# Survival of patients with Kaposi's sarcoma in the South African antiretroviral treatment era: A retrospective cohort study

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**Background.** When South Africa (SA) implemented its antiretroviral therapy (ART) programme in 2004, the model for treating HIV-positive Kaposi's sarcoma (KS) patients shifted from symptomatic palliation to potential cure.

**Objective.** To evaluate survival and changes over time in AIDS-KS patients treated at a tertiary academic hospital oncology unit (the Steve Biko Academic Hospital medical oncology unit) in Pretoria, SA, in the context of ART availability in SA.

**Methods.** We conducted a retrospective review of electronic and paper records of KS patients who accessed cancer care between May 2004 and September 2012. We used Kaplan-Meier survival functions to estimate 1- and 2-year survival, and Cox regression models to identify changes over time and prognostic factors.

Results. Our study included 357 AIDS-KS patients, almost all of whom were black Africans (n=353, 98.9%); 224 (62.7%) were men. The median age at cancer diagnosis was 37 (interquartile range (IQR) 30 - 43) years, and the median baseline CD4+ count was 242 (IQR 130 - 403) cells/ $\mu$ L. Most patients received ART (n=332, 93.0%) before or after KS diagnosis; 169 (47.3%) were treated with chemotherapy and 209 (58.6%) with radiation therapy. Mortality was 62.7% lower (adjusted hazard ratio (HR) 0.37, 95% confidence interval (CI) 0.19 - 0.73) in the late (2009 - 2012) than in the early (2004 - 2008) ART period. Receiving chemotherapy (adjusted HR 0.3, 95% CI 0.15 - 0.61) and poor-risk AIDS Clinical Trials Group KS stage (adjusted HR 2.88, 95% CI 1.36 - 6.09) predicted mortality.

**Conclusions.** Our results show that large national ART roll-out programmes can successfully reduce KS-related mortality at the individual patient level. If ART coverage is extended, KS-associated morbidity and mortality are likely to drop.

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When the South African (SA) antiretroviral therapy (ART) programme was rolled out in 2004, the treatment model for HIV-positive Kaposi's sarcoma (KS) patients shifted from symptomatic palliation to potential cure. The advent of ART dramatically decreased KS incidence, morbidity and mortality in high-income countries. And addressed its large HIV epidemic by implementing a national ART programme, but studies in the early ART era showed that KS morbidity and mortality were still high in SA, largely because ART coverage was still too low, and patients with KS were diagnosed late and many who needed chemotherapy did not receive it.

While ART can bring about remission in early-stage KS, advanced KS requires systemic chemotherapy. The Kaposi sarcoma AIDS Antiretroviral Therapy (KAART) trial in Durban showed 1-year overall survival rates of 77% in patients who received ART alone and those who received ART and chemotherapy (doxorubicin, bleomycin and vincristine), with no significant survival difference between the two treatment arms. This and other studies suggest that in the context of ART and affordable standard-of-care cancer regimens, KS in HIV-positive patients can be treated effectively in resource-limited settings.

## **Objective**

The SA national ART programme grew rapidly after it was implemented in 2004. We sought to determine whether improved ART availability has begun to have a positive effect on the survival rate of HIV-positive KS patients in routine oncology care in the Steve Biko Academic Hospital (SBAH) medical oncology unit, a tertiary academic medical oncology unit in Pretoria, SA. We estimated survival rates in KS patients and changes over time, comparing the early (2004 - 2008) with the late (2009 - 2012) ART period.

## Methods

#### Study design and setting

We retrospectively reviewed electronic and paper records of KS patients who accessed treatment at the SBAH medical oncology unit. Patients are referred to SBAH from northern Gauteng (Tshwane District, Mamelodi, Tembisa, Kalafong, Weskoppies and Bronkhorstspruit hospitals) and Mpumalanga (Witbank, Nelspruit, Philadelphia and Groblersdal hospitals) provinces. All HIV-positive KS patients were referred for ART and reviewed for eligibility for chemotherapy and/or radiation therapy after taking ART for at least

3 months. SBAH staff followed up patients who missed their visits by phone, and confirmed vital status (based on national identification number) with the SA Department of Home Affairs as part of routine care.

