

# Morphological abnormalities of diatom silica walls in relation to heavy metal contamination and artificial growth conditions

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## Abstract

Teratological forms of diatoms are non-adaptive phenotypic abnormalities caused by various environmental stresses. Heavy metal contamination and artificial growth conditions are the best known causes. In fact, the recording of abnormal cells in a diatom population or community can give both a temporal and quantitative indication of heavy metal contamination of water bodies. Moreover, long-term cultures generally present a high percentage of abnormal cells due to the scarcity of nutrients, presence of waste products and osmotic pressure. The aim of this paper is to classify and provide photographic documentation and descriptions of all known teratologies occurring in the most widespread freshwater diatom genera.

**Keywords:** aquatic ecosystem health, diatoms, teratological forms

## Introduction

Morphological variation in diatoms can be both adaptive and non-adaptive in response to environmental conditions. Teratological forms are non-adaptive phenotypic abnormalities usually involving the outline of valves or their striation pattern. Some alterations, such as those involving valve outlines, are mechanically conveyed during reproduction, giving rise to a population with a different morphology from that of the parental line (Hustedt, 1956). Others, such as structure and distribution of striations, seem to be limited to a few generations. For this reason, an abnormal valve outline is more frequent than other teratologies (Granetti, 1968a). Other kinds of aberrancy have been noted, but they are rarer. Many environmental stresses seem to be responsible for teratological cell development and the recording of abnormal cells in a diatom population or community can give both a temporal and quantitative indication of the stress. Indeed, several papers report a significant positive correlation between the abundance of teratological cells and environmental stresses such as low current velocity and flow, drought conditions, light intensity, increase in temperature (Antoine and Benson-Evans, 1986), decrease in water quality (Gómez and Licursi, 2003) or herbicide contamination (Debenest et al., 2008).

Heavy metal contamination and artificial growth conditions are the best known causes of teratological forms. In particular, Cu, Cd and Zn seem to be the most effective trace metals in the production of abnormal cells. Copper led to deformed valve outlines in *Fragilaria rumpens*, *F. tenera*,

*Eunotia exigua* and *Eunotia* sp. (Barber and Carter, 1981; Ruggiu et al., 1998), twinned valves in *Nitzschia delicatissima* (Thomas et al., 1980), 90° rotation in *Asterionella formosa* frustules (Cattaneo et al., 2004) and large deformities in *A. japonica* (Fisher and Frood, 1980; Fisher et al., 1981). In general, no atypical ornamentation pattern was recorded. Cadmium (100 µg Cd·l<sup>-1</sup>) led to the development of abnormal valve outlines in *Achnanthydium* spp., *Amphora pediculus*, *Eolimna minima*, *Gomphonema parvulum*, *Mayamaea* spp. (including *M. agrestis*) and *Nitzschia palea* (Morin et al., 2008b). Interruption of the raphe was noticed in *Encyonema minutum*, *Eolimna minima* and *Sellaphora seminulum*, and abnormal pore shape and pattern in *E. minima* and *G. parvulum*. Cadmium contamination also caused unusual colony formation of *Tabellaria flocculosa* (Adshead-Simonsen et al., 1981). Although no studies have been carried out on Zn as a single pollutant, great importance has been given to combined Cd and Zn contamination; these two heavy metals have been responsible for an abnormal valve profile and striation pattern, doubled central area and distorted raphe canal in several species (McFarland et al., 1997; Nunes et al., 2003; Morin et al., 2008a). In particular, these two heavy metals together induced abnormal valve outlines (wiggly or asymmetric frustules) in *Fragilaria gracilis*, *Cocconeis pediculus* and *C. placentula*. An abnormal striation pattern and displaced longitudinal area were characteristic of *Ulnaria ulna*, while, in the same conditions, *Planothidium frequentissimum* showed a doubled central area and *Nitzschia fonticola* presented an atypical raphe canal.

'Teratological growths' in cultures were observed in the 19<sup>th</sup> century by Miquel (1890-1892), who proved that changes in the chemical composition of the culture medium induced the development of abnormal cells. Later, Locker (1950) recorded teratological cells of *Fragilaria capucina* (abnormal valve outline), while Cholnoky-Pfannkuche (1971) described abnormal cells of *Nitzschia palea*. Indeed,

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old culture media enriched by metabolic waste matter could be responsible for the production of teratological forms. Abnormal cells stimulated by intense artificial light also easily undergo vegetative reproduction, thus transmitting alterations through the generations (Granetti, 1968a). Between 1960 and 1978, Granetti (1968a; b; 1975a; b; 1978) fully described teratological cells of *Diademsis gallica*, *Eolimna minima*, *Fistulifera pelliculosa* and *Sellaphora seminulum* maintained in artificial growth conditions for several years. These Naviculaceae species showed abnormalities involving the valve outline, orientation centre for the striae, raphe system, central and axial areas, central nodule, pores and spines. *Nitzschia denticula* was a good example to support the hypothesis that the more complex the valve ornamentation, the more teratologies can be developed (Granetti, 1975a). Besides the above-mentioned abnormal features, *Nitzschia* can present abnormal dislocation of the raphe canal, anomalous development of the pores and atypical internal septum (disorientated or branched).

In long-term cultures, not only nutrients and waste but also salinity can stimulate the production of teratological forms. Increased osmotic pressure acts as microtubule poison and was observed to cause aberrancy in cells of *Anomoeoneis sphaerophora* f. *costata* (Schmid, 1980). Furthermore, Håkansson and Chepurnov (1999) found that, at high salinity concentrations, some specimens of *Cyclotella meneghiniana* showed multiple striae orientation centres and abnormal striation patterns. Teratologies were also observed in long-term cultures of *Achnanthes brevipes*, *Nitzschia palea* and *Ulnaria ulna* (Estes and Dute, 1994), generally involving the valve outline, raphe system, sternum (branched or dislocated), fibulae arrangements and ornamentation pattern.

The main problem underlying the confusion in the literature is the lack of a morphological classification of teratological forms. In view of the fact that abnormal cells could be used in environmental assessments, the 1<sup>st</sup> step is to create a classification system to provide a common technical basis (thus far unavailable). The aim of this paper is to fill this gap by providing photographs and specific descriptions encompassing a wide range of teratologies found in a heavy metal-contaminated river and long-term cultures.

## Materials and methods

Samples from the Bormida River (Italy) and long-term artificial cultures were examined under light microscopy. Bormida River is an Apennine river flowing on sedimentary rocks (sandstone, marlstone and sand) of the Tertiary Piedmontese Basin. It has been contaminated by heavy metals and other toxic compounds for more than 100 years, from outflow of the chemical plant of the Associated National Chemical Companies (ACNA) of Cengio (Savona, SV). Five sampling stations located between Cengio and Cortemilia (Cuneo, CN) were chosen (B1-B5). B1 (Cengio) was located 0.2 km downstream of the old outfalls of the ACNA factory. B2 (Gabutti di Camerana, CN), B3 (Monesiglio, CN) and B4 (Monesiglio, CN) were located downstream of the ACNA outfalls at a distance of respectively 7 km, 12.5 km and 22.5 km. The last station (B5) was located in Cortemilia (CN), ca. 35 km from Cengio. To record the maximum number of teratological forms of diatoms, seasonal samplings were carried out between 2003 and 2004 following standard sampling methods (Kelly et al., 1998; AFNOR, 2000). No trace of heavy metals was found in the water column, and for this reason we

