postnatal depression<sup>15</sup>. The more suitable in detecting possible cases of depression in instrument has a maximum total score of 90 with a cut primary care<sup>13,14</sup>. The PRQ was included because apart from off  $\geq$ 46 for depression caseness. The PRQ has 18 items screening depression it also assesses psychosocial risk factors which assess for psychosocial risk and protective factors for for depression during pregnancy<sup>15</sup>. depression from childhood to the present.

#### Methods

This study used a cross-sectional quantitative survey design a question asking " are you depressed?" (S = 94%)<sup>25</sup> which to screen for depression amongst a population of pregnant women (N=1593) attending 8 selected antenatal clinics in have been found to be effective in screening depression. The Blantyre district in February 2015. Sample size was calculated screening questions rate depressive symptoms a person has had in the past month. The one-item screening question asks using the following parameters: estimated sensitivity (S) of 96%, estimated specificity (S) of 57%, estimated prevalence if a person is feeling depressed. The maximum total score (p) of 21<sup>%</sup>,<sup>16</sup> and the Confidence Interval (CI) of .05. The for the 3-item screener was 3 and cut off was set as  $\geq 1$  for calculated sample of 480 provided adequate caseness for depression caseness. screening for depression in pregnant women.<sup>16</sup> Sample Translation of screening instruments inclusion criteria were: attended antenatal care, 18 years old Previously validated Chichewa language versions of the and above, written consent before joining the study and EPDS and the SRQ existed and were used in this study<sup>10</sup>. ability to speak and understand Chichewa (a local language). The 3-item screener, HSCL-15 and PRQ were translated into Exclusion criteria were: complications of pregnancy or Chichewa by the first author and a social worker based on known mental or medical conditions. A total of 496 pregnant the minimum standards (back translation and monolingual women were invited to participate in this study of which 16 testing) for applying an instrument that was developed in declined resulting in 480 who participated. another language<sup>26</sup>.

#### Screening instruments

Five instruments were included, namely: EPDS, HSCL-15, SRQ, PRQ and the 3-item screener for depression.

Data collection was done by the first author and two registered midwives as research assistants, from January to May 2016. **EPDS** The assistants received two days training to familiarise them with the study, the data collection instruments and the data The EPDS is the most commonly used instrument in pregnancy and has previously been reported as a valid collection process. One research assistant was assigned to (S = 68.8%, S = 79.5%) and reliable (Cronbach's  $\alpha = .9$ ) randomly select pregnant women from queue at antenatal instrument for screening antenatal depression in Malawi<sup>10</sup>. clinics and invite them to participate in the study. The The EPDS is a 10-item self-reporting questionnaire which research assistant systematically picked every other third pregnant woman on the queue after randomly picking the was originally designed to measure postnatal depression<sup>17</sup> but has also been validated for screening antenatal depression<sup>2</sup>. first. Due to the low literacy levels, the first author and a The instrument measures depressive symptoms experienced second research assistant administered the 3-item screener, HSCL-15, SRQ, EPDS and PRQ by reading the questions by an individual in the past seven days<sup>18</sup>. The EPDS has a maximum total score of 30 with a standard cut off score of and recording the answers on behalf of respondents.  $\geq 10$  for depression caseness<sup>19</sup>.

# HSCL-15

The HSCL-15 was found to be valid ( $S_{e=89\%}$ ,  $S_{p=80\%}$ ) and reliable (Cronbach's  $\alpha$  =.9) in screening depression among pregnant women in Tanzania<sup>9</sup>. The HSCL-15 consisted of a fifteen items self-reporting inventory for assessing depressive symptoms which have been disturbing an individual in the past seven days<sup>20</sup>. The 15 items are measured on a Likert scale (1 to 4). The depression score is the calculated average of the 15 items. The HSCL-15 has a maximum average score of 4 with standard cut off of average depression score  $\geq 1.75^{21}$ .

### SRQ

The SRQ has previously been used in Malawi and was found to be valid (S = 76.3%, S = 81.3%) and reliable (Cronbach's  $\alpha = .83$ ) in detecting possible depression cases among pregnant women<sup>10</sup>. The SRQ has 20 questions which are used to assess for psychiatric symptoms that an individual has experienced in the past month<sup>22</sup>. The instrument has a maximum total score of 20 with a standard cut off  $\geq 10$  for depression caseness<sup>23</sup>.