#### **Participants**

We included all adult patients (≥18 years) with clinically or histologically diagnosed KS who accessed oncology care at the SBAH medical oncology unit between May 2004 and September 2012. We excluded HIV-negative patients and those whose HIV status was unknown. Data on eligible KS patients were extracted from the SBAH medical oncology unit's electronic database. We used a data collection form to capture additional information from paper records. We extracted the following data: demographic characteristics, baseline CD4+ count, HIV RNA viral load, haemoglobin concentration, other HIV-related comorbidities, date of KS diagnosis, KS site, AIDS Clinical Trials Group (ACTG) KS stage,[11,12] HIV status, ART use, date of starting ART, chemotherapy and radiation therapy, date of last visit, and patient outcome. Outcomes were defined as alive and in care at SBAH, referred/transferred to another facility, death, confirmed loss to follow-up (>6 months from missed scheduled visit, but confirmed to be alive through Home Affairs) and unknown outcome (>6 months from missed scheduled visit, but vital status unknown). Patients who were alive and in care at SBAH or referred/ transferred to another facility were classified as retained in care. Data were captured with EpiData software (EpiData version 3.1, EpiData Association, Denmark).

#### Data analysis

Patient characteristics by ART period were described with frequencies, medians and interquartile ranges (IQRs). Time was measured from date of KS diagnosis to date of death or last clinic visit. We used Kaplan-Meier survival functions to estimate overall survival and survival by ART period at 1 and 2 years' follow-up. We also estimated survival and retention in care at 1 and 2 years' follow-up. We used uni- and multivariable Cox regression models to determine changes over calendar years, defined as early (2004 - 2008) v. late (2009 - 2012) ART periods. In the multivariable Cox model, we adjusted the effect of ART period for gender, age, baseline CD4+ count, ACTG KS stage, history of tuberculosis (TB) infection, ART status at KS diagnosis, chemotherapy and radiation therapy. We performed multiple imputation, using chained equations to correct for missing ACTG KS stage (26.0% missing), baseline CD4+ count (11.5% missing) and baseline haemoglobin (8.1% missing) in the multivariable model. In sensitivity analyses, we fitted Cox regression models for the composite outcome of mortality and loss to follow-up. All analyses were done using Stata version 13 (Stata Corporation, USA).

#### **Ethics approval**

Informed consent was sought from all cancer patients in the SBAH medical oncology unit, requesting the use of their deidentified medical records for research publication. Ethics approval was granted by the University of Pretoria Faculty of Health Sciences Research Ethics Committee (ref. no. 199/2012).

## Results

### Characteristics of patients with AIDS-KS and changes over time

Between May 2004 and September 2012, a total of 374 KS patients received oncology care in the SBAH medical oncology unit. We excluded 13 HIV-negative patients (3.5%) and four patients of unknown HIV status (1.1%). We included all 357 HIV-positive patients

(95.5%) in the analysis. Most (n=353, 98.9%) were black Africans, and 62.7% were men. The median age was 38 (IQR 31 - 45) years for men and 34 (IQR 29 - 41) years for women (Table 1). The median baseline CD4+ count was 242 (IQR 130 - 403) cells/ $\mu$ L and the median baseline haemoglobin concentration 10.7 (IQR 9 - 12.5) g/dL. Of included patients, 25.2% (n=90) had a documented history of TB infection. Most patients had histologically confirmed KS (n=355, 99.4%). KS lesions were most commonly found on the lower limbs (n=281, 78.7%) and 35.0% of patients (n=125) had oedema. About a quarter of the patients (n=93, 26.0%) were not staged. Of those with ACTG staging, 68.2% (180/264) had ACTG poor-risk stage. At the time of KS diagnosis, 64.1% (n=229) were on ART; another 103 started ART after KS diagnosis. Most patients received ART (n=332, 93.3%), nearly half (n=169, 47.3%) received chemotherapy, and 209 (58.5%) received radiation therapy. Of those who received ART, 66 (19.9%) received only ART, 67 (20.2%) received ART and chemotherapy, 97 (29.2%) received ART, chemotherapy and radiation, and 102 (30.7%) received ART and radiation therapy. Of the 169 patients who received chemotherapy, 145 (85.8%) were treated with doxorubicin-bleomycin-vincristine (ABV) and 24 (14.2%) with oral etoposide (VP16).