only refer to heavy metal concentrations in river sediments analysed in 2003 by the local environmental protection agency (ARPA, unpublished data). Due to its highly compromised ecological status, it was not possible to identify control sites on the Bormida River. Therefore, we selected 3 control sites (considered as replicates in the following sections) on the Erro River, a tributary of the Bormida with similar physical characteristics but not polluted by nutrients or heavy metals. Geologically, the Erro Valley is located in the Erro-Tobbio complex, a slice of subcontinental mantle characterised by peridotitic rocks (spinel and plagioclase lherzolites, spinel dunites). Heavy metal concentrations in Erro River sediments were analysed by the Chemistry Department of the University of Turin. To investigate the relationships among heavy metals in sediments, we calculated the toxicity coefficient (ToxC) for each metal, taking into consideration the legislative limits given by Bona et al. (1997). ToxC was calculated as  $ToxC_j = ([HMe_{js}] / [HMe_{jl}])$ , where  $[HMe_{js}]$  was the concentration of the heavy metal  $j$  in the sediments;  $[HMe_{jl}]$  was the limit concentration level proposed as ineffective. For the teratological forms, we calculated the deformation factor of species (DF<sub>sp.</sub>) as  $DF_{sp.} = (% S_B) / (% S_E)$ , where  $(% S_B)$  was the number of species presenting teratological forms in the Bormida River;  $(% S_E)$  was the number of species presenting teratological forms in the Erro River. Moreover, we calculated the deformation factor of the percentage of individuals (DF<sub>in.</sub>) as  $(DF_{in.}) = (% Nt_{jB}) / (% Nt_{jE})$ , where  $(% Nt_{jB})$  was the percentage of deformed cells of species  $j$  in the Bormida River, and  $(% Nt_{jE})$  the percentage of deformed cells of species  $j$  in the Erro River. We also calculated the percentage of teratological frustules for each diatom species, taking into consideration the most frequent species in the whole sample (present in at least 2 sampling seasons), showing at least 2% of abnormal forms in the samples.

Sixteen monospecific cultures belonging to 12 genera and coming from different European rivers were set up in the Public Research Centre – Gabriel Lippmann, Luxembourg. A list of the cultured species is given in Table 4. From each original sample, 2 ml of diatom suspension were put in a sterile flask and 10 ml of standard culture medium (WC Medium; Guillard and Lorenzen, 1972) were added. After 1 to 4 d of incubation, we isolated individual cells by means of a micropipette and repeatedly washed them in fresh medium. Cultures were maintained in a growth chamber at 15°C constant temperature, with cool white fluorescent lamps providing 400 to 700 nm light. The measured intensity in the growth chamber was  $33.1 \pm 3.4 \mu\text{mol}\cdot\text{s}^{-1}\cdot\text{cm}^{-2}$  with a 16h:08h light:dark cycle (Rimet et al., 2004).

Both natural samples and monospecific cultures were treated with hot hydrogen peroxide (110 vols.) and HCl (1 N) to obtain a suspension of clean frustules, which were mounted as permanent slides in Naphrax®. Light microscope observations were performed using a Leica DMRX light microscope and a Leitz Wetzlar Ortholux with 100x oil immersion objective. Light microscope photographs were taken with a Leica DC500 camera.

## Results

### Teratological types

Based on a review of published reports on the relationships between environmental stresses and abnormal diatom cells

| Teratology    | Description  |
|---------------|--|
| <b>Type 1</b> | Deformed valve outline   |
| <b>Type 2</b> | Changes in striation pattern, costae and septae                          |
| <b>Type 3</b> | Changes in shape, size and position of the longitudinal and central area |
| <b>Type 4</b> | Raphe modifications  |
| <b>Type 5</b> | Raphe canal modifications  |
| <b>Type 6</b> | Unusual arrangement of the cells forming colonies                        |
| <b>Type 7</b> | Mixed type   |

(Falasco et al., 2009), 7 types of teratological forms could be defined (Table 1).

**Type 1:** deformed valve outline (loss of symmetry relative to both axes; pentagonal or trilobate shapes; abnormal outline: bent, jagged, incised, kidney-shaped, swollen, twinned, wiggly, showing bulbous protrusions, or 'boomerang'-shaped).

**Type 2:** changes in striation pattern, costae and septae (multiple orientation centres for the striation; branched striae; loss of transverse costae; arrangement and shape of areolae; loss of the areolae or striae).

In addition to these 2 frequent teratologies, there are 5 types of rarer morphological alterations:

**Type 3:** changes in the shape and size of the longitudinal and central area (e.g. displaced, doubled, abnormally enlarged, absent).

**Type 4:** modifications of the raphe (split, sinuate or fragmented, sometimes turned down, double or triple, angular, bifurcate, orientated toward the centre of the valve, completely absent, with portions associated with and occasionally connected to the areolae).

**Type 5:** modifications of the raphe canal system (distorted, curved and occasionally doubled back or displaced, disordered and stretched out fibulae).

**Type 6:** unusual arrangement of the cells forming colonies.

**Type 7:** mixed type in which one valve shows more than one kind of teratology, each independent of the others.

### Teratological forms in natural conditions

Cadmium, copper, lead and zinc concentrations in Bormida River sediments are shown in Table 2. Due to the lack of common agreed limits in Italian legislation for heavy metal concentrations in uncontaminated sediments, we refer to Bona et al. (1997).

All heavy metal ToxCs were significantly correlated with each other. In particular, Pb was correlated with Cd (0.638\*\*), with Cu (0.690\*\*) and with Zn (0.947\*\*\*); Zn was correlated with Cd (0.717\*\*\*) and with Cu (0.462\*). The percentage of species presenting at least one teratological cell in the community diminished from Sites B1 to B5. In general, the species with the highest number of teratological forms belonged to the genera *Navicula* and *Nitzschia*. To investigate the relationships among diatom teratological forms and heavy metals in the sediments, we carried out a Spearman correlation analysis of ToxCs and deformation factors (DF<sub>sp.</sub> and DF<sub>in.</sub>). We found

|             | Cd<br>(mg/kg DW) | Pb<br>(mg/kg DW) | Cu<br>(mg/kg DW) | Zn<br>(mg/kg DW) |
|-------------|------------------|------------------|------------------|------------------|
| <b>Erro</b> | 0.08 ± 0.04      | 11.97 ± 2.85     | 37.99 ± 4.61     | 64.12 ± 4.65     |
| <b>B1</b>   | 0.16             | 24.75            | 19.80            | 94.00            |
| <b>B2</b>   | 0.17             | 27.00            | 28.00            | 81.00            |
| <b>B3</b>   | 0.28             | 38.00            | 28.00            | 120.00           |
| <b>B4</b>   | 0.17             | 17.00            | 15.00            | 64.00            |
| <b>B5</b>   | 0.00             | 22.00            | 27.00            | 70.00            |
| N.O.E.L.    | 0.2              | 23               | 28               | 100              |

a significant positive correlation between Cd ToxC and DF<sub>sp.</sub> (0.593\*\*). Moreover, DF<sub>in.</sub> of *Mayamaea permitis*, *Navicula gregaria* and *Nitzschia dissipata* was significantly correlated with Cd ToCs, while DF<sub>in.</sub> of *Encyonema minutum* was correlated with ZnToxCs.

A list of the most frequent diatom taxa and the respective teratological types recorded in the samples from the Bormida River is shown in Table 3. Photographs of the most frequent teratologies recorded in the field are shown in Figs. 1 to 96.

