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## Evaluation of proximodistal patellar alignment in small breed dogs with or without patellar luxation using the Insall–Salvati, Caton–Deschamps, and Blackburne–Peel indices

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### Abstract

**Background:** Patellar luxation in dogs is thought to be related to proximally (patella alta) or distally (patella baja) positioned patella in the femoral trochlea.

**Aim:** The aim of the present study was to calculate and compare the values of Insall–Salvati (ISI), Caton–Deschamps (CDI), and Blackburne–Peel indices (BPI) in orthopedically healthy dogs and dogs with various grades of medial patellar luxation (MPL) from small breeds on mediolateral radiographs.

**Methods:** The study included 87 dogs (138 stifles) from four breeds (Mini-Pinschers, Pomeranians, Chihuahuas, and Yorkshire terriers). Seventy joints (53 dogs) were diagnosed with various grades of MPL and another 68 joints from 34 dogs, free of orthopedic or neurological disorders, were used as controls. Receiver operating characteristic (ROC) analysis was performed to evaluate the diagnostic value of the three indices.

**Results:** The CDI and BPI showed no significant difference between healthy and MPL joints. The ROC analysis showed that all three studied indices of proximodistal patellar position had poor diagnostic values with low sensitivity and specificity of respective cutoff values.

**Conclusion:** In dogs from the studied four small breeds, the proximodistal ISI, CDI, and BPI patellar indices could not discriminate reliably between healthy stifle joints and joints with MPL.

**Keywords:** Medial patellar luxation, Insall–Salvati index, Caton–Deschamps index, Blackburne–Peel index, Small dog breeds.

### Introduction

Proximally (patella alta) and distally (patella baja) positioned patella in relation to the trochlear groove is supposedly involved in the pathophysiology of canine patellar luxation (Johnson *et al.*, 2006). The increased patellar height (patella alta) is reported as an important correlate of patellofemoral maltracking disorders. It has a role in the occurrence of medial patellar luxation (MPL) especially in dogs from large breeds, whereas patella baja is associated with lateral patellar luxation (Bound *et al.*, 2009; Wangdee and Torwattanachai, 2010; Edwards and Jackson, 2012; Miles *et al.*, 2012). In medium- and large-size dogs, MPL is characterized by a relatively long patellar ligament and patella alta (Mostafa *et al.*, 2008). However, in small breeds, the role of the patellar ligament length in MPL pathophysiology remains disputable. Several studies in these breeds have not associated patellar luxation with abnormal proximodistal patellar position based on the original and modified Insall–Salvati index (mISI) (Towle *et al.*, 2005; Mortari *et al.*, 2009; Wangdee *et al.*, 2015; Ševčík *et al.*, 2019; Feldmane and Theyse, 2021).

Patellotibial measurements are widely accepted for the evaluation of patellar sagittal position because of the readily identifiable osseous landmarks. In human medicine, the most preferred parameters for evaluation of the proximodistal patellar malalignment in the trochlea (high-riding and low-riding patellae) are the Insall–Salvati index (ISI), Caton–Deschamps index (CDI), and Blackburne–Peel index (BPI). The Blackburne–Peel (BP) ratio has been introduced as an alternative to Insall–Salvati (IS) ratio, whereas the CDI relies upon the length of the patellar articular surface and its distance from the tibia, reducing errors due to long patellas (Biedert and Tscholl, 2017).

In dogs, the cited indices have been discussed in different research reports, but the ISI is still the most commonly reported measure (Mostafa *et al.*, 2008; Ševčík *et al.*, 2019). The BPI and the ISI were determined in 78 dogs before and after tibial tuberosity advancement (TTA) and tibial plateau leveling osteotomy (TPLO) surgery on mediolateral stifle radiographs (Lorinson *et al.*, 2022). Guénégo *et al.* (2020) have evaluated patellar position by means of ISI, the mIS, and CDI in Labrador Retrievers and Golden Retrievers with/without ruptured

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cranial cruciate ligament (CCL). Data about the mIS, de Carvalho, patellotrochlear, and BPI were found reliable in healthy Greenland sled dogs (Miles *et al.*, 2012). The “ideal” clinical index is still not defined – the simplest index, ISI, is considered not quite appropriate for postoperative evaluations of the corrected patellar position, while the most angle-stable alternative (BPI) requires more drawing, measurements, and calculation (Miles *et al.*, 2012).