The median follow-up time over the whole study period was 9.6 (IOR 2.2 - 23) months.

Compared with the early ART period (2004 - 2008), patients diagnosed with KS in the late ART period (2009 - 2012) had, on average, higher CD4+ cell counts than those diagnosed in the early period (250 v. 233 cells/µL). Patients in the late ART period also had lower HIV RNA viral loads (median 3.2 v. 7.6 log<sub>10</sub> copies/mL), and were more often on ART at KS diagnosis (72.7% v. 53.8%). In the later period, only 2.5% of patients received no ART before or after diagnosis; 12.1% had received no ART in the early period. The proportion of patients who received chemotherapy or radiotherapy did not change over time. Patients diagnosed in the late period were more likely to be male (66.7% v. 57.9%) and more likely to be diagnosed at advanced ACTG KS stages (56.6% v. 42.8%). The proportion of unstaged patients decreased from 31.4% in the early period to 21.7% in the late period.

# Survival and retention in care

At end of the study period, 181 patients (50.7%) were alive and in care at SBAH, 95 (26.6%) had died, 39 (10.9%) were lost to followup (>6 months from missed scheduled visit, but confirmed to be alive through Home Affairs), 23 (6.4%) had unknown outcomes (>6 months from missed scheduled visit, but vital status unknown), and 19 (5.3%) were discharged or transferred. Overall survival in all patients at 1 and 2 years' follow-up was 78.5% (95% confidence interval (CI) 72.9 - 83.0) and 66.7% (95% CI 59.8 - 72.8), respectively. Patients diagnosed in the late ART period had higher survival rates than those diagnosed in the early ART period. One-year survival was 72.0% (95% CI 63.3 - 83.0) in the early period and 84.6% (95% CI 77.5 - 89.6) in the late period, while 2-year survival was 55.8% (95% CI 45.8 - 64.7) in the early period and 79.0% (95% CI 69.9 -85.6) in the late period (Table 2). Likewise, patients diagnosed in the late ART period had higher survival and retention rates than those diagnosed in the early ART period (Fig. 1). One-year survival and retention was 60.4% (95% CI 51.8 - 67.9) in the early period and 72.3% (95% CI 64.3 - 78.8) in the late period, while 2-year survival and retention was 39.8% (95% CI 31.3 - 48.1) in the early period and 66.7% (95% CI 57.6 - 74.2) in the late period (Table 2). Patients who received chemotherapy had higher survival and retention rates than those who did not. One-year survival and retention was 50.5% (95% CI 41.9 - 58.4) in the patients who did not receive chemotherapy and 83.2% (95% CI 75.9 - 88.4) in the patients who did receive it, while

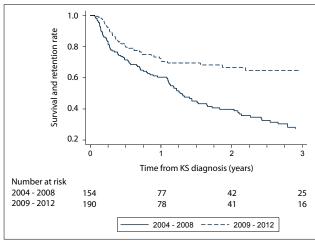


Fig. 1. Survival and retention in oncology care by calendar period. (KS = Kaposi's sarcoma.)

2-year survival and retention was 36.8% (95% CI 28.1 - 45.6) in the patients who did not receive chemotherapy and 66.9% (95% CI 57.5 - 74.7) in those who did receive it.

# Changes over time and predictors of mortality

In univariable analyses, patients in the late period were more likely to survive than those in the early period (hazard ratio (HR) 0.41, 95% CI 0.26 - 0.64) (Table 3). We confirmed this association (HR 0.37, 95% CI 0.19 - 0.73) after adjusting for age, gender, baseline CD4+count, baseline haemoglobin, ACTG KS stage, TB comorbidity, ART use at KS diagnosis, chemotherapy and radiation therapy. In both univariable and multivariable analyses, ACTG poor-risk stage (adjusted HR 2.88, 95% CI 1.36 - 6.09) was associated with increased mortality. A previous history of TB was associated with mortality in univariable (HR 1.64, 95% CI 1.05 - 2.57) but not in multivariable analyses. Receiving chemotherapy was associated with better survival in both univariable and multivariable analyses (adjusted HR 0.30, 95% CI 0.15 - 0.61). After correction for missing data, radiation