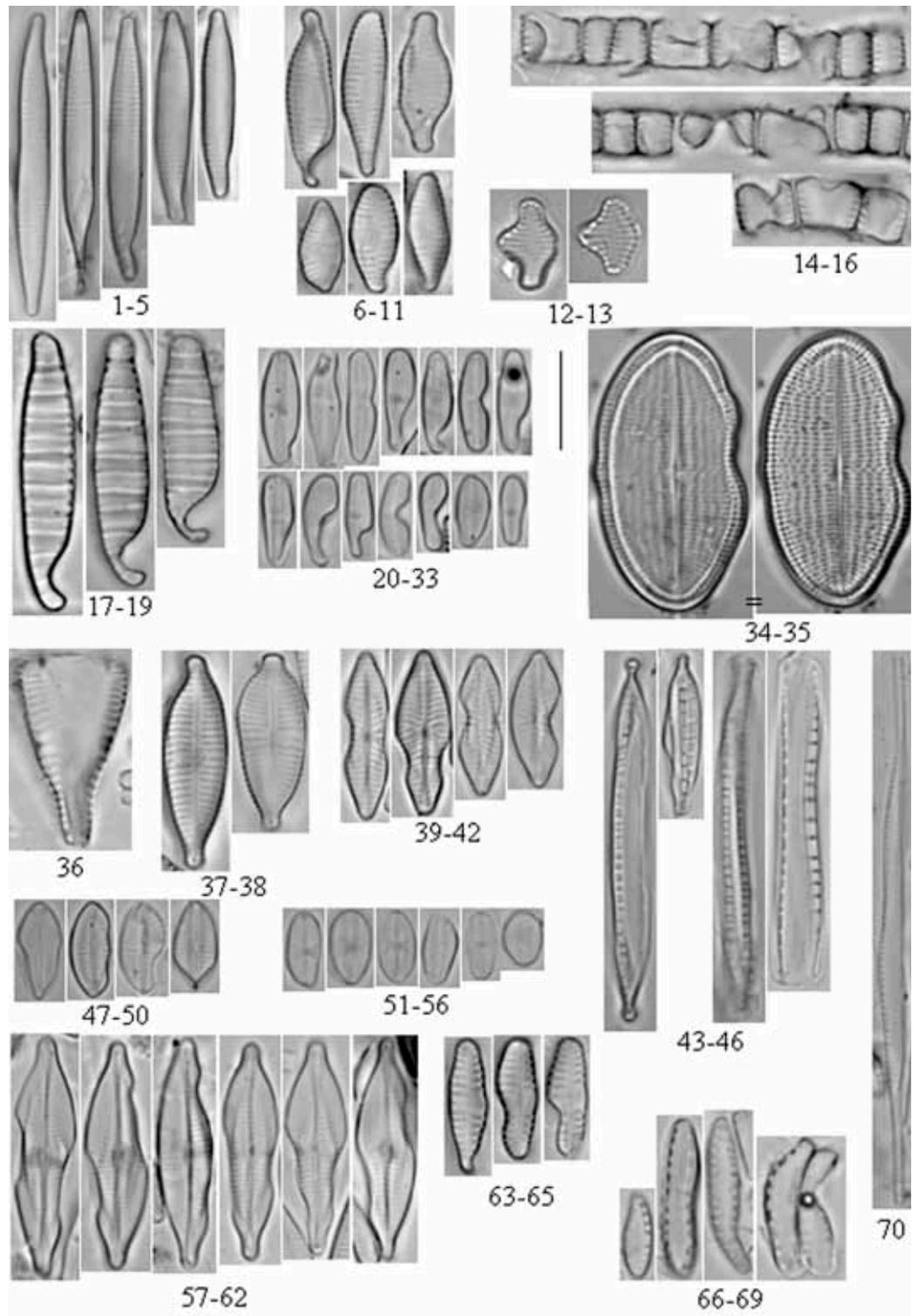
The most frequent **type of teratology** was deformed valve outline (Type 1). *Navicula* and *Nitzschia* had the highest number of cells and species affected by Type 1. This alteration affected only one valve or the whole frustule, involving different portions of the outline. In general, Type 1 cells showed frustule constrictions on the distal (Figs. 2; 7; 21; 23; 26; 29; 44; 49 to 50; 66) and/or central portions (Figs. 8; 22; 25; 30; 39; 41 to 42), involving one side of the cell (Figs. 7; 20; 22 to 23; 25-31; 42; 48-49; 59; 64 to 66) or two sides of the cell (Figs. 2; 39-41; 47; 50; 57-58; 60-62). Sometimes this affected one pole (Figs. 17 to 19; 24; 37; 63; 68), rarely both of them at the same time (Fig. 6). We usually observed a decreased cell surface (due to the valve outline deformation), while an increase was rarely detected (swollen specimens). These unusual valve outlines led to loss of the typical cell symmetry of the affected species. Stringy species, such as *Fragilaria rumpens*, assumed bent or wiggly shapes. Sometimes the valve surface maintained its shape, while the alterations occurred on the girdle bands (for example *Staurosira venter* [Figs. 14 to 16] and *Aulacoseira italica*). This alteration was mainly visible in girdle view, especially in colonies.

Type 2 involved the ornamentation pattern. In some cases, there was loss of the areolae (Figs. 75; 80; 82). As a consequence, we recorded cells with interrupted ornamentation or atypical and alternated striae lengths (e.g. *Caloneis bacillum*). At times the striation pattern was abnormal and confused (Figs. 78 to 79), and sometimes it seemed that 2 or more centres of orientation had been created. An unusual costae arrangement was typical of the genus *Diatoma* (Figs. 71 to 73).

Only *Cocconeis pseudolineata* (Fig. 83), *Planothidium frequentissimum*, *Synedra vaucheriae* and *Fragilaria capucina* var. *capitellata* showed the Type 3 teratology. In the first species, the pseudoraphe was dislocated and bent. In *P. frequentissimum*, the teratology usually affected the horseshoe thickening on the rapheless valve. In *F. capucina* var. *capitellata*, we observed an unusual central area: while in normal cells the central area was asymmetric and located in one part of the hemivalve, in the teratological