# PRQ

The PRQ is a valid instrument (S = 44% and S = 92% in

# The 3-item Screener

The instrument has two screening questions  $(S = 96\%)^{24}$  and

# Data collection procedure

# Data analysis

The IBM Statistical Package for Social Sciences (SPSS) version 22.0 was used to analyse data. Significance level was set at 95%. Caseness (screening positive for probable antenatal depression) was determined using the following cut off scores: the 3-item screener (cut off  $\geq 1$ ), the HSCL-15 (cut off  $\geq 1.75$ ),<sup>21</sup> the SRQ (cut off  $\geq 10$ ),<sup>23</sup> the EPDS (cut off  $\geq 10$ )<sup>19</sup> and the PRQ (cut off  $\geq 46$ ).<sup>15</sup> Descriptive statistics were used to analyse and summarise demographic characteristics in relation to probable antenatal depression cases identified by each screening instrument. Instruments' reliability were tested using Cronbach's a. Pearson Chi square test was used to compare differences between screen positives and negatives and different demographic variables. To test the agreement among instruments in detecting proportions of same individuals as screen positives, the McNemar test was used, with a statistically significant test confirming the presence of systematic differences between proportions of positive responses between any two instruments<sup>27</sup>. In addition, the possible differences in screen positive results by demographics and pregnancy factors were examined using binomial regression models.

### Ethics approval

The study was granted ethics approval by the research committee of the University of the Western Cape and the College of Medicine Research and Ethics Committee (COMREC), University of Malawi.

## Results

## **Demographics**

A total of 480 respondents completed questionnaires (response rate of 96.8%). The age of respondents ranged from 18 to 43 years (mean 25.2  $\pm$ 5.5). The mean number of pregnancies per respondent was 2.4  $\pm$ 1.3 (range =1 to 6 pregnancies), with a current mean gestation period of 26.7 weeks  $\pm$ 7.4 (range= 5 to 40 weeks). More than half of the respondents were unemployed (52.5%, n=252), had more than primary school education (53.8%, n=256) and were from an urban area (65.2%, n=313). Nearly all the respondents were supported by a partner (92.9%, n=446).

## Prevalence of depression (screen positives for depression caseness) by different instruments

The SRQ, HSCL-15, EPDS, PRQ and 3-item screener were reliable instruments in the setting (Cronbach's  $\alpha$  = .86, .85, .80, .70 and .70 respectively). The prevalence (respondents who screened positive for depression) ranged from 12.9% (95% CI 9.9%-15.9%) (SRQ) to 42.1% (95% CI 37.7%-46.5%) (3-item screener) (Figure 1). There were no significant differences in the proportions of screen positives identified by the PRQ [20.2% (95% CI 16.6%-23.8%)], EPDS [19% (95% CI 15.5%-22.5%)], HSCL-15 [13.5% (95% CI 10.4%-16.6%)] and, SRQ [12.9% (95% CI 9.9%-15.9%)], though the 3-item screener detecting a significantly higher number of screen positives [42.1% (95% CI 37.7%-46.5%)] (Figure 1).



EPDS=Edinburgh Postnatal Depression Scale, HSCL 15=Hopkins Symptoms Checklist-15, SRQ=Self Reporting Questionnaire, PRQ=Pregnancy Risk Questionnaire

#### Figure 1: Screen positive prevalence for depression with confidence levels for all instruments

#### Agreement of instruments in detecting screen positives

Though there were insignificant variations among the prevalence estimate identified by the instruments, excluding the 3-item screener, there were performance differences in the proportions of screen positives, indicating that these estimates do not include exactly the same individuals. The McNemar's tests revealed significant systematic differences between proportions of screen positives from the following instruments: PRQ and SRQ (p<.001), EPDS and HSCL-15

(p=.001), HSCL and PRO (p<.001), and EPDS and SRO (p<.001). No significant systematic differences were found between proportions of screen positives from HSCL-15 and SRQ (p=.77), and EPDS and PRQ (p=.58).