	Calendar period of KS diagnosis		
	Early ART period,	Late ART period,	
	2004 - 2008 (N=159)	2009 - 2012 (N=198)	Total, 2004 - 2012 (N=357
Demographic characteristics			
Males, n (%)	92 (57.9)	132 (66.7)	224 (62.7)
Age at KS diagnosis (yr), median (IQR)			
All patients	36 (30 - 45)	37 (31 - 42)	37 (30 - 43)
Males	38 (31 - 47)	37 (32 - 42)	38 (31 - 45)
Females	33 (30 - 42)	34 (28 - 41)	34 (29 - 41)
Black Africans, n (%)	156 (98.1)	197 (99.5)	353 (98.9)
Clinical characteristics			
CD4+ count (cells/µL), median (IQR)	233 (136 - 396)	250 (124 - 409)	242 (130 - 403)
HIV viral load (log <sub>10</sub> copies/mL)	7.6 (3.2 - 12.1)	3.2 (3.2 - 5.7)	3.2 (3.2 - 10.2)
Baseline haemoglobin (g/dL), median (IQR)	10.7 (9.1 - 12.4)	10.8 (8.9 - 12.6)	10.7 (9 - 12.5)
TB comorbidity, n (%)	37 (23.3)	53 (26.8)	90 (25.2)
Histological diagnosis, $n$ (%)	158 (99.4)	197 (99.5)	355 (99.4)
Site of KS lesions, <i>n</i> (%)			
Upper limbs	34 (21.4)	51 (25.8)	85 (23.8)
Lower limbs	119 (74.8)	162 (81.8)	281 (78.7)
Oral	42 (26.4)	37 (18.7)	79 (22.1)
Head	30 (18.9)	52 (26.3)	82 (23.0)
Trunk	35 (22.0)	42 (21.2)	77 (21.6)
Lymph nodes	9 (5.7)	7 (3.5)	16 (4.5)
Gastrointestinal	3 (1.9)	1 (0.5)	4 (1.1)
Lung*	34 (21.4)	23 (11.6)	57 (16)
Groin	11 (6.9)	33 (16.7)	44 (12.3)
Disseminated skin lesions	13 (8.2)	30 (15.1)	43 (12.0)
Presence of oedema	46 (28.9)	79 (39.9)	125 (35.0)
ACTG staging, n (%)			
Good risk	41 (25.8)	43 (21.7)	84 (23.5)
Poor risk	68 (42.8)	112 (56.6)	180 (50.4)
Not staged	50 (31.4)	43 (21.7)	93 (26.0)
KS treatment, <i>n</i> (%)			
On ART at KS diagnosis	85 (53.8)	144 (72.7)	229 (64.3)
Ever received ART	139 (87.9)	193 (97.5)	332 (93.3)
Received chemotherapy	72 (45.3)	97 (49.0)	169 (47.3)
Received radiation therapy	91 (57.2)	118 (59.6)	209 (58.5)

	Early ART era (2004 - 2008), % (95% CI)	Late ART era (2009 - 2012), % (95% CI)
Overall survival		
At 1 year	72.0 (63.3 - 83)	84.6 (77.5 - 89.6)
At 2 years	55.8 (45.8 - 64.7)	79.0 (69.9 - 85.6)
Overall survival and retention in care		
At 1 year	60.4 (51.8 - 67.9)	72.3 (64.3 - 78.8)
At 2 years	39.8 (31.3 - 48.1)	66.7 (57.6 - 74.2)