| Diatom taxa   | Type 1 | Type 2 | Type 3 | Type 4 | Type 5 | Type 6 | Type 7 |
|---|--------|--------|--------|--------|--------|--------|--------|
| <i>Achnanthydium biasolettianum</i> (Grunow) Lange-Bertalot                                     | +      | +      |        |        |        |        |        |
| <i>Achnanthydium minutissimum</i> (Kützing) Czarnecki   | +      |        |        |        |        |        |        |
| <i>Amphora pediculus</i> (Kützing) Grunow   | +      |        |        |        |        |        |        |
| <i>Asterionella formosa</i> Hassall   | +      |        |        |        |        |        |        |
| <i>Aulacoseira italica</i> (Ehrenberg) Simonsen   | +      |        |        |        |        |        |        |
| <i>Caloneis bacillum</i> (Grunow) Cleve   |        | +      |        |        |        |        |        |
| <i>Cocconeis euglypta</i> Ehrenberg   | +      |        |        |        |        |        |        |
| <i>Cocconeis pediculus</i> Ehrenberg  | +      |        |        |        |        |        |        |
| <i>Cocconeis pseudolineata</i> (Geitler) Lange-Bertalot   |        | +      | +      |        |        |        |        |
| <i>Cyclotella atomus</i> Hustedt  | +      |        |        |        |        |        |        |
| <i>Cyclotella meneghiniana</i> Kützing  |        |        |        |        |        |        |        |
| <i>Cyclotella ocellata</i> Pantocsek  | +      |        |        |        |        |        |        |
| <i>Cymbella excisa</i> Kützing  | +      |        |        | +      |        |        |        |
| <i>Diatoma moniliformis</i> Kützing   | +      | +      |        |        |        |        | +(1-2) |
| <i>Diatoma vulgare</i> Bory   |        | +      |        |        |        |        |        |
| <i>Encyonema caespitosum</i> Kützing  | +      |        |        |        |        |        |        |
| <i>Encyonema minutum</i> (Hilse) Mann   | +      | +      |        | +      |        |        |        |
| <i>Encyonema silesiacum</i> (Bleisch in Rabenhorst) Mann  | +      | +      |        |        |        |        |        |
| <i>Encyonema ventricosum</i> (Kützing) Grunow   | +      |        |        |        |        |        |        |
| <i>Encyonopsis minuta</i> Krammer et Reichardt in Krammer                                       | +      |        |        |        |        |        |        |
| <i>Eolimna minima</i> (Grunow) Lange-Bertalot   | +      |        |        |        |        |        |        |
| <i>Eolimna subminuscula</i> (Manguin) Moser, Lange-Bertalot et Metzeltin                        | +      |        |        |        |        |        |        |
| <i>Fragilaria capucina</i> var. <i>capitellata</i> (Grunow) Lange-Bertalot                      |        | +      | +      |        |        |        |        |
| <i>Fragilaria pinnata</i> Ehrenberg   | +      |        |        |        |        |        |        |
| <i>Fragilaria rumpens</i> (Kützing) Carlson   | +      |        |        |        |        |        |        |
| <i>Gomphonema olivaceum</i> (Hornemann) Kützing   | +      |        |        |        |        |        |        |
| <i>Gomphonema parvulum</i> (Kützing) Kützing  | +      |        |        |        |        |        |        |
| <i>Gomphonema tergestinum</i> (Grunow in Van Heurck) Fricke                                     | +      |        |        |        |        |        |        |
| <i>Mayamaea permitis</i> (Hustedt) Bruder et Medlin   | +      |        |        |        |        |        |        |
| <i>Melosira varians</i> Agardh  | +      |        |        |        |        |        |        |
| <i>Navicula antonii</i> Lange-Bertalot et Rumrich   | +      |        |        |        |        |        |        |
| <i>Navicula capitatoradiata</i> Germain   | +      | +      |        |        |        |        |        |
| <i>Navicula cryptotenella</i> Lange-Bertalot  | +      |        |        | +      |        |        |        |
| <i>Navicula gregaria</i> Donkin   | +      |        |        |        |        |        |        |
| <i>Navicula lanceolata</i> (Agardh) Kützing   | +      |        |        |        |        |        |        |
| <i>Navicula pygmaea</i> (Ehrenberg) Pantocsek   | +      |        |        |        |        |        |        |
| <i>Navicula reichardtiana</i> Lange-Bertalot  | +      |        |        |        |        |        |        |
| <i>Navicula rostellata</i> Kützing  | +      |        |        |        |        |        |        |
| <i>Navicula tripunctata</i> Bory  | +      |        |        |        |        |        |        |
| <i>Navicula veneta</i> Kützing  | +      |        |        |        |        |        |        |
| <i>Nitzschia acicularis</i> (Kützing) W. Smith  | +      |        |        |        |        |        |        |
| <i>Nitzschia dissipata</i> (Kützing) Grunow   | +      |        |        |        | +      |        | +(1-5) |
| <i>Nitzschia fonticola</i> (Grunow) Grunow  | +      | +      |        |        | +      |        |        |
| <i>Nitzschia inconspicua</i> Grunow   |        |        |        |        | +      |        |        |
| <i>Nitzschia intermedia</i> Hantzsch ex Cleve et Grunow   | +      |        |        |        |        |        |        |
| <i>Nitzschia linearis</i> (Agardh) W. Smith   |        |        |        |        |        |        | +(1-2) |
| <i>Nitzschia palea</i> (Kützing) W. Smith   | +      |        |        |        |        |        |        |
| <i>Nitzschia paleacea</i> Grunow  |        |        |        |        |        |        |        |
| <i>Nitzschia pusilla</i> (Kützing) Lange-Bertalot   | +      |        |        |        |        |        |        |
| <i>Planothidium frequentissimum</i> (Lange-Bertalot) Lange-Bertalot                             | +      |        | +      |        |        |        | +(1-3) |
| <i>Reimeria sinuata</i> (Gregory) Kociolek et Stoermer  | +      | +      |        |        |        |        |        |
| <i>Staurosira venter</i> (Ehrenberg) H. Kobayasi in Mayama, S., Idei, M., Osada, K. & T. Nagumo | +      | +      |        |        |        |        |        |
| <i>Surirella angusta</i> Kützing  | +      |        |        |        |        |        |        |
| <i>Surirella brebissonii</i> var. <i>kuetzingii</i> Krammer et Lange-Bertalot                   | +      |        |        |        |        |        |        |
| <i>Synedra vaucheriae</i> (Kützing) Kützing   | +      | +      | +      |        |        |        |        |
| <i>Ulnaria ulna</i> (Nitzsch) Compère   | +      | +      |        |        |        |        |        |

**Figs 1-70**  
**Type 1 teratology.**  
 Examples of deformed valve outlines recorded in the Bormida River, Italy. Scale bar = 10 µm. **Figs 1-5.** *Fragilaria rumpens* (Kützing) Carlson. **Figs 6-11.** *Synedra vaucheriae* (Kützing) Kützing. **Figs 12-13** *Stausosira construens* Ehrenberg. **Figs 14-16** *Stausosira venter* (Ehrenberg) Kobayasi. **Figs 17-19.** *Diatoma moniliformis* Kützing. **Figs 20-33.** *Achnantheidium minutissimum* (Kützing) Czarnecki. **Figs 34-35.** *Cocconeis euglypta* Ehrenberg. **Fig. 36.** *Gomphonema olivaceum* (Hornemann) Brébisson. **Figs 37-38.** *Gomphonema parvulum* Kützing. **Figs 39-42.** *Navicula reichardtiana* Lange-Bertalot. **Figs 43-46.** *Nitzschia dissipata* (Kützing) Grunow. **Figs 47-50.** *Eolimna subminuscula* (Manguin) Moser, Lange-Bertalot et Metzeltin. **Figs 51-56.** *Eolimna minima* (Grunow) Lange-Bertalot. **Figs 57-62.** *Navicula gregaria* Donkin. **Figs 63-65.** *Reimeria sinuata* (Gregory) Kociolek et Stoermer. **Figs 66-69.** *Nitzschia inconspicua* Grunow. **Fig. 70.** *Nitzschia acicularis* (Kützing) W. Smith.



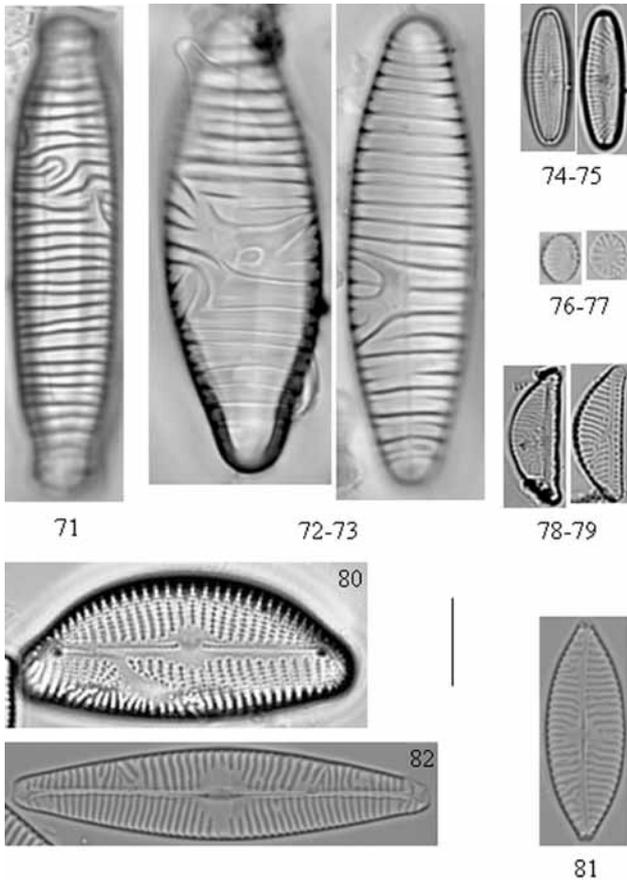
specimen the central area was symmetric, cutting off the pseudoraphe.