# Differences in performance of instruments by demographics and pregnancy factors

Possible differences in screening positive results by other variables such as demographics and pregnancy factors were examined using a binomial regression models for all the five screening instruments used in this study. With the odds (the chance of an individual without depression being a screen positive) as the effect measure, the SRQ at cutoff≥10, was the only instrument with screen positive results which did not differ by age (Odds Ratios [OR]=1.02, p=.63), education (OR=.72, p=.27), employment status (OR=.71, p=.25), marital status (OR=1.69, p=.34), setting (OR=.96, p=.90), gestation (OR=.99, p=.72) and number of pregnancies (OR=1.07, p=.71). This is consistent with the finding that there were no significant demographic differences between screen positives and negatives on the SRQ (Table 1).

"Not being supported by partner" was significantly associated with being screen positive for depression in three out of the five screening instruments, with the SRQ and PRQ being the exceptions (Table 1). However, screen positive results on all instruments differed by a variable, "not being supported by partner" [HSCL-15 (OR=7.75, p=.<001), PRQ (OR=3.69, p=.004), the 3-item screener (OR=3.27, p=.003) and EPDS (OR=3.23, p=.01)], except the SRQ (OR=1.69, p=.34) with respondents "not being supported by partner" having 3 or more chances to screen positive on EPDS, HSCL-15, PRQ and the 3-item screener. Being older was also associated with a single chance of screening positive on PRQ (OR=1.07, p=.04), and approaching significance in the 3-item screener (Table 1).

Though a significant association between unemployment and screening positives for depression were found on the HSCL-15, respondents who were employed were less likely to screen positive on EPDS, HSCL-15, PRQ and the 3-item screener with the ratios of the probability of screening positive on the 3-item screener (OR=.66, p=.04), EPDS (OR=.62, p=.06), and PRQ (OR=.51, p=.01) and HSCL-15 (OR=.26, p<.001) were less than 1.

Significant associations were only found for education level with screen positives for depression on the PRQ with most of the screen positives having low education (primary education or none) (Table 1). However, screen positive results for the 3-item screener only were found to differ with education (OR=1.5, p=.05).

All instruments showed pregnancy factor differences between screen positives and screen negatives with the number of pregnancies being a significant factor in the HSCL-15 and PRQ, with the SRQ and 3-item screener approaching significance (with screen positive women reporting higher number of pregnancies) (Table 1). For the HSCL-15, the differences in screen positive results by number of pregnancies approached significance (OR=1.38, p=.07) with the other instruments being not significant. Depression was also associated with higher gestation ages, with the SRQ being significantly higher and the EPDS approaching significance (Table 1). However, screen positive results for all five instruments did not differ by gestational ages in this study.

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Table 1: Demographics, pregnancy factors and the performance of screening instruments