	Univariable analysis, HR (95% CI)	Multivariable complete case analysis, HR (95% CI)	Multivariable imputed model, HR (95% CI)
Gender			
Male	1	1	1
Female	0.92 (0.60 - 1.41)	0.85 (0.46 - 1.60)	0.71 (0.44 - 1.15)
Age	0.98 (0.95 - 0.99)	0.97 (0.94 - 1.01)	0.98 (0.95 - 1.00)
ART period			
Early (2004 - 2008)	1	1	1
Late (2009 - 2012)	0.41 (0.26 - 0.64)	0.37 (0.19 - 0.73)	0.37 (0.23 - 0.60)
Baseline CD4+ count (cells/μL)			
<100	1	1	1
100 - 199	0.82 (0.43 - 1.58)	0.77 (0.30 - 2.01)	0.80 (0.40 - 1.62)
200 - 349	0.80 (0.43 - 1.51)	1.17 (0.45 - 3.02)	1.14 (0.57 - 2.27)
350 - 500	0.63 (0.32 - 1.25)	1.09 (0.39 - 3.08)	1.22 (0.55 - 2.71)
>500	0.54 (0.24 - 1.21)	0.83 (0.27 - 2.56)	0.81 (0.35 - 1.89)
ACTG KS stage			
Good risk	1	1	1
Poor risk	2.36 (1.25 - 4.43)	2.88 (1.36 - 6.09)	2.07 (1.13 - 3.77)
TB comorbidity			
No TB	1	1	1
TB	1.64 (1.05 - 2.57)	1.29 (0.66 - 2.52)	1.45 (0.90 - 2.35)
ART use			
Not on ART at KS diagnosis	1	1	1
On ART at KS diagnosis	0.79 (0.52 - 1.20)	0.82 (0.44 - 1.51)	0.96 (0.61 - 1.52)
Chemotherapy			
No	1	1	1
Yes	0.30 (0.19 - 0.47)	0.30 (0.15 - 0.61)	0.28 (0.17 - 0.47)
Radiation therapy			
No	1	1	1
Yes	0.57 (0.38 - 0.86)	0.72 (0.38 - 1.34)	0.52 (0.33 - 0.81
Haemoglobin	0.84 (0.77 - 0.92)	0.92 (0.80 - 1.05)	0.91 (0.83 - 1.01)

therapy (HR 0.52, 95% CI 0.33 - 0.81) was also associated with better survival in the multivariable analyses. HRs from the imputed model were similar to those from complete case analyses. In sensitivity analyses, predictors of the composite outcome of mortality and loss to follow-up were similar to predictors of mortality (Table 4).

#### Discussion

We found that survival of KS patients at the SBAH medical oncology unit has substantially improved in recent years. Mortality was 62.7% lower (adjusted HR 0.37, 95% CI 0.19 - 0.73) in the late (2009 - 2012) than in the early (2004 - 2008) ART period. This reduction was independent of patient (age, gender), disease progression (baseline CD4+ count, ACTG KS stage) or treatment (ART status at KS diag-

nosis, chemotherapy and radiotherapy) factors. Overall survival was 78.5% at 1-year follow-up and 66.7% at 2-year follow-up in KS patients receiving routine care in a tertiary hospital in Pretoria. The majority of patients continued to present with advanced KS at diagnosis.

We were the first to demonstrate that KS survival increased significantly after the advent of ART in an African setting. We found good survival rates of KS patients in routine care, treated with ART and chemotherapy (ABV or oral VP16) and/or radiotherapy for selected patients. The overall 1-year survival rate of 78.5% is comparable to survival estimates from other SA studies, [8,9,13] including a clinical trial that used similar chemotherapy regimens. [9] An observational study in the USA estimated 2-year survival rates

	Univariable analysis, HR (95% CI)	Multivariable complete case analysis, HR (95% CI)	Multivariable imputed model HR (95% CI)
Gender			
Male	1	1	1
Female	0.98 (0.70 - 1.36)	0.70 (0.43 - 1.13	0.76 (0.53 - 1.10)
Age	0.99 (0.97 - 1.00)	0.99 (0.96 - 1.01)	0.98 (0.96 - 1.00)
ART period			
Early (2004 - 2008)	1	1	1
Late (2009 - 2012)	0.45 (0.32 - 0.64)	0.39 (0.24 - 0.64)	0.40 (0.28 - 0.58)
Baseline CD4+ count (cells/μL)			
<100	1	1	1
100 - 199	0.78 (0.47 - 1.30)	0.70 (0.34 - 1.42)	0.74 (0.42 - 1.30)
200 - 349	0.81 (0.50 - 1.32)	1.02 (0.50 - 2.09)	0.93 (0.53 - 1.63)
350 - 500	0.62 (0.36 - 1.06)	0.97 (0.45 - 2.10)	1.09 (0.57 - 2.08)
>500	0.51 (0.27 - 0.97)	0.73 (0.31 - 1.72)	0.78 (0.39 - 1.54)
ACTG KS stage			
Good risk	1	1	1
Poor risk	2.37 (1.46 - 3.83)	2.71 (1.53 - 4.79)	1.89 (1.19 - 3.03)
TB comorbidity			
No TB	1	1	1
ТВ	1.46 (1.02 – 2.09)	1.26 (0.75 – 2.11)	1.22 (0.83 – 1.81)
ART use			
Not on ART at KS diagnosis	1	1	1
On ART at KS diagnosis	0.96 (0.69 - 1.34)	1.09 (0.68 - 1.75)	1.20 (0.84 - 1.72)
Chemotherapy			
No	1	1	1
Yes	0.33 (0.24 - 0.47)	0.39 (0.23 - 0.67)	0.35 (0.23 - 0.52)
Radiation therapy			
No	1	1	1
Yes	0.64 (0.47 - 0.89)	0.91 (0.57 - 1.46)	0.64 (0.46 - 0.90)
Haemoglobin	0.86 (0.80 - 0.92)	0.92 (0.83 - 1.02)	0.93 (0.86 - 1.01)