Type 4 teratology was characteristic of species of the genera *Cymbella* and *Encyonema*. The alterations of the raphe could be observed in *C. excisa* (interrupted raphe slit) and *E. minutum* (dislocation of raphe, Fig. 84). Modifications of the raphe canal were also typical of the genus *Nitzschia*. *Surirella* species were only affected by Type 1 teratology, maintaining the usual raphe canal and fibulae arrangement. In *Nitzschia dissipata*, *N. fonticola* and *N. inconspicua*, the raphe canal

sometimes showed abnormal fibulae, both in the shape and pattern. Moreover, it was often displaced or bent. Note that this kind of alteration could be a consequence of an abnormal valve outline, although in this case it was not recorded.

No abnormal arrangement of the cells forming colonies was observed in the samples. As mentioned previously, some unusual colonies were recognised as the consequence of abnormalities in the girdle bands (Figs. 14 to 16).

The mixed type (Type 7) was quite rare and always involved an abnormal valve outline, sometimes linked to an



**Figs 71-82**

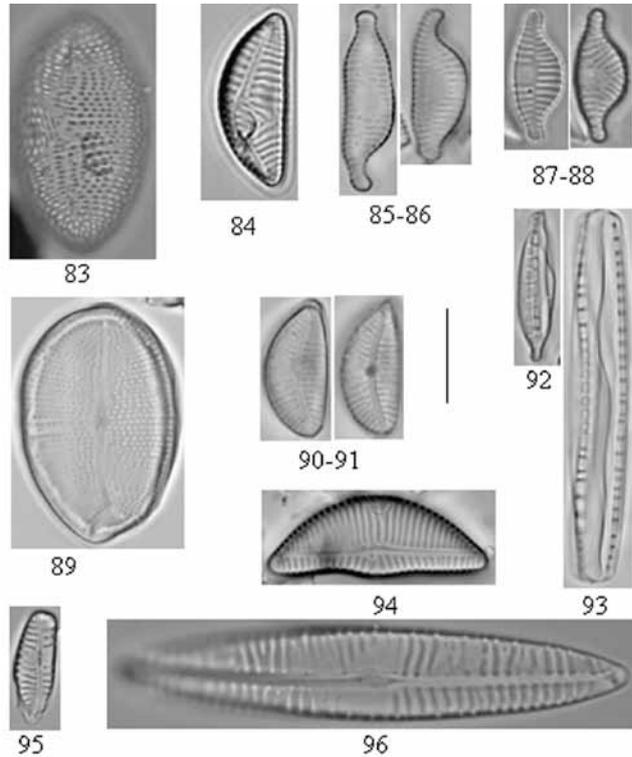
**Type 2 teratology.** Examples of deformed ornamentation patterns recorded in the Bormida River, Italy. Scale bar = 10  $\mu\text{m}$ . **Fig. 71.** *Diatoma ehrenbergii* Kützing. **Figs 72-73.** *Diatoma vulgare* Bory. **Figs 74-75.** *Achnantheidium pyrenaicum* (Hustedt) Kobayasi. **Figs 76-77.** *Staurosira venter* (Ehrenberg) H. Kobayasi in Mayama, S., Idei, M., Osada, K. & T. Nagum. **Figs 78-79.** *Encyonema minutum* (Hilse) Mann. **Fig. 80.** *Encyonema prostratum* (Berkeley) Kützing. **Fig. 81.** *Navicula menisculus* Schumann. **Fig. 82.** *Navicula lanceolata* Ehrenberg.

unusual ornamentation pattern (Figs. 88 to 91; 94 to 95), sometimes to displacement of the central area (*Planothidium frequentissimum*) or to the raphe canal position (*Nitzschia dissipata*) or fibulae arrangement (Figs. 92 to 93). Some Araphideae showed an abnormal valve outline, atypical striation pattern and dislocated central area at the same time (Figs. 85 to 86). Loss of the raphe together with an abnormal striation pattern was also observed in *Navicula tripunctata* (Fig. 96).

### Teratological forms in long-term cultures

The **teratological types** recorded in long-term cultures are summarised in Table 4. Photographs of the most frequent diatom teratologies recorded are provided in Figs. 97 to 234.

Some culture samples did not show any teratologies at all (*Achnantheidium minutissimum*) or merely a low percentage (*Cyclotella meneghiniana*, *Diatoma mesodon*, *Gomphonema coronatum* and *Reimeria sinuata*). Cultures with *Cyclotella meneghiniana* contained only 10% of teratological cells (Figs. 97 to 102) and the most frequently recorded abnormalities were abnormal valve outline and atypical orientation of the ornamentations (not directed toward the centre).



**Fig 83**

**Type 3.** Example of a teratology involving the longitudinal area, recorded in the Bormida River, Italy. Scale bar = 10  $\mu\text{m}$ . *Cocconeis pseudolineata* (Geitler) Lange-Bertalot.

**Fig. 84**

**Type 4.** Example of a teratology involving the raphe system, recorded in the Bormida River, Italy. Scale bar = 10  $\mu\text{m}$ . *Encyonema silesiacum* (Bleisch) Mann.

**Figs 85-96**

**Type 7.** Examples of mixed teratologies recorded in the Bormida River, Italy. Scale bar = 10  $\mu\text{m}$ . **Figs 85-86.** *Fragilaria capucina* var. *capitellata* (Grunow) Lange-Bertalot. **Figs 87-88.** *Synedra vaucheriae* (Kützing) Kützing. **Fig. 89.** *Cocconeis* sp. **Figs 90-91.** *Encyonema* sp. **Figs 92-93.** *Nitzschia dissipata* (Kützing) Grunow. **Fig. 94.** *Encyonema silesiacum* (Bleisch) Mann. **Fig. 95.** *Reimeria sinuata* (Gregory) Kociolek et Stoermer. **Fig. 96.** *Navicula tripunctata* Bory.

The only abnormality in *Diatoma mesodon* cultures was an atypical costae pattern, affecting 10% of the population (Figs. 110 to 115). Less than 10% of *Gomphonema coronatum* cells (Figs. 233 to 234) showed loss of the areolae, sometimes of the whole stria, and abnormal raphe (doubled, wavy or fragmented); only a few cases of abnormal valve outline were recorded. Teratological cells of *Reimeria sinuata* (Figs. 224 to 232) usually showed abnormal valve outline, sometimes dislocated central area and abnormal pattern of striation; however, the percentage of abnormal cells was relatively low (12%).

High percentages of teratologies were recorded in some culture samples (*Cocconeis* sp., *Cymbella tumida*, *Encyonema silesiacum*, *E. lange-bertalotii*, *Fragilaria rumpens*, *Gomphonema micropus*, *G. parvulum*, *G. rosenstockianum*, *Mayamaea permitis*, *Nitzschia linearis*, *N. palea* and *Ulnaria ulna*). *Cocconeis* sp. (Figs. 141 to 150) showed an abnormal valve outline, atypical pattern of striation and abnormal pseudoraphe (branched or bent).