Demographic and Pregnancy factors	EPDS≥10		HSCL-15 ≥1.75		SRQ≥10		PRQ≥46		3-item screener ≥1	
	Positive, 91(19)	Negative, 389(81)	Positive, 65(13.5)	Negative, 415(86.4)	Positive, 62(12.9)	Negative, 418(87.1)	Positive, 97(20.2)	Negative, 383(79.8)	Positive, 202(42.1)	Negative, 278(57.9)
Occupation	ccupation									
Unemployed	53(58.2)	199(51.2)	45(69.2)	207(49.9)	36(58.1)	216(51.7)	58(59.8)	194(50.7)	109(54)	143(51.4)
Employed	38(41.8)	190(48.8)	20(30.8)	208(50.1)	26(41.9)	202(48.3)	39(40.2)	189(49.3)	93 (46)	135(48.6)
	(χ²=1.5, p=.22)		(χ²=8.4, p=.004)*		(χ²=.88, p=.34)		(χ²=2.6, p=.11)		(χ²=.29, p=.59)	
Education level										
Primary or none	46(50.5)	176(45.2)	34(52.3)	188(45.3)	34(54.8)	188(45)	54(55.7)	168(43.9)	86 (42.6)	136(48.9)
Secondary or above	45(49.5)	213(54.8)	31(47.7)	227(54.7)	28(45.2)	230(55)	43(44.3)	215(56.1)	116(57.4)	142(51.1)
	(x <sup>2</sup> =.84, p=.36)		(χ <sup>2</sup> =1.1, p=.29)		(x <sup>2</sup> =2.1, p=.15)		(χ²=4.3, p=.04)*		(χ²=1.9, p=.17)	
Marital status										
Supported by partner	80(87.9)	366(94.1)	56(86.2)	390(94)	57(91.9)	389(93.1)	87(89.7)	359(93.7)	182(90.1)	264(95)
Not being supported by partner	11(12.1)	23(5.9)	9(13.8 )	25(6)	5(8.1)	29(6.9)	10(10.3)	24(6.3)	20 (9.9)	14(5)
	(x <sup>2</sup> =4.3, p=.04)*		(x <sup>2</sup> =5.2, p=.02)*		(χ²=.1, p=.75)		(χ <sup>2</sup> =1.9, p=.17)		(x <sup>2</sup> =4.2, p=.04)*	
Setting										
Urban	57(62.6)	256(65.8)	40(61.5)	273(65.8)	40(64.5)	273(65.3)	60(61.9)	253(66.1)	135(66.8)	178(64)
Rural	34(37.4)	133(34.2)	25(38.5)	142(34.2)	22(35.5)	145(34.7)	37(38.1)	130(33.9)	67 (33.2)	100(36)
	(χ²=.33, p=.57)		(χ²=.45, p=.5)		(χ²=.02, p=.9)		(χ²=.6, p=.44)		(χ²=.41, p=.52)	
Age in years	25.2±4.9	25.1±5.6	25.9±4.9	25±5.6	25.7±5.3	25.1±5.5	26.5±5.7	24.8±5.4	25.8±5.74	24.7±5.5
	(x <sup>2</sup> =2.7, p=.43)		(χ <sup>2</sup> =5.1, p=.17)		(x <sup>2</sup> =5.3, p=.15)		(χ²=7.4, p=.06)#		(χ <sup>2</sup> =7.4, p=.06) <sup>#</sup>	
Gestation in weeks	27.7±7.4	26.5±5.7	27±7.3	26.7±7.4	26.5±7.7	26.8±7.3	26.4±7.4	26.8±7.4	26.7±7.6	26.8±7.2
	(χ²=6.4, p=.09)		(χ²=1.5, p=.68)		(χ <sup>2</sup> =7.3, p=.06) <sup>#</sup>		(χ²=6.2, p=.1)		(χ²=4.2, p=.24)	
Pregnancies	2.3±1.2	2.4±1.3	2.7±1.2	2.3±1.3	2.5±1.2	2.3±1.3	2.6±1.3	2.3±1.3	2.5±1.3	2.2±1.3
	(χ <sup>2</sup> =5.7, p=.13)		(x <sup>2</sup> =13.7, p=.003)*		(χ <sup>2</sup> =7.5, p=.06) <sup>#</sup>		(χ <sup>2</sup> =9, p=.03)*		(χ <sup>2</sup> =7.1, p=.07) <sup>#</sup>	

Data= n(%) or mean ± standard deviation. EPDS=Edinburgh Postnatal Depression Scale. HSCL-15=Hopkins Symptoms Checklist-15. SRQ=Self Reporting Questionnaire, PRQ=Pregnancy Risk Questionnaire, p=p value, \*=significance set at ≤.05, #=approaching significance set at ≤.05

variations in performance of these instruments as it ranged Discussion from lifetime (PRO) and last week (EPDS). Pregnant women This study confirmed that the performance of the screening may have memory lapse to recall remote information asked instruments in detecting depression during pregnancy may by instruments with longer time frames (the 3-item screener, vary in different populations or settings<sup>2,13,28</sup>, and by the types PRQ and SRQ) compared to those with shorter ones of instrument used for screening antenatal depression<sup>29</sup>. (EPDS and HSCL-15). This has implications for using these Performance in identifying screen positives by instruments routinely for clinical screening for depression of pregnant women in antenatal clinics in Malawi. The variations in performance of instruments indicate the importance Excluding the 3-item screener, there were no significant variations in the sample depression prevalence estimates the actual context prior to clinical use and comparing the between the instruments based on screen positives as results generated by a screening instrument against a gold identified by the standard cutoffs for each instrument. From standard to establish the instrument's level of accuracy <sup>32,33</sup> a public health screening perspective, this confirms the in detecting depression.