comparable to what we found in the late ART period in SA (81% and 79%, respectively). [14] This suggests that ART in HIV-positive KS patients combined with standard-of-care chemotherapy regimens and/or radiotherapy in selected patients yields favourable survival outcomes and is a practical option in high-burden resource-limited settings. We confirmed the findings of other studies that advanced KS stage[10,11,15,16] predicts mortality, while chemotherapy[8,17] increases the chance of survival in KS patients. Unlike other SA studies, [13,18] we found no gender differences in mortality. Our study showed that both chemotherapy and radiation therapy were associated with improved survival. We suggest that referral for oncology care be considered for KS patients with failure of regression of lesions on ART alone, and for those with advanced disease. Recording of KS surveillance data at lower-level clinics will allow estimation of prognosis of KS across different stages.

Our findings reflect the success of the national ART programme, but also underline the need to reduce KS-associated morbidity and mortality further through early ART initiation. During the study period (2004 - 2012) the ART eligibility criteria were a CD4+ count  $<\!200/\mu\mathrm{L}$  or a World Health Organization stage IV AIDS-defining condition. Adult ART coverage rose from 5.1% in 2004 to 79% in 2011, and this improved ART coverage is reflected in the improved ART status of patients in the later ART period in our study. In spite of improved ART coverage, KS incidence in HIV-positive southern

Africans remains unacceptably high, even in patients on ART,<sup>[20]</sup> because only patients with significant immune impairment were eligible for ART. This underscores the need and potential impact of the test-and-treat strategy that SA adopted from September 2016.<sup>[21]</sup>

#### Study strengths and limitations

Our study had several strengths and some weaknesses. The SBAH medical oncology unit has good paper and electronic record systems, traces defaulters by phone, and routinely confirms vital status with the SA Department of Home Affairs. This allowed us to ascertain deaths and document date of death, so that vital status was unknown in only 23 patients (6.4%). KS was pathologically confirmed in nearly all patients (n=355, 99.4%). Most KS patients had a documented HIV result (370/374), so we could include 95% of all KS patients in the analyses. The retrospective nature of our study did result in missing data, but we corrected for this with multiple imputation and confirmed the findings from the complete case analyses (Table 3). The KS patients in our study were mostly black Africans between 20 and 50 years of age, which reflects national HIV prevalence distribution, where this key population has the highest HIV seroprevalence. [5,22] There was potential for selection bias in our study. We conducted our study at a tertiary academic hospital that may provide better care than most other healthcare facility levels. There was potential for selecting patients who had survived long enough to go through the referral

system, those who had better healthcare access and also those who were sick enough to warrant referral to a tertiary hospital.  $^{\left[ 23\right] }$  We had a total of 374 KS patients over the 8-year study period, which is a very small number given the high incidence of KS in HIV-positive people in SA. It is likely that many patients with early-stage KS were never referred for oncology care and were treated with ART only at their local HIV clinics. Furthermore, SA is a middle-income country and access to cancer care may be better than in other African settings, [24] making these findings less generalisable to other African settings.

## Conclusions

Large national ART programmes like the one in SA can successfully reduce KS-related mortality at the individual patient level. If ART coverage is extended, KS-associated morbidity and mortality are likely to drop.

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