No previous studies have reported the values of Caton–Deschamps and BP ratios in small breed dogs, both healthy and affected with MPL. In addition, no study has assessed and compared the diagnostic value of those indices in dogs for the distinction of healthy stifle joints from joints with MPL. That is why the aim of the present study was to calculate and compare the values of ISI, CDI, and BPI in orthopedically healthy dogs and dogs with various grades of MPL in four small breeds and to determine their diagnostic value through receiver operating characteristic (ROC) analysis.

### Materials and Methods

#### Dogs and measurements

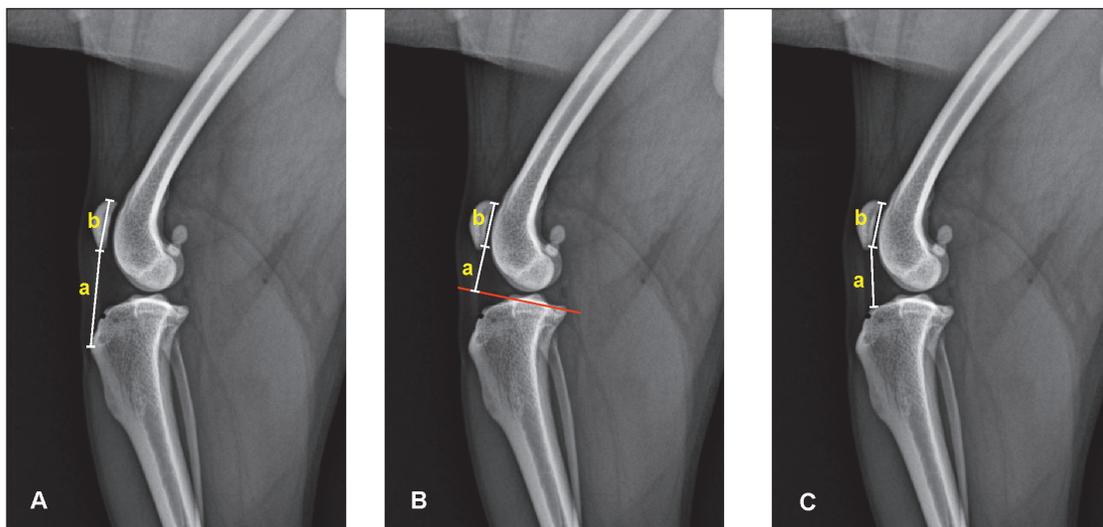
The study involved 87 dogs from four small breeds (Mini-Pinschers, Pomeranians, Chihuahuas, and Yorkshire terriers). After a physical examination and radiography, 70 joints from 53 dogs were diagnosed with various grades of MPL by the routine clinical classification of Putnam (1968): grade 1: the patella can be manually luxated and returns to a normal position when released; grade 2: the patella luxates during stifle flexion or manual manipulation and remains luxated until stifle extension or manual replacement occurs;

grade 3: the patella is permanently luxated, can be manually replaced, but reluxates spontaneously after manual pressure is removed; and grade 4: the patella is permanently luxated and cannot be replaced. Another 34 dogs from the same breeds (68 stifles) without orthopedic or neurological problems were used as controls.

After sedation of patients with 0.075 mg/kg medetomidine hydrochloride (Dorbene vet<sup>®</sup>) and 7.5 mg/kg ketamine hydrochloride (Anaket<sup>®</sup>), radiographs were obtained in mediolateral view using a Bucky Diagnost CS4 stationary X-ray equipment with iQ-CR ACE acquisition station. Dogs were positioned in lateral recumbency, and the central X-ray beam was focused on the medial femoral condyle and the patella. All measurements (in millimeters) were carried out on radiographs using the iQ-VIEW/PRO version 2.7. software.

For the determination of the ISI, the lengths of the patellar ligament and the patella were determined, as described by Mostafa *et al.* (2008). The patellar ligament length (a) was measured from the distal patellar pole to the point where the ligament inserts onto the tibial tuberosity (Fig. 1A). The length of the patella (b) was measured between its proximal and distal poles. The ISI was calculated as the ratio a/b (Insall and Salvati, 1972).

For the measurement of the BPI, a line passing through the tibial plateau was initially drawn (Blackburne and Peel, 1977). BPI is calculated as a ratio of the height of the distal pole of the articular surface above this tibial plateau line (a) to the patellar articular surface length (b) (Fig. 1B).



**Fig. 1.** Measurement of indices of proximodistal patellar position. A. The ISI is measured as the ratio of the patellar tendon length (a) to the distance between proximal and distal patellar poles (b); B. The BPI is the ratio of the height of the distal pole of the articular surface above the tibial plateau line (a) to the patellar articular surface length (b); and C. The CDI is determined as a ratio of the distance between the distal point of the patellar articular surface (a) and the cranioproximal margin of the tibia, divided by the patellar articular surface length (b).