# instruments

of validating screening instruments for clinical settings in validity of these instruments for screening for depression in this setting<sup>30,31</sup>, though further studies need to be done to Demographics, pregnancy factors and the assess the validity of these cutoff scores for these settings. performance of screening instruments In addition, there were performance differences in the Differences in screening results by other variables was proportions of screen positives with significant systematic found. Demographics such as age, education, employment differences between proportions of screen positives of the status<sup>34</sup> and marital status<sup>35-38</sup> are associated with the chances PRQ and SRQ (p<.001), EPDS and HSCL-15 (p=.001), of individuals screening positive on various instruments. HSCL and PRQ (p<.001), and EPDS and SRQ (p<.001), Single status is associated with antenatal depression<sup>16,39,40</sup> and indicating that the proportions of screen positives do not pregnant women who lack support from their partners are include the same respondents. The differences in time frames likely to suffer from depression.<sup>37,41</sup> Our study is consistent of the screening instruments might have contributed to

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with other studies which found that "not being supported by partner" was associated with screening positive on EPDS<sup>37,38</sup> and a 2-question screener<sup>38</sup>, and screening positive results for four instruments (EPDS, HSCL-15, PRO and the 3-item screener) were found to differ by "not being supported by partner" with respondents who were not being supported by partner having 3-8 times chances of screening positive on these four instruments. Despite that "not being supported by partner" impacted performance of all screening instruments, it remains a risk factor for depression in the local context where nearly all the respondents were supported by a partner (92.9%, n=446). A systematic review found that unemployment was not significantly associated with antenatal depression.<sup>35</sup> Consistent with this study, there were no significant differences in employment status among pregnant women who screened positive on EPDS, PRQ, SRQ and the 3-item screener. However, contrary evidence indicates that employment status is significantly associated with positive screen on EPDS among South Korean pregnant women<sup>42</sup> and antenatal depression is more prevalent amongst unemployed pregnant women<sup>43-46</sup>. In this study, respondents who were employed had high chances to screen negative on EPDS, HSCL-15, PRQ and the 3-item screener while screen positive results on SRQ did not differ with employment. These inconsistent findings may be attributed to the effect of employment status on the performance of screening instruments which is not unidirectional.

Pregnancy factors and depression has had mixed results with some studies showing no significant association between number of pregnancies and antenatal depression rated on the EPDS, 37 SRQ47 and other screening instruments<sup>48</sup>, and other studies showing that women with multiple pregnancies are likely to have depression during pregnancy<sup>49-52</sup>. Our study confirms this with a significant association between number of pregnancies per woman and screening outcomes on HSCL-15, PRQ, SRQ and the 3-item screener. Limitations of the study

The screening instruments were administered sequentially, and it is possible that performance of subsequent instruments might have been influenced by respondents' knowledge of similar questions already covered by the preceding instrument/s. The differences in rating time frames and structures of the screening instruments may also be a further limitation.

### Conclusion

There appears to be minimal variations in the performance of the EPDS, SRQ and HSCL-15 as standard public health screening instruments. However, systematic differences between proportions of screen positives exist and screen positive results from these instruments differ by demographics. Therefore, it is important to validate screening instruments in local settings against a gold standard to ensure relevant clinical outcomes for pregnant women with depression attending antenatal care.

### Acknowledgements

We acknowledge all colleagues who offered guidance and technical support towards writing of this article.

## **Competing interests**

The authors declare that they have no competing interests. Funding for this study came from the University of Malawi through QZA-0484 NORHED 2013 grant.

#### Authors' contributions

G.C. (University of Malawi) drafted the manuscript. G.C. designed the study under guidance of J.C (University of the Western Cape). Data collection and entry was done by G.C. who analysed the data with guidance from J.C. Both G.C. and J.C. participated in the review and revision of the manuscript and have approved the final manuscript to be published.

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