**Table 4**  
**List of the most frequent types of teratologies recorded in long-term cultures.**  
**Details of the teratological types involved in the mixed type (Type 7) are provided in parentheses.**

| Diatom taxa   | Type 1 | Type 2 | Type 3 | Type 4 | Type 5 | Type 6 | Type 7              |
|---|--------|--------|--------|--------|--------|--------|---------------------|
| <i>Achnantheidium minutissimum</i> (Kützing) Czarnecki        |        |        |        |        |        |        |                     |
| <i>Cocconeis</i> sp.  | +      | +      | +      |        |        |        |                     |
| <i>Cyclotella meneghiniana</i> Kützing                        | +      | +      |        |        |        |        |                     |
| <i>Cymbella tumida</i> (Brébisson) Van Heurck                 | +      | +      | +      | +      |        |        | + (2-4; 1-2-3; 1-2) |
| <i>Diatoma mesodon</i> Kützing                                |        | +      |        |        |        |        |                     |
| <i>Encyonema lange-bertalotii</i> Krammer                     | +      | +      |        | +      |        |        |                     |
| <i>Encyonema silesiacum</i> (Bleisch in Rabenhorst) Mann      | +      | +      |        | +      |        |        |                     |
| <i>Fragilaria rumpens</i> Kützing                             | +      | +      | +      |        |        |        | + (1-2; 1-2-3)      |
| <i>Gomphonema coronatum</i> Ehrenberg                         | +      | +      |        |        |        |        |                     |
| <i>Gomphonema micropus</i> Kützing                            |        | +      |        | +      |        |        | + (1-2)             |
| <i>Gomphonema parvulum</i> (Kützing) Kützing                  | +      | +      |        | +      |        |        |                     |
| <i>Gomphonema rosenstockianum</i> Lange-Bertalot et Reichardt | +      |        | +      | +      |        |        |                     |
| <i>Mayamaea permitis</i> (Hustedt) Bruder et Medlin           |        |        |        |        |        |        |                     |
| <i>Nitzschia linearis</i> (Agardh) W. Smith                   | +      |        |        |        | +      |        |                     |
| <i>Nitzschia palea</i> (Kützing) W. Smith                     | +      |        |        |        |        |        |                     |
| <i>Reimeria sinuata</i> (Gregory) Kociolek et Stoermer        | +      | +      | +      |        |        |        |                     |
| <i>Ulnaria ulna</i> (Nitzsch) Compère                         | +      | +      | +      |        |        |        | + (1-2)             |

The loss of longitudinal area, often reduced to one point, led to an abnormal striation pattern. *Cymbella tumida* cultures (Figs. 170 to 176) showed a huge percentage of teratological forms (over 98%), with a wide range of types. Type 1 abnormalities included loss of transverse or longitudinal symmetry, and the cells were stretched and distorted; Type 2 was represented by loss of the areolae and a confused striation pattern. We also noticed the dislocation or complete loss of the central area, and interruption of the raphe, which sometimes was curved. The mixed type was also observed in *Encyonema* species: loss of areolae was often coupled with interruption of the raphe, with abnormal valve outline and/or dislocated central area. Abnormal valve outline was recorded together with atypical striation pattern. A very high percentage of teratological forms was observed in *Encyonema silesiacum* (Figs. 177 to 193) and *Encyonema cf. lange-bertalotii* (Figs. 194 to 208) cultures (96% and 99% respectively). Even though most of the *Encyonema* cells were small and round, few cells with Type 1 teratology were recorded. The most frequent teratologies presented by the genus *Encyonema* were Types 2 and 4: the pattern of striation was abnormal, usually induced by an atypical raphe (absent, curved, wiggly or branched). *Fragilaria rumpens* presented small rounded cells, losing the typical linear shape (Figs. 103 to 109). The atypical valve outline was swollen, incised or bent. The pattern of striation was disordered (Fig. 105) and with loss of areolae (Fig. 109). The central area was sometimes dislocated, especially in small cells. Mixed types consisted of an abnormal valve outline together with an atypical striation pattern (Fig. 105) and sometimes with a dislocated central area as well.

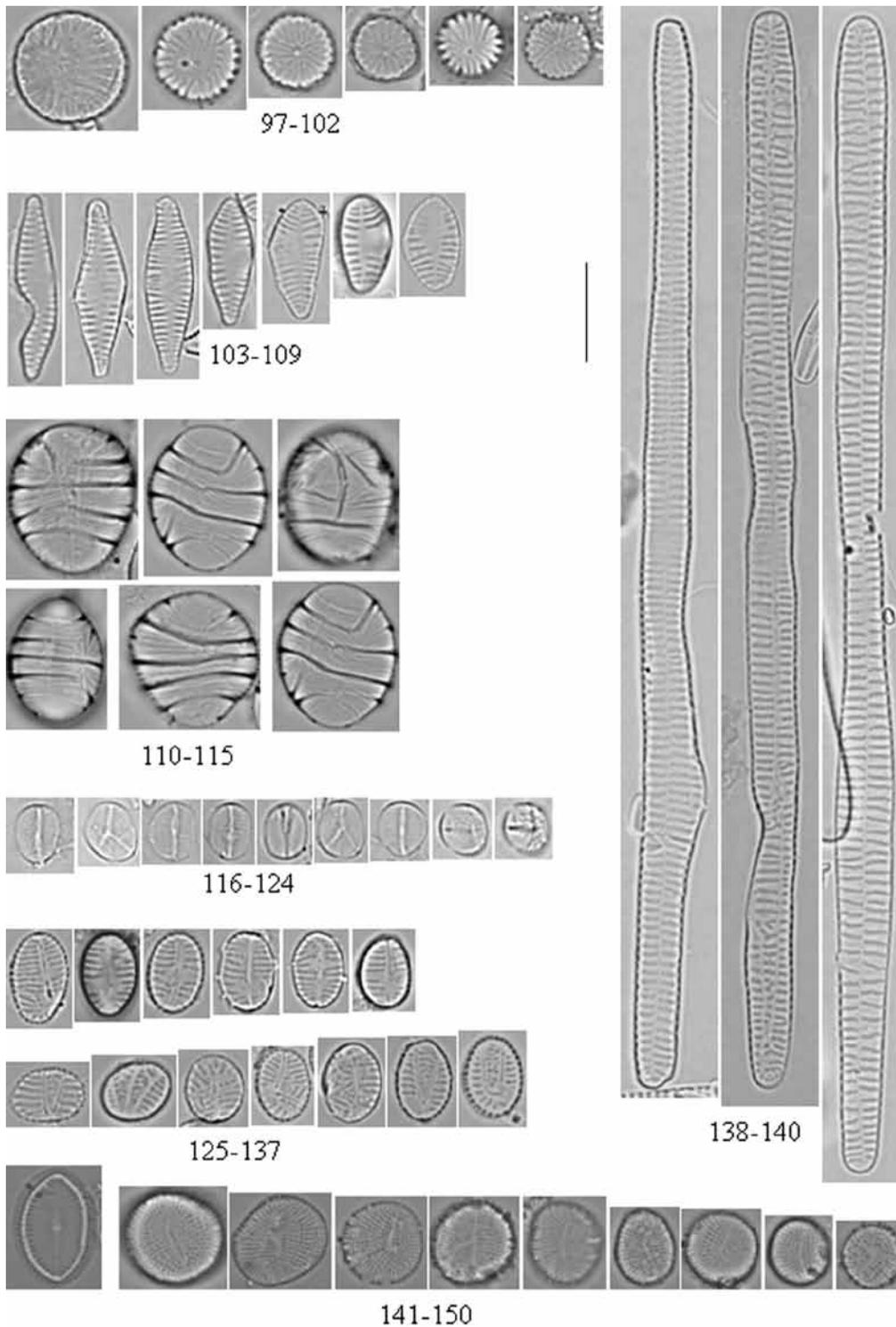
*Gomphonema micropus* showed a high percentage of teratological cells (50%) (Figs. 151 to 160). The most frequent abnormalities were abnormal valve outline, often swollen (Figs. 151; 155; 157 to 158), and loss of areolae (Figs. 154 to 158; 160). An abnormal pattern of striation was often linked to loss of areolae. Less frequent but present were interruption of the raphe slit and loss of one raphe

branch; consequently, the striae orientation was directed toward one pole instead of the central nodule.