For the calculation of the CDI, the distance from the distal edge of the patellar articular surface to the cranioproximal margin of the tibia (a) was divided by the patellar articular surface length (b) (Caton *et al.*, 1982).

#### Statistical analysis

The measurements were reported as median values and interquartile ranges. The Mann–Whitney U-test and Hodges–Lehmann estimator were used to compare the difference in measurements between control joints and joints with MPL. The population mean effect size was estimated using Cohen's *d*. ROC curve analysis was run to calculate optimal cut-off values of parameters distinguishing healthy and MPL joints based on the Youden J statistic. The areas under the ROC curves (AUCs) were used as a measure of diagnostic parameter accuracy, sensitivity, and specificity of classifiers. Interpreting the AUC as a measure of test accuracy was 0.90–1: excellent diagnostic test; 0.80–0.90: good diagnostic test; 0.70–0.80: fair diagnostic test; 0.60–0.70: poor diagnostic test; and 0.50–0.60: fail (Nahm, 2022). All computations were performed using MedCalc 15.8 (Belgium).

#### Ethical approval

All procedures described in this study were carried out with prior consent from dogs' owners.

### Results and Discussion

The results from measured indices of vertical patellar position in healthy and MPL stifles are presented in Table 1. The median ISI values were significantly greater ( $p = 0.01$ ) in healthy joints than in luxation joints, 2.06 versus 1.94 [Hodges–Lehmann (95% CI) = 2.01 (1.96–2.07)]. However, the CDI and BPI values in healthy and luxation joints were not considerably different: 1.38 versus 1.33 [Hodges–Lehmann (95% CI) = 1.35 (1.31–1.38)] for CDI and 1.15 versus 1.10 [Hodges–Lehmann (95% CI) = 1.11 (1.08–1.15)] for BPI.

The effect size assessed by Cohen's *d* values was moderate for ISI (0.41), and small - 0.12 for CDI and 0.06 for BPI. The ROC analysis data confirmed that the ISI had a poor diagnostic value (AUC = 0.628), whereas AUC values of BPI and CDI were close to 0.5, that is, had a predictive value no better than chance. The sensitivity and specificity of the cutoff values of the three ratios were low (Table 2; Fig. 2).

The indices of ISI, CDI, and BPI reflect various anatomical relationships between the patella and the proximal tibia. In human medicine, they are among

the most commonly used objective methods for the evaluation of patellar vertical position on mediolateral radiographs (Askenberger *et al.*, 2017; Biedert and Tscholl, 2017). The BPI was claimed superior for differentiation of patella alta and patella baja than both ISI and CDI (Seil *et al.*, 2000). The authors also showed that the BPI was more reliable for the determination of patellar vertical position, especially in medial deviation of the tibial tuberosity in chronic MPL than the ISI. Philips *et al.* (2010) also affirmed that the BPI was one of the more consistent imaging parameters for this purpose.

The BPI is influenced by the tibial slope (Mortensen *et al.*, 2021)—increased tibial inclination reduces the BPI values, which is important for its interpretation both in humans and dogs from small breeds.

The ISI is one of the most frequently used parameters of proximodistal patellar position in dogs (Mostafa *et al.*, 2008; Łojaszczyk-Szczepaniak *et al.*, 2017). In healthy dogs from large breeds, ISI is relatively constant ( $1.68 \pm 0.18$ ) and could serve as a basis for comparison in case of pathological deviations (Johnson *et al.*, 2002). A later study found that the ISI of stifles from large breed dogs with MPL exceeded 1.97 (patella alta) versus 1.71 in healthy stifles (Johnson *et al.*, 2006).

Studies in small breed dogs with MPL reported no significant deviation in ISI values in dogs with MPL (Towle *et al.*, 2005; Mortari *et al.*, 2009; Wangdee *et al.*, 2015; Ševčík *et al.*, 2019). For example, there was no statistically significant difference in the relative patellar ligament length between healthy stifles with a mean  $\pm$  SD ISI of  $1.82 \pm 0.20$  and MPL stifles with a mean of  $1.78 \pm 0.18$  (Feldmane and Theyse, 2021). In dogs with body weight <15 kg, Murakami *et al.* (2021) found that the proximodistal patellar position in the MPL group did not differ significantly from that in controls despite the tendency to a more distally positioned patella ( $p = 0.073$ ).

The reference range of the IS ratio in small breed dogs varies from 1.04 to 2.16 (Ocal *et al.*, 2020). Based on these values, the median ISI values of the two groups in our study were within this range; therefore, patella alta was not present in the studied four small breeds.