*Gomphonema parvulum* (Figs. 161 to 169) and *G. rosenstockianum* (Figs. 125 to 137) cells were very small and rounded, losing the characteristic heteropolarity. *G. rosenstockianum* had a higher abnormal cell percentage (83.33%) than *G. parvulum* (58.18%), although both species presented the same types of teratologies. While Type 1 was rare, the most frequent abnormalities regarded the pattern of striation (directed toward one pole) and the raphe slit. In the latter case, we observed bent (Figs. 134; 161; 164 to 165), wiggly and branched raphes (Figs. 131 to 132; 136 to 137; 162; 166 to 169) with effects on the striation patterns. Cells presenting a branched raphe showed a divided valvar surface with independent ornamentation. The teratologies observed in *Mayamaea permitis* (Figs. 116 to 124) were Type 1 and dislocated or branched raphes (Figs. 117; 120 to 121; 123 to 124). All the *Nitzschia linearis* cells (100%) had incised valves, either on one side (Figs. 209 to 212; 214) or on two sides (Figs. 213; 215). Less frequent were an abnormal striation pattern or dislocated raphe canal. 28% of cultured *Nitzschia palea* cells (Figs. 216 to 223) presented an abnormal valve outline in the middle part of the valves. We never observed raphe canal dislocation in this species. Finally, almost all the *Ulnaria ulna* samples presented teratological cells (99%), with wiggly, arched or undulate valve outline (Figs. 138 to 140), abnormal striation pattern (Figs. 139 to 140), or both together (mixed type) (Figs. 139 to 140), and sometimes a dislocated longitudinal area.

## Discussion and conclusion

The most important factor causing the development of teratological forms in the Bormida River was Cd contamination. Indeed, we found a significant correlation between the number of species affected by teratologies and the Cd toxicity coefficient. However, the statistical analysis showed that only a few species were potential indicators of heavy

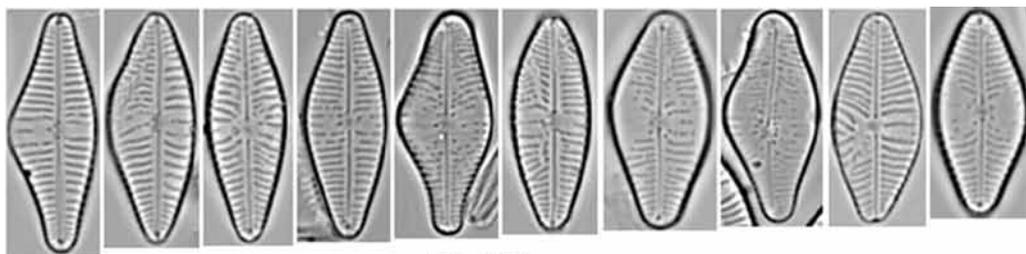


**Figs 97-150**  
 Examples of teratological forms recorded in long-term artificial cultures. Scale bar = 10  $\mu$ m. **Figs 97-102.** *Cyclotella meneghiniana* Kützing. **Figs 103-109.** *Fragilaria rumpens* (Kützing) Carlson. **Figs 110-115.** *Diatoma mesodon* (Ehrenberg) Kützing. **Figs 116-124.** *Mayamaea permitis* (Hustedt) Bruder et Medlin. **Figs 125-137.** *Gomphonema rosenstockianum* Lange-Bertalot et Reichardt. **Figs 138-140.** *Ulnaria ulna* (Nitzsch) Compère. **Figs 141-150.** *Cocconeis* sp.

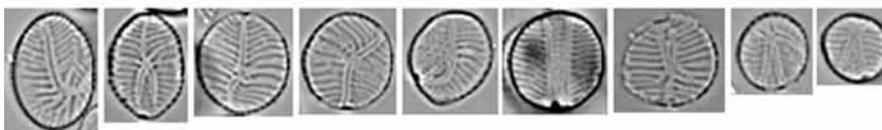
metal contamination. The percentage of deformed cells of *Mayamaea permitis*, *Navicula gregaria* and *Nitzschia dissipata* was significantly correlated with Cd ToxCs, while the percentage of *Encyonema minutum* abnormalities was correlated with ZnToxCs. Comparison of our results with those of other authors (Morin et al., 2008b; c) confirmed that some species (such as *Cocconeis pediculus*, *C. placentula*, *Eolimna minima*, *Gomphonema parvulum*, *Nitzschia fonticola*, *Planothidium frequentissimum*, *Ulnaria ulna*) can develop abnormal forms in relation to Cd pollution,

although in our study they were not significantly correlated with the Cd contamination. However, we cannot exclude an additive or synergic effect of heavy metals or the interaction of other environmental factors in the development of teratologies.

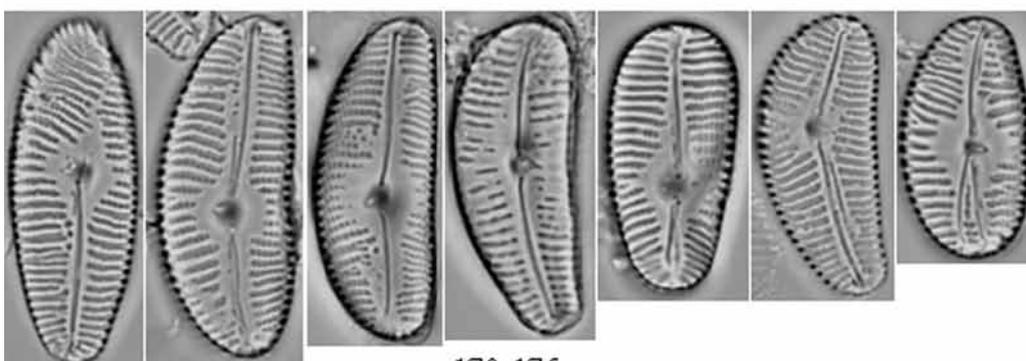
The different percentages of teratologies recorded in monospecific cultures depend on the adaptive capacity of each species to grow in artificial conditions. It was recently demonstrated that the natural fluctuation of light intensity and daily variation of temperature are the main factors



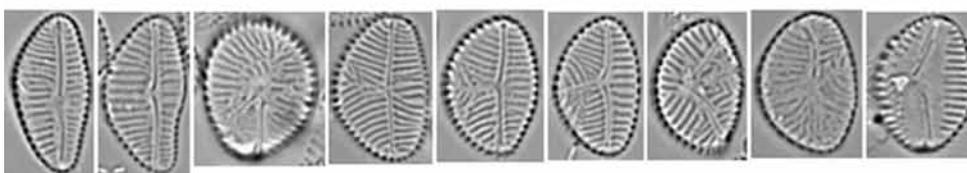
151-160



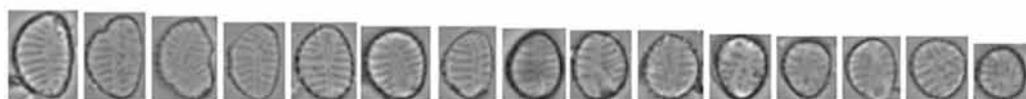
161-169



170-176



177-193



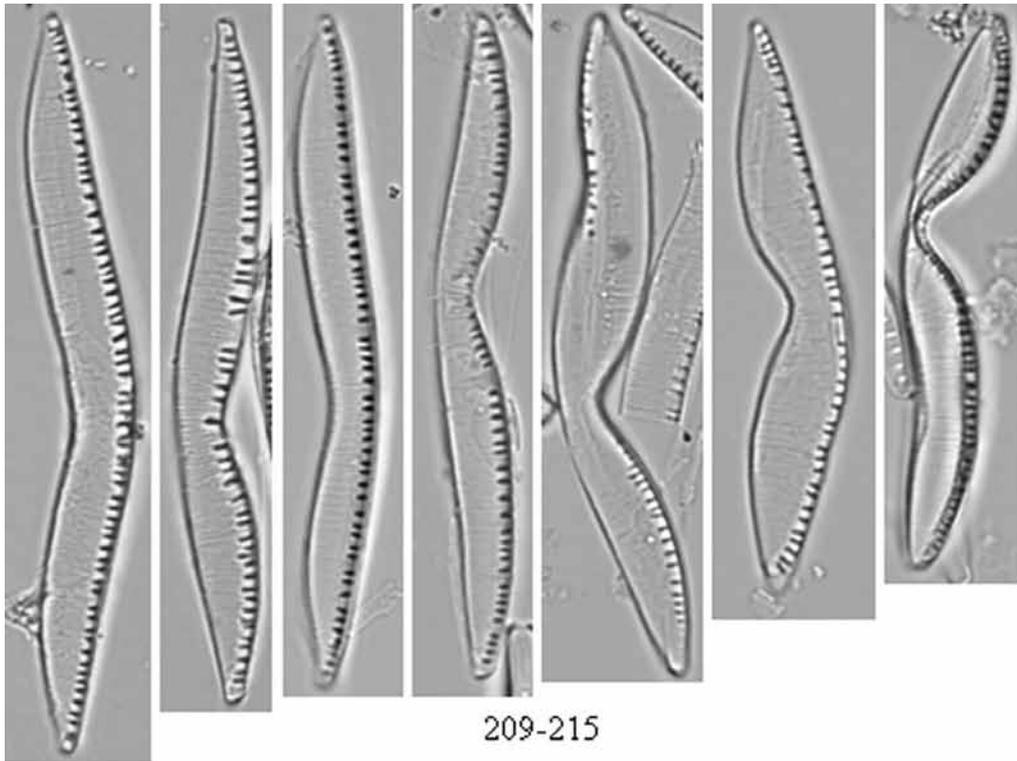
194-208

**Figs 151-208**  
Examples of  
teratological forms  
recorded in long-term  
artificial cultures. Scale  
bar = 10  $\mu$ m.  
**Figs 151-160.**  
*Gomphonema* cf.  
*micropus* Kützing. **Figs**  
**161-169.** *Gomphonema*  
*parvulum* Kützing. **Figs**  
**170-176.** *Cymbella*  
*tumida* (Brébisson) Van  
Heurck. **Figs 177-193.**  
*Encyonema* *silesiacum*  
(Bleisch) Mann. **Figs**  
**194-208.** *Encyonema*  
cf. *lange-bertalotii*  
Krammer.

responsible for higher growth rates of diatoms in natural vs. artificial environments (Morin et al., 2008a). Although artificial growth systems could mimic field conditions, the low flow, oxygenation, nutrient supply and artificial light represent a stress for diatom populations. For these reasons, we hypothesise that competitive, large-late coloniser species (such as *Nitzschia linearis* or *Ulnaria ulna*) have a lower tolerance to artificial growth conditions, and the stress they perceive is expressed as teratological forms. Indeed, in our study the competitive taxa showed higher percentages of teratological forms than stress-tolerant or ruderal ones (such

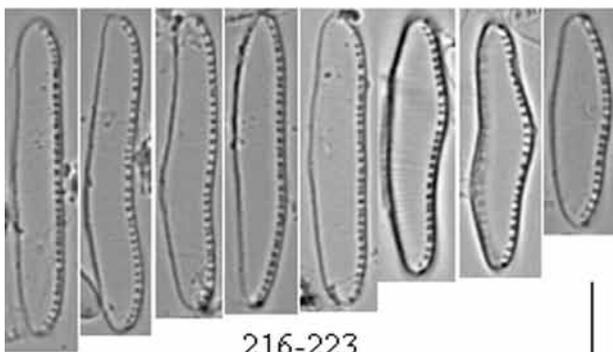
as *Achnantheidium minutissimum*).

The comparison of species growth in natural contaminated and artificial non-contaminated conditions reveals both similarities and differences. *Achnantheidium minutissimum* is a small adnate species able to survive and reproduce in poorly illuminated habitats (Johnson et al., 1997; Peterson, 1996) and able to colonise a wide range of environments, even those characterised by physical disturbance or mesotrophic conditions. We found that cells of *A. minutissimum* grown in artificial conditions did not present any deformations, while there were abnormal valve

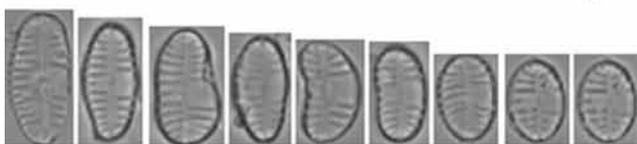


**Figs 209-234**  
Examples of  
teratological forms  
recorded in long-term  
artificial cultures. Scale  
bar = 10  $\mu$ m.

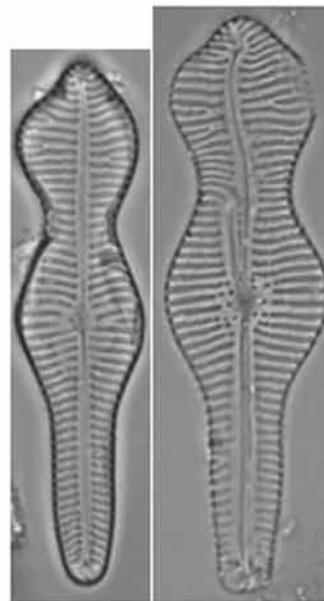
**Figs 209-215.**  
*Nitzschia linearis*  
(Agardh) Smith. **Figs**  
**216-223.** *Nitzschia*  
*palea* (Kützing)  
Smith. **Figs 224-232.**  
*Reimeria sinuata*  
(Gregory) Kociolek et  
Stoermer. **Figs 233-**  
**234.** *Gomphonema*  
*coronatum* Ehrenberg.



216-223



224-232



233-234

outlines in natural contaminated samples from the Bormida River. Therefore, *A. minutissimum* can be considered a useful species for indoor ecotoxicological tests, since it produces teratologies only as a response to specific chemical contaminants.

*Fragilaria rumpens* from the Bormida River never presented an abnormal striation pattern or longitudinal area, only deformed valve outlines. In contrast, *F. rumpens* grown in artificial conditions also showed Type 2 and Type 3 teratologies. The same occurred for *Gomphonema parvulum*, affected by Type 2 and Type 4 teratologies (in addition to Type 1) in long-term cultures. It seems that artificial growth conditions offer greater possibilities for the development

of a wider range of teratologies than natural conditions. We could hypothesise that teratologies involving frustule ornamentation should be considered secondary effects with respect to those involving valve outline, and they probably need more time to develop. Moreover, it is possible that abnormalities of the raphe and raphe canal systems prevent correct adhesion of the cells to rock surfaces, disadvantaging specimens affected by these abnormalities. Indeed, these teratologies are rarely recorded in natural environments. On the other hand, cells in artificial conditions are less influenced by flow and can better survive and reproduce even with abnormal anchorage structure. However, we cannot exclude that the teratological type depends on the type of

stress affecting the species. For this reason, abnormal valve outlines in *Fragilaria rumpens* could be associated with heavy metal contamination, while physical stress (produced in artificial cultures) leads to an abnormal striation pattern or dislocation of the central area in the same species. Despite this, we noticed a constancy of teratological types in other species: *Diatoma mesodon* and *Encyonema silesiacum* usually showed the same teratologies in both natural and artificial conditions.

Although it is not yet possible to establish a direct correlation between a certain environmental stress and a defined morphological alteration, teratological forms of diatoms should be considered a valid tool to provide a first indication of past water contamination (Medley and Clements, 1998; Morin et al., 2007). This paper provides an illustrated classification of the main deviations of frustule morphology in some common diatom freshwater genera, with the aim of stimulating the interest of researchers in this potential stress indicator. However, it is still necessary to investigate the effects of single and multiple contaminants and their additional and synergic effects on diatom communities and monospecific strains in order to consider teratological forms a reliable tool in the assessment of water body contamination.

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