Recent data in humans showed that IS and mIS methods had very good diagnostic performance and had high AUC values of 0.91 and 0.96, respectively. The ISI and its modification are independent of the tibial slope; therefore, these methods mainly reflect the lengths of the patella and patellar tendon. The BP and CD ratios also had relatively high AUC values

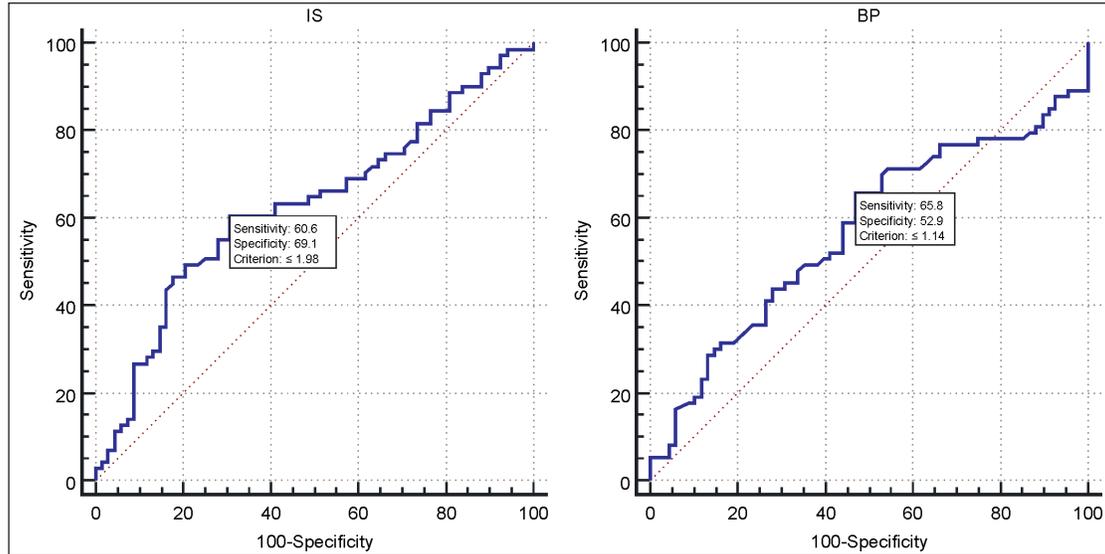
**Table 1.** Patellar proximodistal alignment parameters in both groups of stifle joints. Values are presented as median (interquartile range).

Index	Healthy joints (n = 68)	MPL joints (n = 70)	p
ISI	2.06 (1.94–2.25)	1.94 (1.73–2.21)	0.01
BPI	1.15 (1.01–1.22)	1.10 (0.95–1.21)	0.15
CDI	1.38 (1.20–1.50)	1.33 (1.21–1.51)	0.98

**Table 2.** AUC, cut-off values, sensitivity, and specificity of patellar proximodistal alignment parameters.

Index	AUC	Cut-off value	Cut-off sensitivity	Cut-off specificity
ISI	0.628*	≤1.98	60.56	69.12
BPI	0.569	≤1.14	65.80	52.90
CDI	0.543	>1.56	27.50	94.12

\* $p < 0.01$ .



**Fig. 2.** ROC curves of ISI and BP indices in relation to MPL based on 138 stifle joints from Pinschers, Pomeranians, Chihuahuas, and Yorkshire Terriers.

(0.72 and 0.86, respectively) (Yamashita *et al.*, 2023). The authors concluded that, while the BP and CD indices might be useful, their ability to predict the incidence of patellar dislocation was lower. The results from the ROC analysis in the present study confirmed that in small breed dogs, the three indices of vertical patellar position had no diagnostic value and, therefore, could not identify stifles affected with MPL. The AUCs and low sensitivity and specificity of cutoff values suggested that in these breeds, the proximodistal position of the patella in the trochlea could not be considered as a factor predisposing to MPL. As confirmed by recent data, the horizontal position of the patella and trochlear morphology seem more important for MPL development (Garnoeva, 2021; Matchwick *et al.*, 2021).

### Conclusion

In conclusion, the ISI, CDI, and BPI had low sensitivity and specificity, poor diagnostic value, and therefore, could not discriminate reliably between healthy stifle joints and joints with MPL in Mini-Pinschers, Pomeranians, Chihuahuas, and Yorkshire terriers based on proximodistal patellar position. The study findings confirmed that, in these four breeds, the proximodistal position of the patella within the trochlear groove was not a factor predisposing to the development of MPL.

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### Conflict of interest

The author declares that there is no conflict of interest.

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